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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

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3235-0076
May 31, 2005
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Name of Offering ((()) (check if this is an amendment and name has changed, and indicate char	ngc)
Series C Preferred Stock Financing Filing Under (Check box(es) that apply): ☐ Rule 504 ☐ Rule 505 ☐ Rule 506	Section 4(6) ULOE
Type of Filing: New Filing Amendment	
A. BASIC IDENTIFICATION DATA	
1. Enter the information requested about the issuer Name of Issuer	e.)
Address of Executive Offices (Number and Substituting Address of Executive Offices)	elephone Number (Including Area Code)
Address of Executive Offices (Number and Street, City, State, Zip Code)	elephone Number (Including Area Code)
(If different from Executive Offices) Brief Description of Business	
Laser manufacturer.	PROCESSED
Type of Business Organization Corporation	(please specify): THUWSON FINANCIAL
Actual or Estimated Date of Incorporation or Organization: Month Year 9 9	

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State: This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

A. BASIC IDENTIFICATION DAT	ΓA			
 Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five year. Each beneficial owner having the power to vote or dispose, or direct the vote or dispose the issuer; Each executive officer and director of corporate issuers and of corporate general and Each general and managing partner of partnership issuers. 	managing part	ners of partn		suers; and
Check Box(es) that Apply: Promoter Beneficial Owner Executive Office	çer 🔯	Director		General and/or Managing Partner
Full Name (Last name first, if individual)				-
Mu. Liyue Business or Residence Address (Number and Street, City, State, Zip Code)				
c/o Applied Harmonics Corporation. 46790 Lakeview Blvd., Fremont, CA 94538 Check Boyles that Apply: Promoter Beneficial Owner Executive Office	cer M	Director		General and/or
Check Box(es) that Apply: Promoter Beneficial Owner Executive Office		Director		Managing Partner
Full Name (Last name first, if individual)				
Wan, Runnan				
Business or Residence Address (Number and Street, City, State, Zip Code)				
c/o Applied Harmonics Corporation. 46790 Lakeview Blvd., Fremont, CA 94538	- 57	D'		General and/or
Check Box(es) that Apply: Promoter Beneficial Owner Executive Office	icer 🛛	Director	الا	Managing Partner
Full Name (Last name first, if individual)				
Chen, Zhongwei				
Business or Residence Address (Number and Street, City, State, Zip Code)				
c/o Applied Harmonics Corporation, 46790 Lakeview Blvd., Fremont, CA 94538				
Check Box(es) that Apply: ☐ Promoter ☒ Beneficial Owner ☐ Executive Office	icer 🛛	Director	IJ	General and/or Managing Partner
Full Name (Last name first, if individual)				<u> </u>
Li. Yingyong				
Business or Residence Address (Number and Street, City, State, Zip Code)				
c/o Applied Harmonics Corporation, 46790 Lakeview Blvd., Fremont, CA 94538				
Check Box(es) that Apply: Promoter Beneficial Owner Executive Offi	icer 🔲	Director		General and/or Managing Partner
Full Name (Last name first, if individual)			 ,	
Luo. Weiguo				
Business or Residence Address (Number and Street, City, State, Zip Code)				
c/o Applied Harmonics Corporation, 46790 Lakeview Blvd., Fremont. CA 94538				
Check Box(es) that Apply: Promoter Beneficial Owner Executive Offi	icer 🛭	Director		General and/or Managing Partner
Full Name (Last name first. if individual)	<u>.</u>			
Tong. Lucene				
Business or Residence Address (Number and Street, City, State, Zip Code)				
c/o Applied Harmonics Corporation, 46790 Lakeview Blvd., Fremont, CA 94538				

Check Box(es) that Apply: ☐ Promoter ⊠ Beneficial Owner ☐	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, if individual)				
ASUN				
Business or Residence Address (Number and Street, City, State, Zip Code)			
Offshore Chambers, P.O. Box 217, Apia, Samoa				
Check Box(es) that Apply: ☐ Promoter ☒ Beneficial Owner ☐	Executive Officer	☐ Director		General and/or Managing Partner
Full Name (Last name first, if individual)		<u> </u>		
CSUN				
Business or Residence Address (Number and Street, City, State, Zip Code)			
No. 2-1 Kung 8 Rd., 2nd Industrial Park, Lin Kou Taipei, Taiwan				0 1 - 1/
Check Box(cs) that Apply: ☐ Promoter ☒ Beneficial Owner [Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, if individual)				
Chen, Spencer	· · · · · · · · · · · · · · · · · · ·			<u></u>
Business or Residence Address (Number and Street, City, State, Zip Code)			
1582 Jackson St., Santa Clara, CA 95050				General and/or
Check Box(cs) that Apply: ☐ Promoter ☒ Beneficial Owner ☐	Executive Officer	☐ Director	L	Managing Partner
Full Name (Last name first, if individual)				
Pan, Chung-shih				
Business or Residence Address (Number and Street, City. State, Zip Code	?)			
2608 Ramona St., Palo Alto, CA 94306				
Check Box(es) that Apply: ☐ Promoter ☒ Beneficial Owner [Executive Officer	Director	L	General and/or Managing Partner
Full Name (Last name first, if individual)				
Marketech International Corporation				
Business or Residence Address (Number and Street, City, State, Zip Code	2)			
6F., No. 3-2, Yuaneyu St., Nangang District, Taipei City 115, Taiwan, R.	o.c			
Check Box(es) that Apply: ☐ Promoter ☒ Beneficial Owner [Executive Officer	Director	. 🗆	General and/or Managing Partner
Full Name (Last name first, if individual)			_	
Wu, Bo Business or Residence Address (Number and Street, City, State, Zip Code	*)			
3300 Kenney Dr., Germantown, TN 38139				
Check Box(es) that Apply: ☐ Promoter ☒ Beneficial Owner [Executive Officer	☐ Director		General and/or Managing Partner
Full Name (Last name first, if individual)		<u> </u>		
China Sced Ventures, L.P.				
Business or Residence Address (Number and Street, City, State, Zip Code	e)			
Room 104, Building 18, No. 800 Huashan Road, Shanghai, China 200050)			

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					-																		Yes	No No
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2	Answer also in Appendix, Column 2, if filing under ULOE 2. What is the minimum investment that will be accepted from any individual?												.\$	N/	Α									
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3.	Does	the off	ering	permit	join	t owne:	rship	of a si	ngle	unit?						•••••							\boxtimes	
4.	to the state of th												sion or	S										
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	brok	er or de: nformat	aler.	If mor	e thai	n five (5) po	ersons t	to be	listed a	re as	sociate	d perso	ns o	of suc	ch a br	oker	or deal	er, y	ou may	/ set	iorth		
Full Na		ast nan					arci	oniy.																
None	,			•																				
Busines	s or l	Residen	ce Ad	ldress (Num	iber an	d Str	eet, Cit	ty, St	ate. Zip	Coc	de)												
Name o	f Ass	ociated	Brok	er or T)ealer	r																		
		ich Pers																						
(Check	"All	States"	or ch	eck inc	lividu	ual Stat	tes)											*******			_	Ш		States
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□ [RI]		[SC]		[SD]		[NT]		[TX]		[UT]		[VT]	□ {v	/A]		[WA]		[WV]		(WI)		[WY]		[PR]
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(Check	"All	States"	or ch	eck in	divid	ual Sta	tes)											•••••			_			States
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Name	of As	sociated	Brok	ker or I	Deale	r	<u></u>								_									
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_	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF	PROCEEDS	
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	Appropria	Amount
	Type of Security	Aggregate Offering Price	Already Sold
	Debt	\$	\$
	Equity	\$ 850,000.75	\$ 850,000.75
	☐ Common ☐ Preferred (convertible into Common)		
	Convertible Securities (including warrants)	\$ 510,000.31	\$
	Partnership Interests	\$	\$
	Other (Specify:)		\$
	Total	\$ 1,360,001.06	\$ 850,000.75
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	3	\$850,000.75
	Non-Accredited Investors	-0-	\$0
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.	Type of	Dollar Amount
	Type of Offering	Security	Sold
	Rule 505		\$
	Regulation A		s
	Rule 504		\$
	Total		\$
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not		
	known, furnish an estimate and check the box to the left of the estimate.	г.	c
	Transfer Agent's Fees		\$
	Printing and Engraving Costs		\$ 52,000.00
	Legal Fees	_	\$
	Engineering Fees	_	\$
	Sales Commissions (specify finders' fees separately)	===	\$
	Other Expenses (identify)		\$
	Total	\	\$ 52.000.00

	C. OFFERING PRIC	E, NUMBER OF INVESTORS, EXPENSES AN	D USE	OF PROCEEL	<u></u>		
	Ougstion Land total expenses furnished	gate offering price given in response to Part C – d in response to Part C - Question 4.a. This eds to the issuer."			\$	_	798.000.75
	used for each of the purposes shown. If the estimate and check the box to the left of the equal the adjusted gross proceeds to the issue of the issue of the instance of the i	gross proceeds to the issuer used or proposed to be amount for any purpose is not known, furnish an e estimate. The total of the payments listed must suer set forth in response to Part C – Question 4.b					
	above.			Payment to Officers, Directors, & Affiliates			yments to Others
	Salaries and fees		□ \$			\$	
	Purchase of real estate					\$	
		of machinery and equipment		S		\$	
		and facilities		S		\$	
	Acquisition of other businesses (including that may be used in exchange for the asset	the value of securities involved in this offering s or securities of another issuer pursuant to a		S		\$	
	Repayment of indebtedness			100,000.31	\boxtimes	\$	100,000
	Working capital			S	\boxtimes	\$	598,000
	- ·			S		\$	
				S	П	\$	
				100,000.31	— ⊠	\$	698,000
			۰ احتا	<u> </u>	798,0		
	Total Payments Listed (column totals add	ed)				,,,,,	
		D. FEDERAL SIGNATURE					
iΩ	nature constitutes an undertaking by the issu	ned by the undersigned duly authorized person. If the to furnish to the U.S. Securities and Exchange Coaccredited investor pursuant to paragraph (b)(2) of I	ommis:	sion, upon writter	Rule 50 reques	5, th t of	e following its staff, the
]	ssuer (Print or Type)	Signature	D	ate			
A	applied Harmonics Corporation	1/2-	. 0	october 10, 2007			
1	lame of Signer (Print or Type)	Title of Signer (Print or Type)					
	.iyue Mu	President and Chief Executive Officer					

Learny party devarihed in 17 CFR 230 262							
is any party described in 17 Crit 250,202	presently subject to any of the disqualification	provisions of such rule? Yes 🗌 No 🔯					
The undersigned hereby undertakes to furr 239.500) at such times as required by state	ish to any state administrator of any state in wl law.	nich this notice if filed, a notice on Form D (17 CFR					
The undersigned hereby undertakes to furn	ish to the state administrators, upon written rec	quest, information furnished by the issuer to offerees.					
Offering Exemption (ULOE) of the state in has the burden of establishing that these co	n which this notice if filed and understands that inditions have been satisfied.	be satisfied to be entitled to the Uniform Limited the issuer claiming the availability of this exemption his notice to be signed on its behalf by the undersigned					
suer (Print or Type)	Signature	Date					
Applied Harmonics Corporation	1/2	October 10, 2007					
ame (Print or Type)	Title of Signer (Print or Type)						
Liyue Mu	President and Chief Executive (President and Chief Executive Officer					
	ATTENTION						

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APPENDIX

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								Disquali	
							ļ	under	
			Type of security				i	ULO (if yes,	
·	Intend	to sell ccredited	and aggregate offering price	•	Type of inves	stor and		explana	
]		s in State	offered in State		amount purchase	ed in State		waiver g	granted)
		Item 1)	(Part C-Item 1)		(Part C-Iter			(Part E-	Item 1)
						Number of		<u>'</u>	
				Number of		Non-			
64-4-	Yes	No		Accredited Investors	Amount	Accredited Investors	Amount	Yes	No
State AL	l es			THVESTOIS					
AK			-				-		
AZ									
AR									
			Series C			 			157
CA		×	Preferred Stock	3	\$850,000.75		ļ		X
CO									
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NM									
NY							 		
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	APPENDIX												
1	2		3		4			5	1				
	Intend to sell to non-accredited investors in State (Part B-Item 1)		Type of security and aggregate offering price offered in State (Part C-Item 1)		Disquali under ULC (if yes, explana waiver g (Part E-	State DE attach tion of granted)							
State	Yes	No		Number of Accredited Investors	Amount	Number of Non- Accredited Investors	Amount	Yes	No				
ОН													
OK													
OR													
PA													
RI													
SC													
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TX	ū												
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