FORM D

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

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OMB	APPRO\	/AL
OMB Num		3235-0076
Expires: Estimated	April 3	0,2008
Estimated `	average	burden
hours nor r	aenonea	16.00

SEC	USE ONLY
Prefix	Serial
DATE	RECEIVED
1	1

Name of Offering (check if this is an amendment and name has changed, and indicate change.)	
Common stock of Association Financial Services Inc.	<i>à</i> -
Filing Under (Check box(es) that apply): Rule 504 Rule 505 Rule 506 Section 4(6)] ULOE
Type of Filing: New Filing Amendment	A STATE OF THE STA
A. BASIC IDENTIFICATION DATA	
Enter the information requested about the issuer	Name of the state
Name of Issuer (check if this is an amendment and name has changed, and indicate change.)	\ 2 ~ 8\\
Association Financial Services Inc.	
Address of Executive Offices (Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
1935 Vine Street, Suite 120, Salt Lake City, Utah 84121 (80	1) 597-0174
Address of Principal Business Operations (Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
(if different from Executive Offices) 1935 Vine Street, Suite 120, Salt Lake City, Utah 84121 PROCESSED (80	1) 274-1820
Brief Description of Business Financial planning service business SEP 2.1 2007 E	
Financial planning service business SEP 2.1.2007	F (ARHIN TEXT) (BANK TEXT) (BREW LOTTE BANK TEXT) (BET 1885)
Time of Business Consideration THOMSON	. 1817/ 1811 1814 1814 1814 1814 1814 1814 181
Type of Business Organization	
corporation limited partnership, alrea other (please	se specify): 07078007
business trust limited partnership, to be formed	0101001
Month Year	
Actual or Estimated Date of Incorporation or Organization: b b [9] [0 [6] Actual Estimate	ed .
Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State: CN for Canada; FN for other foreign jurisdiction)	٧V
GENERAL INSTRUCTIONS	······

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION -

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predictated on the filing of a federal notice.

2. Enter the information re	auested for the fo		ENTIFICATION DATA		
	•	J	ithin the nact five years		
•		suer has been organized w	•	-6.100/	61
		-			f a class of equity securities of the issuer
		of corporate issuers and of	corporate general and mai	naging partners of	partnership issuers; and
Each general and i	managing partner o	of partnership issuers.			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer		General and/or Managing Partner
Full Name (Last name first, Watkins, Martin A.	f individual)			*************************************	
Business or Residence Addre 2267 Waterside Circle, S	ess (Number and outh Jordan, UT	Street, City, State, Zin Co 84095	ode)		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, Ingersoll, Alissa	if individual)				
Business or Residence Addre 1935 Vine Street, Suite 12			ode)		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first. Babcock, Michael	if individual)	, , - -			
Business or Residence Addre 1935 Vine Street, Suite 12			ode)		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				
Business or Residence Addre	ess (Number and	Street, City, State, Zip Co	ode)		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first.	if individual)				
Business or Residence Addre	ess (Number and	Street, City, State, Zip Co	ode)		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				_
Business or Residence Addre	ess (Number and	Street. Citv. State. Zip Co	ode)		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				
Business or Residence Addre	ess (Number and	Street, City, State, Zip Co	ode)		

					B. 17	NFORMAT	ION ABOU	T OFFERI	NG				
1.	Has the	issuer solo	l, or does th			ll, to non-a				_		Yes	No X
2.	What is	the minim	ium investm			• •		-				100 \$	0.00
_	_	40.1										Yes	No
3.			permit join										X
4.	commis If a pers or state	ssion or sim son to be lis s, list the na	tion request ilar remune sted is an ass ame of the b you may so	ration for s sociated pe roker or de	solicitation erson or age caler. If mo	of purchase ent of a brok ore than five	ers in conne er or deale e (5) persor	ection with r registered ns to be list	sales of sec I with the S ed are asso	curities in t EC and/or	he offering. with a state		
Ful N/A		Last name	first, if indi	ividual)					· · ·				
Bu	siness or	Residence	Address (N	umber and	d Street, Ci	ity, State, Z	Cip Code)						
Nai	me of As	sociated Br	roker or De	aler				-			<u> </u>		
Sta	tes in Wi	hich Person	Listed Has	Solicited	or Intends	to Solicit	Purchasers		 .	· · · · · ·			<u>,,, , , , , , , , , , , , , , , , , , </u>
	(Check	"All States	s" or check	individual	States)		***************************************		*****************	***************************************		☐ Ai	l States
	AL IL MT R1	AK IN NE SC	AZ IA NV SD	AR KS NH TN	CA KY NJ TX	CO LA NM UT	CT ME NY VT	DE MD NC VA	DC MA ND WA	FL MI OH WV	GA MN OK WI	MS OR WY	MO PA PR
Ful	II Name (Last name	first, if indi	(vidual)									
Bu	siness or	Residence	Address ()	Number an	d Street. C	lity. State.	Zin Code)	-					···
Na	me of As	sociated Br	roker or De	aler						· · · · · · · · · · · · · · · · · · ·			
Sta	tes in Wi	hich Persor	Listed Has	Solicited	or Intends	to Solicit	Purchasers			_	-		
	(Check	"All States	s" or check	individual	States)				***************************************		,	☐ Al	l States
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Ful	ll Name (Last name	first, if indi	ividual)								- -	
Bu	siness or	Residence	Address (1	Number an	d Street, C	City, State,	Zip Code)						
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	(Check	"All State:	s" or check	individual	States)				****************	***************************************		☐ Al	1 States
	AL IL MT RI	AK IN NE SC	AZ IA NV SD	AR KS NH TN	CA KY NJ TX	CO LA NM UT	CT ME NY VT	DE MD NC VA	DC MA ND WA	FL MI OH WV	GA MN OK WI	HI MS OR WY	ID MO PA PR

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS $% \left(\mathbf{r}\right) =\left(\mathbf{r}\right)$

Type of Security Debt Debt Equity Common Preferred Convertible Securities (including warrants) Partnership Interests Other (Specify Total Answer also in Appendix, Column 3, if filing under ULOE. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero." Accredited Investors Accredited Investors Total (for filings under Rule 504 only) Answer also in Appendix, Column 4, if filing under ULOE. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offerings Classify securities by type listed in Part C — Question 1. Type of Offering Rule 505 Dollar A Sold Sold Sold Sold Sold Sold Sold Sold Sold Amount A of Offering Price Sold Sold Amount A condition Price Sold Sold Amount A condition Preferred On Sold Amount A condition Preferred On Sold Amount A condition Preferred On Sold Sold Type of Offering Sold Sold	
Equity	
Convertible Securities (including warrants) Partnership Interests Other (Specify Total Answer also in Appendix, Column 3, if filing under ULOE. 2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero." Accredited Investors Non-accredited Investors Total (for filings under Rule 504 only) Answer also in Appendix, Column 4, if filing under ULOE. 3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1. Type of Offering Rule 505 Type of Offering Rule 505 Sold	
Convertible Securities (including warrants)	
Partnership Interests	
Other (Specify	
Total	
Answer also in Appendix, Column 3, if filing under ULOE. 2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero." Aggre Number Investors Accredited Investors Non-accredited Investors Non-accredited Investors Non-accredited Investors Answer also in Appendix, Column 4, if filing under ULOE. 3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1. Type of Security Sold Rule 505 Sold Rule 505 Sold Sold	
2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero." Accredited Investors	
Accredited Investors Dollar Accredited Investors 5 219295 Non-accredited Investors 0 \$ 219295 Non-accredited Investors 1 \$ 219295 Total (for filings under Rule 504 only) 1 \$ 219295 Answer also in Appendix, Column 4, if filing under ULOE. 3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1. Type of Offering Security Sold Rule 505 Sold \$ \$	egate.
Non-accredited Investors	mount hases
Total (for filings under Rule 504 only)	
Answer also in Appendix, Column 4, if filing under ULOE. 3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1. Type of Offering Type of Offering Rule 505	 ;
3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1. Type of Security Sold Rule 505	
Type of Offering Security Sold Rule 505	
Regulation A	
Rule 504 Equity-Common § 219295	-
Total)
 a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate. 	
Transfer Agent's Fees	
Printing and Engraving Costs	
Legal Fees	
Accounting Fees	
Engincering Fees	
Sales Commissions (specify finders' fees separately)	
Other Expenses (identify) \$ 0	
Total	

	b. Enter the difference between the aggregate offering and total expenses furnished in response to Part C — Q proceeds to the issuer."	uestion 4.a. This difference is the "adjusted gross		217895
i.	Indicate below the amount of the adjusted gross proceeds to the purposes shown. If the amount for any check the box to the left of the estimate. The total of the proceeds to the issuer set forth in response to Part C	eed to the issuer used or proposed to be used for purpose is not known, furnish an estimate and he payments listed must equal the adjusted gross		\$
	·		Payments to Officers, Directors, & Affiliates	Payments to Others
	Salaries and fees	[]\$_ ⁰	
	Purchase of real estate			\$
	Purchase, rental or leasing and installation of machiand equipment			 \$_
	Construction or leasing of plant buildings and facili	ities[յ \$ <mark>^</mark>	. 🗆 \$
	Acquisition of other businesses (including the value offering that may be used in exchange for the assets issuer pursuant to a merger)	s or securities of another	¬\$ ⁰	. ¬\$
	Repayment of indebtedness	·	- · -	_
	Working capital			
	Other (specify):]\$. []\$
		[. 🗆 \$
	Column Totals		\$_ ²¹⁷⁸⁹⁵	\$ <u></u> 0
	Total Payments Listed (column totals added)		□\$ <u></u>	7895 ————
		D. FEDERAL SIGNATURE		1
igr	issuer has duly caused this notice to be signed by the u ature constitutes an undertaking by the issuer to furni nformation furnished by the issuer to any non-accre	ish to the U.S. Securities and Exchange Commis	sion, upon writte	
ssu	er (Print or Type)	Signature /	Date (7
	sociation Financial Services Inc.	1 2 07/////	- Y-	1-0-1
		Title of Signer (Print or Type) President		

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