FORM D

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
NIFORM LIMITED OFFERING EXEMPTION

1289	1356
OMB AP	PROVAL
OMB Number:	3235-0076
Expires:	April 30, 2008
Estimated averag	
nours per respo	nse 16.00
SEC USI	E ONLY
Prefix	Serial
1	1

DATE RECEIVED

Name of Offering (check if this is an amendment and name has changed, and indicate change.) Series B Preferred Stock Financing	
Thing of the Control	Section 4(6) ULOE
Type of Filing:	
A. BASIC IDENTIFICATION DATA	
1. Enter the information requested about the issuer Name of Issuer (check if this is an amendment and name has changed, and indicate change.)	07077607
Astra Business Services, Inc. (formerly Astra Financial Advisors, Inc.)	
Address of Executive Offices (Number and Street, City, State, Zip Code) 1038 Redwood Highway, Suite B2, Mill Valley, CA 94941	Telephone Number (Including Area Code) 415-384-0730
Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices)	Telephone Number (Including Area Code)
Same as above.	
Brief Description of Business Sales activities	not a
Type of Business Organization Corporation I limited partnership, already formed business trust I limited partnership, to be formed other	THOMSON (please specific NCIA)
Actual or Estimated Date of Incorporation or Organization: Month Year	Actual Estimated ate:

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

. ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Saberile di Leed		A. BASIC I	DENTIFICATION DATA	<u>Cidali Aspa</u>	
 Each beneficial ov Each executive off 	the issuer, if the issuer l oner having the power t	has been organized within t to vote or dispose, or direct rporate issuers and of corpo			f equity securities of the issuer; ssuers; and
Check Box(es) that Apply:	Promoter	Beneficial Owner	r 🛛 Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, Waheed, Feroze A.	if individual)				
Business or Residence Addi	ess (Number and Stre	et City State Zin Code)			
1038 Redwood Highway, S	•				
Check Box(es) that Apply:	Promoter	Beneficial Owner	r 🛛 Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				
Stephan, Connie	,				
Business or Residence Adda	ess (Number and Stre	et, City, State, Zip Code)			
1038 Redwood Highway, S	·				
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				
Balaraj, KP	•				
Business or Residence Adda	ess (Number and Stre	et, City, State, Zip Code)			
			'A" Wing, No. 11, O'Shau	gnessy Road, Shant	hinagar, Bangalore 560025 India
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, Wendell, Peter C.	if individual)				
Business or Residence Addr	ess (Number and Stre	et. City. State. Zin Code)			
3550 Washington Street, S					
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)			•	
Westbridge Ventures I, LI	.c				
Business or Residence Addr	ess (Number and Stre	et, City, State, Zip Code)		•	
Attn: Hari Agrawal, 3 rd Fl	oor, Les Cascades, E	Edith Cavell Street, Port	Louis, Mauritius		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				
Sierra Ventures VIII-A, L	Р.	<u> </u>	·		
Business or Residence Addr	ess (Number and Stre	et, City, State, Zip Code)			
Attn: Peter Wendell, 2884	Sand Hill Road, Suit	te 100, Menlo Park, CA	94025		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				
Business or Residence Addr	ess (Number and Stree	et, City, State, Zip Code)			
	(Lise blani	k sheet or conv and use a	dditional conies of this shee	t as necessary)	

1. Has the	Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?						Yes	. 🔯				
2. What i	Answer also in Appendix, Column 2, if filing under ULOE. What is the minimum investment that will be accepted from any individual?							. \$ No minimum				
											Yes	No
											\boxtimes	
	he informatio eration for so	•	•			-	_		•			
person	or agent of a	broker or dea	aler registered	d with the SE	C and/or wit	h a state or st	ates, list the	name of the b	roker or dea	ler. If more		
than fir dealer	ve (5) person: only.	s to be listed	are associated	d persons of	such a broke	r or dealer, y	ou may set fo	orth the inform	nation for th	at broker or		
	Last name fir	st, if individu	ual)									•
Pusiness or	Residence Ac	ddraes (Numl	her and Street	t City State	Zin Code)							
Dusiness of	Kesidence Ad	adiess (i daini	oei and succ	i, City, State	, zip code)							
Name of Ass	sociated Brol	er or Dealer									•	
States in Wh	nich Person L	isted Has Sol	licited or Inte	nds to Solici	it Purchasers		<u></u>					
(Check "A	All States" or	check indivi	duals States)	***************************************		***************************************	***************************************				□▲	11 States
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1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \(\subseteq \) and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	-	\$ 0
	Equity		\$ 13,199,996.36
	Common Preferred	\$ <u>14,800,000.08</u>	Ψ <u>13,173,730.30</u>
	Convertible Securities (including warrants)	\$ 2363.51**	\$ 2,363.51
	Partnership Interests		\$ 0
	Other (Specify)	<u> </u>	s 0
	Total		\$_13,202,359.87
	Answer also in Appendix, Column 3, if filing under ULOE.		
	*Amended to increase aggregate offering price by \$4,000,000.26. **Purchase price of \$0.001 for warrants for 2,363,507 shares of Series B Preferred Stock.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number Investors	Aggregate Dollar Amount of Purchase
	Accredited investors	21	\$ <u>13,202,359.87</u>
	Non-accredited Investors	0	\$0
	Total (for filings under Rule 504 only)	0	\$0
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.		
	Type of Offering	Type of Security	Dollar Amount Sold
	Rule 505	0	s 0
	Regulation A	0	s o
	Rule 504		\$ 0
	Total	0	\$0
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		·
	Transfer Agent's Fees		\$0
	Printing and Engraving Costs		\$0
	Legal Fees	⊠ '	\$52,111.00
	Accounting Fees		\$0
	Engineering Fees		s <u> </u>
	Sales Commissions (specify finders' fees separately)		\$0
	Other Expenses (identify)	□ □	\$0
	Total	\boxtimes	\$ 52,111.00

Indicate below the amount of the adjusted gross proceeds to the issuer used or proposed to be used for each of the purposes shown. If the amount for any purpose is not known, furnish an estimate and check the box to the left of the estimate. The total of the payments listed must equal the adjusted gross proceeds to the issuer set forth in response to Part C - Question 4.b above. Payments to Officers, Directors & Affiliates Salaries and fees	total expenses furnished in response to Part C - Question 4.a. This difference is the "adjusted gross proceeds to the issuer." Indicate below the amount of the adjusted gross proceeds to the issuer used or proposed to be used for each of the purposes shown. If the amount for any purpose is not known, furnish an estimate and check the box to the left of the estimate. The total of the payments listed must equal the adjusted gross proceeds to the issuer set forth in response to Part C - Question 4.b above. Payments to Officers, Directors & Payments to Attiliates Officers, Directors & Payments To Attiliates Salarics and fees	C. OFFERING	G PRICE, NUMBER OF INVESTORS, EXPENSES AND	USE OI	F PROCEEDS		
the purposes shown. If the amount for any purpose is not known, furnish an estimate and check the box to the left of the estimate. The total of the payments listed must equal the adjusted gross proceeds to the issuer set forth in response to Part C - Question 4.b above. Payments to Officers, Directors & Affiliates Other Salaries and fees	the purposes shown. If the amount for any purpose is not known, furnish an estimate and check the box to the left of the estimate. The total of the payments listed must equal the adjusted gross proceeds to the issuer set forth in response to Part C - Question 4.b above. Payments to Officers, Directors &	total expenses furnished in response to Par	t C - Question 4.a. This difference is the "adjusted gross	,		\$ <u>14,74</u>	7,889.08
Salaries and fees	Salaries and fees	the purposes shown. If the amount for any pleft of the estimate. The total of the payme	purpose is not known, furnish an estimate and check the box to the ents listed must equal the adjusted gross proceeds to the issuer s	the set			
Purchase of real estate	Purchase of real estate			Office	ers, Directors &	•	
Purchase, rental or leasing and installation of machinery and equipment	Purchase, rental or leasing and installation of machinery and equipment	Salaries and fees		□ s_	0	□ s	0
Construction or leasing of plant buildings and facilities	Construction or leasing of plant buildings and facilities	Purchase of real estate		□ \$ _	0	S	0
Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger) \$ 0 \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger)	Purchase, rental or leasing and installation	of machinery and equipment	□ \$ _	0	□ s	0
used in exchange for the assets or securities of another issuer pursuant to a merger)	used in exchange for the assets or securities of another issuer pursuant to a merger) \$ 0 \$ \$ 0 Repayment of indebtedness \$ 0 \$ \$ 0 Working capital \$ 0 \$ \$ 14,747,889.08 Other (specify): \$ 0 \$ \$ \$ \$ \$ \$ 0 Column Totals \$ 0 \$ \$ \$ \$ \$ \$ 0 Total Payments Listed (column totals added) \$ \$ 14,747,889.08 D. FEDERAL SIGNATURE issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes a rataking by the issuer to furnish the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnished by the issuer to any non edited investor pursuant to paragraph (b)(2) of Rule 502. er (Print or Type) Business Services, Inc. Title of Signer (Print or Type) Title of Signer (Print or Type) Title of Signer (Print or Type)	Construction or leasing of plant buildings	and facilities	□ s_	0	S	0
Working capital	Working capital	Acquisition of other businesses (including used in exchange for the assets or securitie	the value of securities involved in this offering that may be as of another issuer pursuant to a merger)	□ s_	0	☐ \$	0
Working capital	Working capital	Repayment of indebtedness		\$ _	0	□ s	0
Other (specify): Column Totals	Other (specify):	•					
Column Totals	Total Payments Listed (column totals added)	Other (specify):		□ s_	0		
Total Payments Listed (column totals added)	Total Payments Listed (column totals added)	Column Totals		□ \$ _	0	⊠ \$ <u>14,7</u>	47. <u>889.08</u>
The issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constant and exchange Commission, upon written request of its staff, the information furnished by the issuer to accredited investor pursuant to paragraph (b)(2) of Rule 502. Sequence (Print or Type) Signature Signature Signature September 28, 2007	issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes a crtaking by the issuer to furnish the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnished by the issuer to any non edited investor pursuant to paragraph (b)(2) of Rule 502. Print or Type Signature Date September 28, 2007			_			
ssuer (Print or Type) Signature Signature	er (Print or Type) Business Services, Inc. Business Services, Inc. Title of Signer (Print or Type)		D. FEDERAL SIGNATURE				
Astra Business Services, Inc. Comme September 28, 2007	ra Business Services, Inc. September 28, 2007 Title of Signer (Print or Type) September 28, 2007	indertaking by the issuer to furnish the U.S. Securi	ities and Exchange Commission, upon written request of its staff,				
	ne of Signer (Print or Type) Title of Signer (Print or Type)	• • • • • • • • • • • • • • • • • • • •	Signature Da Nie Standar S.		18 2007		
tame of Signer (Finit of Type) True of Signer (Finit of Type)		Astra Business Services, Inc. Name of Signer (Print or Type)		ptemoer	5∠0 ,2001	<u></u>	
		• • •		_			
			ATTENTION				
ATTENTION	ATTENTION	Intentional Misstatements	or Omissions of Fact Constitute Federal Criminal Violation	ns. (Ser	e 18. U.S.C. 100	1.)	