

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
FORM LIMITED OFFERING EXEMPTION

1414620				
OMB APPRO	OVAL			
OMB Number: 3235-0076				
Expires: April 30, 2008				
Estimated avera	ige burden			

hours per response 16.00

SEC USE ONLY				
Prefix		Serial		
D/	TE RECEIVI	ED		

Name of Offering(check if this is an amendment and name has changed, and indicate change.) Series A Preferred Stock Financing	
Filing Under (Check box(es) that apply): Rule 504 Rule 505 Rule 506 Section 4(6) Type of Filing: New Filing Amendment	PROCESSED
A. BASIC IDENTIFICATION DATA	► NOV 3.0.2007
1. Enter the information requested about the issuer	SUGNICON
Name of Issuer (check if this is an amendment and name has changed, and indicate change.) Apptio, Inc.	FINANCIAL
Address of Executive Offices (Number and Street, City, State, Zip Code) 1938 Boyer Avenue East, Seattle, WA 98112	Telephone Number (Including Area Code) 206-856-7922
Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices)	Telephone Number (Including Area Code)
Brief Description of Business Enterprise Software	
Type of Business Organization Corporation	ease specify):
Actual or Estimated Date of Incorporation or Organization: Month Year	nated 07084335

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6)

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

- ATTENTION -

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

1 of 10

2. Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer. Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. □ Promoter Beneficial Owner Executive Officer □ Director General and/or Check Box(es) that Apply: Managing Partner Full Name (Last name first, if individual) Gupta, Sachin Business or Residence Address (Number and Street, City, State, Zip Code) 1938 Boyer Avenue East, Seattle, WA 98112 □ Promoter □ Director Check Box(es) that Apply: Beneficial Owner Executive Officer General and/or Managing Partner Full Name (Last name first, if individual) Shintaffer, Kurt Business or Residence Address (Number and Street, City, State, Zip Code) 1938 Boyer Avenue East, Seattle, WA 98112 □ Director Check Box(es) that Apply: Promoter General and/or Managing Partner Full Name (Last name first, if individual) Bogan, Tom Business or Residence Address (Number and Street, City, State, Zip Code) 880 Winter Street, Suite 300, Waltham, MA 02451 Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director Director General and/or Managing Partner Full Name (Last name first, if individual) McIlwain, Matt Business or Residence Address (Number and Street, City, State, Zip Code) 1000 Second Avenue, Suite 3700, Seattle, WA 98104 Check Box(es) that Apply: Director Promoter ☐ Beneficial Owner ☐ Executive Officer General and/or Managing Partner Full Name (Last name first, if individual) Scarlat, Yuval Business or Residence Address (Number and Street, City, State, Zip Code) 1938 Boyer Avenue East, Seattle, WA 98112 Check Box(es) that Apply: Beneficial Owner Executive Officer Promoter Director General and/or Managing Partner Full Name (Last name first, if individual) **Greylock Partners** Business or Residence Address (Number and Street, City, State, Zip Code) 880 Winter Street, Suite 300, Waltham, MA 02451 Check Box(es) that Apply: Promoter Director General and/or Managing Partner Full Name (Last name first, if individual) Madrona Venture Group Business or Residence Address (Number and Street, City, State, Zip Code) 1000 Second Avenue, Suite 3700, Seattle, WA 98104

A. BASIC IDENTIFICATION DATA

A. BASIC IDENTIFICATION DATA Enter the information requested for the following: 2. Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer. Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Beneficial Owner Executive Officer ☐ Director General and/or Promoter Check Box(es) that Apply: Managing Partner Full Name (Last name first, if individual) McLachlan, Paul Business or Residence Address (Number and Street, City, State, Zip Code) 1938 Boyer Avenue East, Seattle, WA 98112 Promoter ☐ Beneficial Owner ☐ Executive Officer Director General and/or Check Box(es) that Apply: Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Beneficial Owner Executive Officer ☐ Director General and/or Check Box(es) that Apply: Promoter

					Managing Partner	
Full Name (Last name first,	if individual)					
Business or Residence Addre	ess (Number and	Street, City, State, Zip C	Code)		····	
Check Box(es) that Apply:	Promoter	Beneficial Owne	r Executive Officer	Director	General and/or Managing Partner	
Full Name (Last name first,	if individual)					
Business or Residence Addre	ess (Number and	Street, City, State, Zip (Code)			
Check Box(es) that Apply:	Promoter	Beneficial Owne	r Executive Officer	Director	General and/or Managing Partner	
Full Name (Last name first,	if individual)					
Business or Residence Addre	ess (Number and	Street, City, State, Zip C	Code)			
Check Box(es) that Apply:	Promoter	Beneficial Owne	F Executive Officer	Director	General and/or Managing Partner	
Full Name (Last name first,	if individual)	······	·			
Business or Residence Addre	ess (Number and	Street, City, State, Zip C	Code)			
Check Box(es) that Apply:	Promoter	Beneficial Owne	r Executive Officer	Director	General and/or Managing Partner	<u> </u>
Full Name (Last name first,	f individual)	<u> </u>	1			
Business or Residence Addre	ess (Number and	Street, City, State, Zip C	Code)	-	<u> </u>	
			3 of 10	·	American LegalNet,	

					B. II	FORMAT	ION ABOU	JT OFFER	ING				
1.	Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?							Yes	No ⊠				
Answer also in Appendix, Column 2, if filing under ULOE.													
2.	What is	s the minim	um investm	ent that wil	I be accept	ed from any	individual?					\$ <u>N</u> /A	
												Yes	No
3.	Does tl	ne offering	permit joint	ownership	of a single	unit?						\boxtimes	
4.			tion request										
			nilar remune sted is an as										
			ame of the b						l are associ	ated persons	s of such		
Full			first, if indiv		mormation	TOT THAT DIC	iker or deale	r only.					
run	Mariic (Last Harrie	mst, ii marv	iddai)									
Busi	iness or	Residence .	Address (Nu	imber and S	Street, City,	State, Zip	Code)						
Nan	ne of As	sociated Br	oker or Dea	ler									
State	es in WI	nich Person	Listed Has	Solicited or	r Intends to	Solicit Purc	hasers						
	(Che	eck "All Sta	tes" or checl	k individua	l States)							🗖 A	All States
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[IL	[N]	IA]	KS	KY	LA	мЕ	MD	MA	MI	MN	MS	МО
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		느			=			=				OR	PA
(RI	SC	SD	TN	TX	UT	VT	VA	WA	WV	WI	WY	PR
Full	Name (Last name t	first, if indiv	idual)									
Busi	ness or	Residence	Address (Nu	mber and S	Street, City,	State, Zip	Code)						
Nam	ne of As	sociated Br	oker or Dea	ler								<u> </u>	
State	es in Wh	nich Person	Listed Has	Solicited or	Intends to	Solicit Purc	hasers						
	(Che	ck "All Sta	tes" or check	c individua	l States)			• • • • • • • • •				🔲 A	All States
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L	мТ	NE	VV	NH	נא	NM	NY	NC	ND	ОН	<u></u> Бк	OR	PA
	RI	=	느	TN				=			=	=	
Ł	KI	SC	SD	IN	TX	UT	VT	VA	WA	wy	WI	WY	PR
Full	Name (Last name f	irst, if indiv	idual)									
Busi	ness or	Residence A	Address (Nu	mber and S	Street, City,	State, Zip (Code)	-					
Nam	e of As	sociated Bro	oker or Deal	ler				· · ·					
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)							11 States						
AL AK AZ AR CA CO CT DE DC FL GA								GA	HI]	ID			
_	IL I	[IN]	ΙΑ	KS	KY	LA	ME	MD	MA	MI	MN	MS	=
	={	=		=		=		=		\exists	=	물	МО
_	мт	NE	NV	NH	ĮИJ	NM	NY	NC	ND	ОН	bκ	OR	PA
L	RI	SC	SD	TN	TX	UT	VT	VA	WA	wv	wı	WY	PR

(Use blank sheet, or copy and use ad	ditional copies of	this sheet, as necessary.)
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C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1.	sold. Enter "0" if the answer is "none" or "zero." If the transaction is an exchange offering, check this box \(\sigma\) and indicate in the columns below the amounts of the securities offered for exchange and			,
	Type of Security	Aggregate Offering Price		unt Already Sold
	Debt\$	7,000,005	s .	7,000,005
	Equity			
	Common N Preferred	· · · · · · · · · · · · · · · · · · ·		
	Convertible Securities (including warrants)		\$	
	Partnership Interests			
	Other (Specify)			
	Total\$			
	Answer also in Appendix, Column 3, if filing under ULOE.	7,000,003	»——	7,000,003
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."			
		Number Investors	Dolla	ggregate ar Amount urchases
	Accredited Investors	16	\$	7,000,005
	Non-accredited Investors		s	
	Total (for filings under Rule 504 only)		s	
	Answer also in Appendix, Column 4, if filing under ULOE.			
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1.			
	Type of Offering	Type of Security		lar Amount Sold
	Rule 505		\$	
	Regulation A		\$	
	Rule 504		s	
	Total		s	0
4	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.			
	Transfer Agent's Fees		\$	
	Printing and Engraving Costs			
	Legal Fees			50,000
	Accounting Fees			
	Engineering Fees			
	Sales Commissions (specify finders' fees separately)			-
	Other Expenses (identify)			
	Total			50,000
			-	,- 30

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	C. OFFERING PRICE, NUM	IBER OF INVESTORS, EXPENSES AND USE OF I	PROCEEDS	
5.	b. Enter the difference between the aggregate offe and total expenses furnished in response to Part C – proceeds to the issuer." Indicate below the amount of the adjusted gross preach of the purposes shown. If the amount for an	Question 4.a. This difference is the "adjusted gross coceed to the issuer used or proposed to be used for purpose is not known, furnish an estimate and		\$6,950,005
	check the box to the left of the estimate. The total opposeds to the issuer set forth in response to Part	of the payments listed must equal the adjusted gross		
	proceeds to the issuer set forth in response to Pare	C — Question 4.0 above.	Payments to Officers, Directors, & Affiliates	Payments to Others
	Salaries and fees			
	Purchase of real estate		□ s	_ 🗆 s
	Purchase, rental or leasing and installation of ma- and equipment			
	Construction or leasing of plant buildings and fac-	cilities	□ \$	_ 🗆 \$
	Acquisition of other businesses (including the va offering that may be used in exchange for the ass issuer pursuant to a merger)	ets or securities of another	□ s	ss
	Other (specify):		s	s
			 \$	_ 🗆 \$
	Column Totals		□ s	⊠ \$ 6,950,005
	Total Payments Listed (column totals added)		⊠ \$_	6,950,005
Г		D. FEDERAL SIGNATURE		
sig	sissuer has duly caused this notice to be signed by the nature constitutes an undertaking by the issuer to furnished by the issuer to any non-acc	rnish to the U.S. Securities and Exchange Commis	sion, upon writte	ale 505, the following on request of its staff,
İss	uer (Print or Type)	Signature // O/-///	Date	
Аp	ptio, Inc.	100/18-11/1-	11/19/2007	
Na	ne of Signer (Print or Type)	Title of Signer (Print or Type)		
Ku	rt Shintaffer	Secretary and Chief Operating Officer		

END

- ATTENTION -

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)