# FORM D

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D. SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

**OMB APPROVAL** 

OMB Number: 3235-0076 Expires: April 30, 2008 Estimated average burden hours per response 16.0

SEC USE ONLY								
Prefix		Serial						
DATE RECEIVED								
	l							

Name of Offering ( check if this Newport Asia Partners Fund, L	is an amend P	lment and name h	as changed, a	nd ind	icate chang	(c.)		·
Filing Under (Check box(es) that Type of filing:  New Filin		Rule 504   Amendment	Rule 505	X	Rule 506	D	Section 4(6)	a ULOE
	<u> </u>		IC IDENTIF	TICAT	ION DATA	4		
1. Enter the information reque	sted about th	ne issuer						
Name of Issuer ( check if this Newport Asia Partners Fund		ment and name ha	as changed, a	nd indi	cate chang	c.)		07083961
Address of Executive Offices 601 California Street, Suite 6	00, San Fra	(Nu ancisco, CA 9410	mber and Str 08	eet, Cit	y, State, Z	ip Code	Telephon. 415/67	7-8620
Address of Principal Business Op (if different from Executive Office		(Nu	mber and Str				1	lumber (Including Area Code)
Brief Description of Business Private investment fund					PROC	ES	SED iz	
Type of Business Organization corporation	⊠ limi	ted partnership, a	lready forme	d	MOV 2			
□ business trust	🗆 limi	ted partnership, to	be formed		THON FINAN	ASOP ACIA	¶□ other (p	olease specify): LLC
Actual or Estimated Date of Incor Jurisdiction of Incorporation or O		(Enter two-lette	er U.S. Postal nada; FN for		h Yea [ 02 e abbreviat	r ] tion for	[X] A	
GENERAL INSTRUCTIONS		-						

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC. Filing Fee: There is no federal filing fee.

### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

## ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

SEC 1972 (6-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

A. BASIC IDENTIFICATIO	N	)N	J	n	ìΔ	т	Δ	
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Enter the information requested for the following:

**Business or Residence Address** 

- Each promoter of the issuer, if the issuer has been organized within the past five years;
- Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer:
- Each executive officer and director of corporate issuers of corporate general and managing partners of partnership issuers; and

Each general and managing partner of partnership issuers. Check Box(es) that Apply: Beneficial Owner □ Executive Officer  $\boxtimes$  Promoter Director General and/or Managing Partner Full Name (Last name first, if individual) Newport Asia LLC **Business or Residence Address** (Number and Street, City, State, Zip Code) 601 California Street, Suite 600, San Francisco, CA 94108 Check Box(es) that Apply: Promoter Beneficial Owner Director ₽ General and/or Managing Partner Full Name (Last name first, if individual) Mussey, John Miller **Business or Residence Address** (Number and Street, City, State, Zip Code) 601 California Street, Suite 600, San Francisco, CA 94108 Check Box(es) that Apply: Promoter Beneficial Owner Director General and/or Managing Partner Full Name (Last name first, if individual) **Tuttle, Thomas Rogerson** (Number and Street, City, State, Zip Code) **Business or Residence Address** 601 California Street, Suite 600, San Francisco, CA 94108 Check Box(es) that Apply: Executive Officer Promoter Beneficial Owner Director General and/or Managing Partner Full Name (Last name first, if individual) Ellis, Michael Business or Residence Address (Number and Street, City, State, Zip Code) 601 California Street, Suite 600, San Francisco, CA 94108 Beneficial Owner Check Box(es) that Apply: D Promoter Director General and/or Managing Partner Full Name (Last name first, if individual) Legallet, Christopher **Business or Residence Address** (Number and Street, City, State, Zip Code) 601 California Street, Suite 600, San Francisco, CA 94108 Check Box(es) that Apply: Beneficial Owner Promoter Director General and/or Managing Partner Full Name (Last name first, if individual)

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

(Number and Street, City, State, Zip Code)

				B. 1	NFORMA'	TION ABO	OUT OFFE	RING				
1. Ha	is the issuer	sold, or do	es the issu	er intend to	sell, to non	-accredited	investors i	n this offeri	ng?			No 🗵
						n Appendix					0	
	What is the minimum investment that will be accepted from any individual?  Does the offering permit joint ownership of a single unit?								$\frac{\psi + \psi}{\psi}$	00,000		
			-	-	_					ly, any comn		No
or lis	similar rem	uneration foo	or solicitati son or ager	on of purch it of a broke	asers in cor r or dealer r	nection wi egistered w	th sales of s ith the SEC	ecurities in and/or with	the offering	g. If a person tates, list the	n to be	
Full Nar N/A	nc (Last nar	ne first, if i	ndividual)									
Business	s or Residen	ice Address	(Number	and State, C	ity, State, 2	Zip Code)		•				
Name of	Associated	Broker or	Dealer									
	Which Per						•				□ All State	s
[AL]	[AK]	[ AZ ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[ GA ]	[ HI ]	[ ID ]
[ IL ]	[ IN ]	[ IA ]	[KS]	[KY]	[ LA ]	[ME]	[MD]	[MA]	[ MI ]	[MN]	[ MS ]	[ MO
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[L]	[ IN ]	[ IA ]	[KS]	[KY]	[ LA ]	[ME]	[MD]	[MA]	[ MI ]	[MN]	[MS]	[ MO
MT]	[ NE ]	[NV]	[NH]	[ NJ ]	[NM]	[NY]	[NC]	[ND]	[OH]	[ OK ]	[ OR ]	[ PA
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Rusiness	s or Residen	ice Address	(Number	and State C	Sity State 7	Zin Code)						<del></del> .
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Name of	Associated	Broker or	Dealer ——-				· · · · · · · · · · · · · · · · · · ·	_				
	Which Per							***************************************	***************************************		□ All State	s
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[MT]	[ NE ]	[NV]	[NH]	[ NJ ]	[NM]	[NY]	[NC]	[ND]	[OH]	(OK)	[ OR ]	PA
[ RI ]	[ SC ]	[SD]	[TN]	[TX]	[ UT ]	[ VT ]	[ VA ]	[WA]	[WV]	[ W1 ]	[WY]	[ PR

[TN] [TX] [UT] [VT] [VA] [WA] [WV] [WI] [WY] [PR] (Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

## C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

Enter the aggregate offering price of securities included in this offering and the total amount already

1.

	sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	Aggregate	An	nount Already
	Type of Security	Offering Price	_	Sold
		0	\$	0
	Debt\$	0	\$	0
	Equity Common Preferred			
	Convertible Securities (including warrants)\$	<u>0</u>	\$	
	Partnership Interests\$	unlimited	\$	302,398,327
	Other (Specify)\$	0	\$	C
	Total\$	unlimited	\$	302,398,327
2.	Answer also in Appendix, Column 3, if filing under ULOE.  Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."  Accredited Investors	Number Investors	•	Aggregate Pollar Amoun of Purchases 302,398,327
	Non-accredited Investors			(
	Total (for filings under Rule 504 only)			
	Answer also in Appendix, Column 4, if filing under ULOE.			
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.	Type of	D	Oollar Amoun
	Type of offering	Security		Sold
	Rule 505		\$	
	Regulation A	*	\$	
	Rule 504		\$	
	Total		\$	
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.			
	Transfer Agent's Fees		\$	0
	Printing and Engraving Costs	×	\$	6,139
	Legal Fees	×	\$	20,431
	Accounting Fees	$\boxtimes$	\$	22,413
	Engineering Fees		\$	0
	Sales Commissions (specify finders' fees separately)	0	\$ \$	0
	Other Expenses (identify) Blue sky, certain custodian fees and fund compliance	×		<u> </u>
	Total		\$	67,178
		X	\$	116,161

	C. OFFERING PRICE, NUM	IBER OF INVESTORS, EXPENSES AND U	USE	O	FPROCEEDS			
	b. Enter the difference between the aggregate Question I and total expenses furnished in respons "adjusted gross proceeds to the issuer"		;				\$_	302,282,166
5.	Indicate below the amount of the adjusted gross profer each of the purposes shown. If the amount for and check the box to the left of the estimate. The adjusted gross proceeds to the issuer set forth in respectively.	:		Payments to Officers, Directors, & Affiliates		I	Payments To Others	
	Salaries and fees			\$_	<u>o</u>		\$_	0
	Purchase of real estate			\$_	0	0	\$_	0
	Purchase, rental or leasing and installation of	f machinery and equipment		\$_	0	ā	\$_	0
	Construction or leasing of plant buildings ar	d facilities		\$_	. 0	0	\$_	0
	that may be used in exchange for the assets of	ne value of securities involved in this offering or securities of another issuer pursuant to		\$	0	П	\$	0
								0
	Working capital							0
	, chang capture			Ψ_			Ψ_	Υ
	Other (specify): Investment Portfolio		0	\$_	0	X	\$_	302,282,166
				<b>\$</b> _	0	_	<b>\$</b> _	0
	Column Totals			\$_	0	X	\$_	302,282,166
	Total Payments Listed (columns totals added	i)	X		\$302,282,1	<u>.66</u> .		
		D. FEDERAL SIGNATURE	*					
sign	issuer has duly caused this notice to be signed by the ture constitutes an undertaking by the issuer to furmation furnished by the issuer to any non-accredite	nish to the U.S. Securities and Exchange Con	nmi	ssio	n, upon written	Rule requ	505 lest (	, the following on its staff, the
Issu	er (Print or Type)	Signature			Date			
Nev	port Asia Partners Fund, LP	Thomas		November 14, 2007			7	
Nan	e of Signer (Print or Type)	Title of Signer (Print or Type)						
Joh	M. Mussey	Partner, Newport Asia LLC, General Par Fund, LP	rtne	r of	the Newport	Asia	Par	rtners
_		ATTENTION ———						

END

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)