141609

FORM D



UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFFRING EXEMPTION

OMB APPRO	DVAL
OMB Number	3235-0076
Expires:	
Estimated averag	e burden
hours per respons	e 1600

SEC	USE ONL	Y.
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	EIMITED OFFERING EXEM		
Name of Offering (check if this is an amendment an	d name has changed and indicate change)		
Sequoia Alternative Investments, L.P.			
Filing Under (Check box(es) that apply) Rule 504	Rule 505 🔽 Rule 506 🔲 Section 4(6)	ULOE 🦽	2
Type of Filing			RECEIVED
	A. BASIC IDENTIFICATION DATA	00	Y 0 0 2007
Enter the information requested about the issuer		1 00	T 2 2 2007 >>
Name of Issuer ([check if this is an amendment and no	ame has changed, and indicate change)	1331	
Sequoia Alternative Investments, L.P.			186 <u>4</u>
Address of Executive Offices	(Number and Street, City, State, Zip Code)	Telephone Numbel	(Including Area Code)
121 S. Main Street, Suite 300, Akron, OH 44308		330-375-9480	
Address of Principal Business Operations (if different from Executive Offices)	(Number and Street, City, State, Zip Code)	Telephone Numbe	er (Including Area Code)
Brief Description of Business		i ir	PROCESSED
Making investments in private equities			
			OCT 2 9 2007
Type of Business Organization			THOSPOON
<u>—</u> · — ·		olease specify)	THOMSON
business trust Imited partn	ership, to be formed		FINANCIAL
	Month Year	<u></u>	·
Actual or Estimated Date of Incorporation or Organization		nated	
Jurisdiction of Incorporation or Organization (Enter two-			
	anada, FN for other foreign jurisdiction)		
GENERAL INSTRUCTIONS			
Federal:			

Who Must File All issuers making an offering of securities in reliance on an exemption under Regulation () or Section 4(6), 17 CFR 230 501 et seg. or 15 U.S.C. 77d(6)

When To File A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address

Where To File U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C., 20549

Copies Required | Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures

Information Required. A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC

Filing Fee. There is no federal filing fee

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION-

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predictated on the filing of a federal notice.

A. BASIC IDENTIFICATION DATA Enter the information requested for the following Each promoter of the issuer, if the issuer has been organized within the past five years, Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers, and hach general and managing partner of partnership issuers Check Box(cs) that Apply Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual) Seguoia Al GP, LLC Business or Residence Address (Number and Street, City, State, Zip Code) 121 S. Main Street, Suite 300, Akron, OH 44308 Check Box(es) that Apply Promoter Beneficial Owner Fxecutive Officer Director General and/or Managing Partner Full Name (Last name first, if individual) Thomas A. Haught Business or Residence Address (Number and Street, City, State, Zip Code) 121 S. Main Street, Suite 300, Akron, OH 44308 Check Box(es) that Apply Beneficial Owner Executive Officer General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Check Box(es) that Apply ☐ Beneficial Owner ☐ Executive Officer ☐ Director General and/or Promoter Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Promoter Director Check Box(es) that Apply General and/or Managing Partner Full Name (Last name first, if individual) Business of Residence Address (Number and Street, City, State, Zip Code) Check Box(es) that Apply Promoter Beneficial Owner Executive Officer Director [] General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State Zip Code) Check Box(es) that Apply Beneficial Owner Executive Officer Director Managing Partner Full Name (Last name tirst, if individual) Business or Residence Address (Number and Street City, State Zip Code)

				B, [NFORMAT	ION ABOL	T OFFERI	NG				
1 Hours	e issuer solo		L	ntand to as	II to sue s	aneadstad s	ima actores in		?		Yes	No EZ
i iias uu	e issuer som	a, or aucs t			n, to non-a i Appendix				-	•	С	X
2 What i	s the minin	ium investi			• •		_				s	
						·					Yes	No
	he offering						-				K	
commi If a per or state	the information of simulation of simulation to be list the natural or dealer	ilar remune sted is an as ame of the b	ration for s sociated pe broker or de	solicitation erson or ago caler - If m	of purchas ent of a brol ore than fiv	ers in conn ker or dealc e (5) perso	ection with or registered ns to be list	isales of sed d with the S ted are asso	curities in t SEC and/or	he offerin with a sta	g Ic	
Full Name	(Last name	first, if ind	(vidual)					_				
Business or	Residence	Address (N	lumber and	d Street, C	ity, State, 2	Lip Code)						
Name of As	ssociated Bi	roker or De	aler		_							
States in W	hich Persor	Listed Ha	Solicited	or Intends	to Solicit	Purchasers	1					
(Check	"All State:	s" or check	ındividual	States)							☐ ^i	1 States
AL. II. MT	AK IN NE SC	IA NV SD	AR KS NII TN	CA KŸ NJ TX	LA NM UT	ME NY VT	MD NC VA	DC MA ND WA	MI OH WV	GA MN OK WI	MS OR WY	MO PA PR
Full Name	(Last name	first, if ind	(vidual)	<u> </u>								
Business o	r Residence	Address (1	Number an	d Street, C	ity, State,	Zip Code)						
Name of As	ssociated Bi	roker or De	aler					<u> </u>		· 		•
States in W	hich Person	Listed Ha	s Solicited	or Intends	to Solicit	Purchasers	-					
(Check	"All States	s" or check	individual	States)							□ ∧I	i States
AL.	AK IN NE SC	AZ IA NV SD	KS NII TN	CA KY NJ TX	CO LA NM UT	ME NY VT	MD NC VA	DC MA ND WA	MI OH WV	GA MN OK Wt	MS OR WY	MO PR
Full Name	(Last name	first, if ind	(vidual)									
Business o	r Residence	Address (1	Number an	d Street, C	ity, State,	Zip Code)						
Name of As	sociated Bi	oker or De	aler			.						
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(Check	"All States	s" or check	individual	States)				-			□ ∧I	l States
AL IL MT RI	AK IN NE SC	AZ IA NV SD	KS KS NII TN	CA KY NI IX	CO LA NM UT	CI ME NY VT	DE MD NC VA	DC MA ND WA	MI MI MI WV	GA MN OK W1	MS OR WY	MO PA PR

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1	Enter the aggregate offering price of securities included in this offering and the total amount alreads sold. Enter "0" if the answer is "none" or "zero". If the transaction is an exchange offering, check this box []] and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	; 1	Amount Alous by
	Type of Security	Aggregate Offering Price	Amount Afready Sold
	Debt	s	s
	Equity	S	s
	Common Preferred		
	Convertible Securities (including warrants)	S	s
	Partnership Interests	\$_1,100,000.00	S_1,100,000.00
	Other (Specify)		s
	Total	\$_1,100,000.00	\$_1,100,000.00
	Answer also in Appendix, Column 3, if filing under ULOE		
2	Enter the number of accredited and non-accredited investors who have purchased securities in the offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	:	Aggregate Dollar Amount of Purchases
	Accredited Investors	8	\$_1,100,000.00
	Non-accredited Investors	0	\$_0.00
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE		
3	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1		
	Type of Offering	Type of Security	Dollar Amount Sold
	Rule 505		\$
	Regulation A		\$
	Rule 504		\$
	Total		\$ 0.00
1	a Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees	🗖	\$
	Printing and Engraving Costs		s
	Legal Fees	. 🗀	s_0.00
	Accounting Fees		\$_0.00
	Engineering Fees		s
	Sales Commissions (specify finders' fees separately)		\$
	Other Expenses (identify)	$\bar{\Box}$	s
	Total	<u>. </u>	\$ 0.00

	C. OFFERING PRICE, NUM	IBER OF INVESTORS, EXPENSES AN	D USE OF PROCEEDS	S
	b Enter the difference between the aggregate offe and total expenses furnished in response to Part C — proceeds to the issuer."			s0 00
5	Indicate below the amount of the adjusted gross preach of the purposes shown. If the amount for a check the box to the left of the estimate. The total opposeds to the issuer set forth in response to Par	ny purpose is not known, furnish an e of the payments listed must equal the ad	stimate and	
			Paymer Offic Directo Affilia	ers, rs, & Payments to
	Salaries and fees	• • •	□ 5	
	Purchase of real estate		🗆 \$.	[] \$
	Purchase, rental or leasing and installation of ma		5.6	
				Ds
	Construction or leasing of plant buildings and fa		.	🖂 5
	Acquisition of other businesses (including the va- offering that may be used in exchange for the ass- issuer pursuant to a merger)	sets or securities of another		
	Repayment of indebtedness		_	
			_	
	Other (specify)			
				[] \$
	Column Totals		🔲 \$ <u></u>	[\$0.00
	Total Payments Listed (column totals added)		[s
Γ		D. FEDERAL SIGNATURE		
sig	e issuer has duly caused this notice to be signed by th nature constitutes an undertaking by the issuer to fu information furnished by the issuer to any non-acc	rnish to the U.S. Securities and Excha-	nge Commission, upon	der Rule 505, the following written request of its sta
lss	uer (Print or Type)	Signature	Date	
	equoia Alternative Investments, L.P.	Roll		
	me of Signer (Print or Type)	Title of Signer (Print of Type)		
	omas A. Haught	President of Sequoia Al GP, LLC,	_	

--- ATTENTION -------

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

		E. STATE SIGNATURE					
ı	Is any party described in 17 CFR 230 2 provisions of such rule?	Yes	No K				
		See Appendix, Column 5, for state re	sponse				
2.	The undersigned issuer hereby undertake D (17 CFR 239 500) at such times as re		fany state in which this not	ice is filed a ne	otice on Form		
3.	The undersigned issuer hereby undertaktissuer to offerees	es to furnish to the state administrator	s, upon written request, in	formation furr	ished by the		
4	The undersigned issuer represents that thinited Offering Exemption (ULOE) of of this exemption has the burden of esta	the state in which this notice is filed ar	d understands that the issue				
	uer has read this notification and knows the ithorized person	contents to be true and has duly caused	this notice to be signed on it	s behalf by the	undersigned		
lssuer ((Print or Type)	Signature	Date				
Sequoi	a Alternative Investments, L.P.	Rolla					
Name (Print or Type)	Title (Print or Type)			····		
Thoma	as A. Haught	President of Sequoia Al GP, LLC, general partner of Issuer					

Instruction

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

				AP	PENDIX				
	Intend to non-a investor	2 I to sell ccredited s in State -Item I)	Type of security and aggregate offering price offered in state (Part C-Item 1)		amount pu	investor and rchased in State C-Item 2)		5 Disqualification under State ULO (if yes, attach explanation of waiver granted) (Part E-Item 1)	
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No
AL									
AK									
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				APP	ENDIX					
-	Intend to non-a	I to sell ccredited s in State -Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of investor and amount purchased in State (Part C-Item 2)				5 Disqualification under State ULOF, (if yes, attach explanation of waiver granted) (Part E-Item 1)	
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No	
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				APP	ENDIX				
I		2	3			1 ,	lification		
	to non-a	d to sell accredited rs in State 3-Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of investor and amount purchased in State (Part C-Item 2)				ate ULOE, , attach ation of granted) -Item 1)
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No
WY									
PR									

