FORM D



UNITED STATES (` SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D
NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
INIFORM LIMITED OFFERING EXEMPTION

OMB	APPROVAL
Expires: Estimated avera	
SEC	USE ONLY
Prefix	Serial
1	1
DATE	RECEIVED
ı	1

Name of Offering	(☐ check if this is an an	nendment and name l	has changed, and ir	ndicate change.)		
U.S. Dollar-Denomir	nated Interests of AXA R	osenberg Internatio	nal Equity Instituti	onal Fund, LLC		
Filing Under (Check b	pox(es) that apply):	☐ Rule 504	☐ Rule 505	□ Rule 506	☐ Section 4(6) ☐	ULOE
Type of Filing:	☐ New Filing					
		A. BASIC	DENTIFICAT	ION DATA	Section 1	
U.S. Dollar-Denominated Interests of AXA Rosenberg International Equity Institutional Fund, LLC Filing Under (Check box(es) that apply):						
Name of Issuer	check if this is an am	endment and name h	as changed, and in	dicate change.	ZZ ZFW 1 1 S	
AXA Rosenberg Inte	ernational Equity Institut	ional Fund, LLC			No.	fair of
Address of Executive	Offices		(Number and Stree	et, City, State, Zip Co		(including Area Code)
c/o AXA Rosenberg	Investment Managemen	t LLC, 4 Orinda Way	y, Orinda, CA 9456	3	(925) 235-3311/	
Address of Principal (Offices		(Number and Street	et, City, State, Zip Co	ode) Telephone Number	(Including Area Code)
(if different from Exec	cutive Offices)			TUCESSE	D	
Brief Description of B	lusiness: private inv	estment company		\CD 0 - ccc		
				DEP 2 4 2007/	<u> </u>	
Type of Business Org	ganization			THORASON '		
[corporation	☐ limited p	artnership, already		other (please specify)	
[☐ business trust	☐ limited p	partnership, to be fo	WARANCIAL	Limited Liability Compa	any
			Month	Yea	<u>ar</u>	
Actual or Estimated [Date of Incorporation or Or	ganization:	0 5	0	4 🛛 Actual	Estimated
Jurisdiction of Incorpo	oration or Organization: (I	Enter two-letter U.S. F	Postal Service Abbre	eviation for State;		
		CI	N for Canada; FN fo	or other foreign jurisc	diction) D	E

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

A. BASIC IDENTIFICATION DATA											
 Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. 											
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director							
Full Name (Last name first, i	f individual):										
Business or Residence Address (Number and Street, City, State, Zip Code): 4 Orinda Way, Orinda, CA 94563											
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner		☐ Director	☐ General and/or Managing Partner						
Full Name (Last name first, i	f individual):	Reid, Kenneth									
Business or Residence Adda CA 94563	ess (Number and	Street, City, State, Zip Coo	de): c/o AXA Rosenber	g Investment Ma	nagement LLC, 4 Orinda Way, Orinda,						
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☑ Executive Officer	Director	General and/or Managing Partner						
Full Name (Last name first, i	f individual):	Ricks, William									
Business or Residence Addi CA 94563	ress (Number and	Street, City, State, Zip Coo	de): c/o AXA Rosenber	g Investment Ma	nagement LLC, 4 Orinda Way, Orinda,						
Check Box(es) that Apply:	☐ Promoter	☑ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner						
Full Name (Last name first, i	f individual):	Electrolux Home Pro	oducts								
Business or Residence Add CA 94563	ress (Number and	Street, City, State, Zip Coo	de): c/o AXA Rosenber	g Investment Ma	nagement LLC, 4 Orinda Way, Orinda,						
Check Box(es) that Apply:	Promoter	⊠ Beneficial Owner	Executive Officer	Director	☐ General and/or Managing Partner						
Full Name (Last name first, i	f individual):	Texas A&M Universi	ty System Cash Concentra	ation Pool	****						
Business or Residence Addi CA 94563	ress (Number and	Street, City, State, Zip Coo	de): c/o AXA Rosenber	rg Investment Ma	nagement LLC, 4 Orinda Way, Orinda,						
Check Box(es) that Apply:	☐ Promoter	□ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner						
Full Name (Last name first, i	f individual):	Texas A&M Universi	ty System Endowment Fur	nd							
Business or Residence Add CA 94563	ress (Number and	Street, City, State, Zip Coo	de): c/o AXA Rosenber	rg Investment Ma	nagement LLC, 4 Orinda Way, Orinda,						
Check Box(es) that Apply:	Promoter	Beneficial Owner	☐ Executive Officer	Director	General and/or Managing Partner						
Full Name (Last name first,	f individual):										
Business or Residence Add	ress (Number and	Street, City, State, Zip Coo	de):								
Check Box(es) that Apply:	Promoter	Beneficial Owner	☐ Executive Officer	Director	General and/or Managing Partner						
Full Name (Last name first,	Full Name (Last name first, if individual):										
Business or Residence Add	ress (Number and	Street, City, State, Zip Coo	de):								
Check Box(es) that Apply:	☐ Promoter	Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner						

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

						В.	INFURN	MATION	ABOUT	OFFER	ING			
1.	Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering? Answer also in Appendix, Column 2, if filling under ULOE.										☐ Yes	⊠ No		
2.	2. What is the minimum investment that will be accepted from any individual?										\$ <u>5,000,000</u> ** **May be waived			
3.	Does the	fferir	ng permit	joint own	ership of a	single uni	t?	•••••				*******	✓ Yes	□ No
	4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.													
Full N	lame (Las	nam	ne first, if	individual) N/A									
Busir	ess or Re	siden	nce Addre	ess (Numb	er and Str	eet, City, S	State, Zip	Code)						
Name	of Assoc	ated	Broker o	or Dealer			•							
					cited or In			nasers						☐ All States
□ [A	L) 🗆 (A	K]	☐ [AZ]	[AR]	☐ [CA]	[CO]	□ [CT]	□ [DE]	□ [DC]	[FL]	□ [GA]	[HI]	[ID]	_
	.] 🔲 [!!	1]	□ [IA]	□ [KS]	□ [KY]	☐ [LA]	☐ [MÉ]	☐ [MD]	[MA]	[MI]	☐ [MN]	☐ [MS]	[MO]	
□ [N	אן 🗆 (דו	E)	□ (NV)	□ [NH]	□ [NJ]	□ [NM]	□ [NY]	☐ [NC]	□ [ND]	□ [OH]	□ [OK]		□ [PA]	
□ (F	i) 🗆 (S	C]	[SD]	[TN]	□ [TX]	[TU]	□ [VT]	□ [VA]	□ [WA]	□ [WV]	□ [WI]	□ [WY]	□ [PR]	
Full N	lame (Las	nam	ne first, if	individual)									
Busir	ess or Re	siden	nce Addre	ess (Numb	er and Str	eet, City, S	State, Zip	Code)			•			
Nam	e of Assoc	ated	Broker o	r Dealer										
					cited or Int			nasers						☐ All States
□ [A	L] [A	K)	□ [AZ]	[AR]	CA]	[CO]		□ [DE]		[FL]	☐ [GA]	□ [HI]	[ID]	
	•	-	[AI]					[MD]					- · · ·	
□ [N	(Ti	E]	□ (NV)	□ [NH]	□ [nη]	□ [NM]	□ [иү]	☐ [NC]	□ [ND]	□ [OH]	□ [OK]	[OR]	□ [PA]	
☐ [F	i) 🗆 (S	C]	□ (SD)	☐ [TN]	□ [TX]	[TU]	□ [VT]	□ [VA]	□ [WA]	[WV]	[WI]	[WY]	☐ [PR]	
Full N	lame (Las	nam	ne first, if	individual)				_					
Busir	ess or Re	siden	nce Addre	ess (Numb	er and Str	eet, City, S	State, Zip	Code)					<u>-</u> .	
Nam	of Assoc	ated	Broker o	r Dealer										
					cited or Int			nasers						☐ All States
□ (A	L] 🔲 [A	K]	□ [AZ]	☐ [AR]	CA)	☐ [CO]	CT]	□ [DE]	□ [DC]	[FL]	☐ [GA]	[HI]	□ [ID]	
□ [II	.] 🔲 [#]	[IA]	[KS]	☐ [KY]	□ [LA]	☐ [ME]	☐ [MD]	☐ [MA]	[MI]	☐ [MN]	☐ [MS]	[MO]	
□ {N	[T]	E) '	□ [NV]	□ [NH]	□ [NJ]	□ [NM]	□ [NY]	☐ [NC]	[ND]	□ [OH]	□ [OK]	□ [OR]	□ [PA]	
☐ (F	i) 🗆 [S	C]	□ [SD]	□ [TN]	[XT]	[[[[VT]	□ [VA]	□ [WA]	□ [WV]	□ [WI]	□ [WY]	☐ [PR]	
					(Use bla	nk sheet, o	or copy an	d use addi	tional copi	es of this s	sheet, as n	ecessary)		

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

١.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \(\) and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.				
	Type of Security		Aggregate Offering Price		Amount Already Sold
	Debt	<u>\$</u>	0	\$	0
	Equity	\$	0	<u>\$</u>	0
	☐ Common ☐ Preferred				
	Convertible Securities (including warrants)	\$	0	<u>\$</u>	
	Partnership Interests	<u>\$</u>	0	\$	0
	Other (Specify) U.S <u>Dollar-Denominated Interests</u>)	\$	1,000,000,000	\$	386,538,850
	Total	\$	1,000,000,000	\$_	386,538,850
	Answer also in Appendix, Column 3, if filing under ULOE	-			
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."				
			Number Investors		Aggregate Dollar Amount of Purchases
	Accredited Investors		13	\$	386,538,850
	Non-accredited Investors		0	<u>\$</u>	0
	Total (for filings under Rule 504 only)		0	<u>\$</u>	0
	Answer also in Appendix, Column 4, if filing under ULOE				
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C—Question 1.				
	Type of Offering		Types of Security		Dollar Amount Sold
	Rule 505		N/A	\$	N/A
	Regulation A		N/A	\$	N/A
	Rule 504		N/A	\$	N/A_
	Total		N/A	\$	N/A
1 .	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.				
	Transfer Agent's Fees		🗆	\$. 0
	Printing and Engraving Costs		🗆	\$	0
	Legal Fees		🛛	\$	10,000
	Accounting Fees			\$	0
	Engineering Fees		🗆	\$	0
	Sales Commissions (specify finders' fees separately)		🗖	\$	0
	Other Expenses (identify)		🗆	\$	0
	Total		🛛	\$	10,000

	C. OFFERING PRICE, NUMBER	OF INVESTORS, EXPE	INSES A	ND 025 O	PRO	CEED!	<u> </u>	
4	b. Enter the difference between the aggregate offering pric Question 1 and total expenses furnished in response to Part "adjusted gross proceeds to the issuer."	C-Question 4.a. This differen	nce is the			<u>\$</u>		999,990,000
5	Indicate below the amount of the adjusted gross proceeds to used for each of the purposes shown. If the amount for any estimate and check the box to the left of the estimate. The the adjusted gross proceeds to the issuer set forth in response	purpose is not known, furnish otal of the payments listed mu	an st equal	Paymen Office Directo Affiliat	rs, rs&			Payments to Others
	Salaries and fees			\$	0		\$	0
	Purchase of real estate			\$	0	. 🗆	\$	0
	Purchase, rental or leasing and installation of machin	nery and equipment		\$	0		\$	<u> </u>
	Construction or leasing of plant buildings and facilitie	S		\$	0_		\$	0
	Acquisition of other businesses (including the value offering that may be used in exchange for the assets pursuant to a merger	or securities of another issue	· 🗆	<u>\$</u>	0	. 🗆	\$	0
	Repayment of indebtedness			\$	0	. 🗆	<u>\$</u>	
	Working capital			\$	0	×	\$	999,990,000
	Other (specify):			\$	0		\$	
				\$	0_		<u>\$</u>	0
	Column Totals			\$	0	⊠	\$	999,990,000
	Total payments Listed (column totals added)			×	\$_	99	9,990,	000
	D	. FEDERAL SIGNATUR	RE					
co	is issuer has duly caused this notice to be signed by the undenstitutes an undertaking by the issuer to furnish to the U.S. So the issuer to any non-accredited investor pursuant to paragra	ecurities and Exchange Comm	n. If this no nission, upo	otice is filed un in written requ	der Rule est of its	505, the	e follov	wing signature nation furnished
A	Suer (Print or Type) (A Rosenberg International Equity Institutional and, LLC	ignature Miller Bross	n		Da	ete /	10	7
Na	• • • • • • • • • • • • • • • • • • • •	itle of Signer (Print or Type)				7		-
Kε		eputy Chief Investment Offic lanaging Member	cer of AXA	Rosenberg II	rvestme	nt Mana	geme	nt LLC, its

ATTENTION

		E. STATE SIGNATURE							
1.	Is any party described in 17 CFR 230.262 presentl provisions of such rule?	y subject to any of the disqualification	Yes No						
	See Appe	endix, Column 5, for state response.							
2.	The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed a notice on Form D (17 CFR 239.500) at such times as required by state law.								
3.	The undersigned issuer hereby undertakes to furni	sh to the state administrators, upon written request,	information furnished by the issuer to offerees.						
4.		is familiar with the conditions that must be satisfied is filed and understands that the issuer claiming the tisfied.							
	suer has read this notification and knows the contents rized person.	to be true and has duly caused this notice to be sign	ned on its behalf by the undersigned duly						
	r (Print or Type) Rosenberg International Equity Institutional Fund,	d, Signature Date 9/13/5-7							
Name	of Signer (Print or Type)	Title of Signer (Print or Type)							
Kathle	een Brown	Deputy Chief Investment Officer of AXA Rosenberg Investment							

Management LLC, its Managing Member

Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

				API	PENDIX	· · · · · · · · · · · · · · · · · · ·	·		
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1	Intend to sell to non-accredited investors in State (Part B – Item 1)		Type of security and aggregate offering price offered in state (Part C – Item 1)		Disqualification under State ULOE (if yes, attach explanation of waiver granted) (Part E – Item 1)				
State	Yes	No	U.S Dollar- Denominated Interests	Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No
AL									
AK									
AZ									
AR									
CA		х	\$1,000,000,000	1	9,547,548	0	\$0		х
СО									
СТ		х	\$1,000,000,000	1	\$22,610,654	0	\$0		х
DE									
DC		x	\$1,000,000,000	1	\$2,687,932	0	\$0		х
FL									
GA		x	\$1,000,000,000	1	8,825,000	0	\$0		х
ні									
ID									
IL	-								
IN							 -		
IA									
KS									
KY									
LA									
ME									
MD									
MA		Х	\$1,000,000,000	1	\$11,532,409	0	\$0		Х
M1									
MN									
MS									
МО									
MT									
NE									
NV									
NH									
NJ									
NM							-		

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				AP	PENDIX										
1		2	3		4										
	to non-a	I to sell ccredited s in State – Item 1)	Type of security and aggregate offering price offered in state (Part C – Item 1)		Type of investor and Amount purchased in State (Part C – Item 2)						Type of investor and expl Amount purchased in State waive			Disqual under Sta (if yes, explana waiver of (Part E -	attach attach ation of granted)
State	Yes	No	U.S Dollar- Denominated Interests	Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No						
NY															
NC		х	\$1,000,000,000	1	\$15,905,043	0	\$0	-	х						
ND			-												
ОН		х	\$1,000,000,000	1	\$74,301,937	0	\$0		х						
ок															
OR															
PA		х	\$1,000,000,000	2	\$12,426,904	0	\$0		х						
RI															
sc															
SD									<u> </u>						
TN									<u> </u>						
TX		×	\$1,000,000,000	3	\$209,367,954	0	\$0		X						
UT									<u> </u>						
VT									<u> </u>						
VA									ļ						
WA		Х	\$1,000,000,000	1	\$19,378,468	0	\$0		X						
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WY		<u> </u>							<u> </u>						
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