

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C.20549

FORM D
NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

1118742

OMB APPROVAL
OMB Number 3235-0076
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Estimated average burden
hours per response.......16.00

SEC USE ONLY					
Prefix	Serial				
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<u> </u>	
Name of Offering (Check if this is an amendment and name has changed, and indicate change.) D&A Domestic Small Cap Growth Fund III, L.P.	
Filing under(Check box(es) that apply): Rule 504 Rule 505 R Rule 506 Section 4 Type of Filing: New Filing Amendment	(6) ULOE
A.BASIC IDENTIFICATION DATA 1.Enter the information requested about the issuer	
Name of Issuer(
D&A Domestic Small Cap Growth Fund ill, L.P.	07077535
Address of Executive Offices (Number and Street, City, State, Zip Code) Telephone Nu	01011000
10251 Vista Sorrento Parkway Suite 200 San Diego CA 92121 619-308-9700	
Address of Principal Business Operations (If different from Executive Offices) (Number and Street, City, State, Zip Code)	mber(Including Area Code)
Brief Description of Business: A California limited partnership formed to invest primarily in equities, bonds,	, and cash.
Type of Business Organization	PROCESSED
corporation X limited partnership, already formed other (please specify):	
business trust limited partnership, to be formed	CT 0 3 2007
Actual or Estimated Date of Incorporation or Organization: MONTH YEAR Actual Type Type Type Type Type Type Type Type	THOMSON FINANCIAL
GENERAL INSTRUCTIONS FEDERAL: Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et al. When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on registered or certified mail to that address. Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washigton, D.C. 20549. Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any Copies not manufly signed copy or bear typed or printed signatures. Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, a requested in Part C, and any material changes from the information previously supplied in Parts A and 8. Part E and Appendix need not be file Filing Fee: There is no federal filing fee. State: This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been male precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate appendix to the notice constitutes a part of this notice and must be completed.	Securities and Exchange Commission (SEC) the date it was mailed by United States and must be photocopies of the manually my changes thereto, the information d with the SEC.
ATTENTION	
Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Convers the appropriate federal notice will not result in a loss of an available state exemption unless such exempredicated on the filing of a federal notice.	ely, failure to file ption is
Persons who respond to the collection of information contained in this form are not	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

A.BASIC IDENTIFICATION DATA

- 2. Enter the information requested for the following:
 - i Each promoter of the issuer, if the issuer has been organized within the past five years;
 - Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
 - iii. Each executive officer and director of corporate issuers and of corporate general managing partners of partnership issuers; and
 - iv. Each general and managing partner of partnership issuers.

Check Box(es) that apply:	Promoter	Beneficial Owner	Executive Officer Director	General and /or Managing Partner
Full Name(Last name first, if	Individual)			
Dunham & Associates Securi	ties, Inc.			
Business or Residence Addr	ess	(Number and St	reet, City,State ,Zip Code)	
10251 Vista Sorrento Parkway	Suite 200 San E	Diego CA 92121		
Check Box(es) that apply:	Promoter	Beneficial Owner	Executive Officer Director	General and /or Managing Partner
Full Name(Last name first, if	Individual)			
Dunham Jeffrey A		·		
Business or Residence Addr	ess	(Number and St	reet, City,State ,Zip Code)	
10251 Vista Sorrento Parkway	Suite 200 San C	Diego CA 92121		
Check Box(es) that apply:	Promoter	Beneficial Owner	Executive Officer Director	General and /or Managing Partner
Full Name(Last name first, if	Individual)			
Iverson Denise				
Business or Residence Addr	ess	(Number and St	reet, City,State ,Zip Code)	
10251 Vista Sorrento Parkway	Suite 200 San C	Diego CA 92121		

	3. INFORMA	TION ARO	UT OFFE	RING				
Has the issuer sold, or does the issuer intend	-	n-accredited	d investors	in this offe	ring?		Yes . 🛛	No
2. What is the minimum investment that will be accepted from any individual?							0.00	
							Yes	No
3. Does the offering permit joint ownership of a							. 🛛	П
4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchases in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.								
Full Name (Last name first, if individual)								
H-Beck, Inc. Business or Residence Address (Number and S	treet, City, S	State, Zip C	ode)					
11140 Rockville Pike-400			ckville		ı	MD	2085	2
Name of Associated Broker or Dealer			<u> </u>					
H-Beck, Inc.								
States in Which Person Listed Has Solicited or (Check "All States" or check individual	ntends to Sol	icit Purchas	sers				. 🗆	All
States	otatos)						_	
[AL] [] [AK] [] [AZ] [] [AR] [] [CA] [] [IL] [] [IN] [] [IN] [] [KS] [] [KY] [] [MT] [] [NE] [] [NV] [] [NH] [] [NJ] [] [RI] [] [SC] [] [SD] [] [TN] [] [TX] []] [LA] [] [NM] 🗵	[CT]	[DE]	[DC]	[F]	[GA]	[H]	[ID] . [] [MO] [] [PA] [] [PR] []
Full Name (Last name first, if individual)		,						
		No. 3:- 0	ada)					
Business or Residence Address (Number and S	treet, City,	state, Zip C	ode)					
Name of Associated Broker or Dealer							<u></u>	_
States in Which Person Listed Has Solicited or (Check "All States" or check individual	ntends to So States)	icit Purcha	sers				🗆	All States
ili ini ilaj ilas ilas ilas ilas ilas ilas ilas ilas		[CT]	[DE]	[DC]	(FI)	[GA]	[HI]	(ID)
Full Name (Last name first, if individual)								
Dunham and Associates Investment Counse	l, Inc.	71-1- 7:- C	- del					
Business or Residence Address (Number and S	treet, City,						0040	14
Name of Associated Broker or Dealer		Sai	n Diego			<u> </u>	9212	<u> </u>
Dunham and Associates Investment Counsel, Inc.								
States in Which Person Listed Has Solicited or (Check "All States" or check individual States	ntends to So	licit Purcha	sers				🛚	All
[AL]		(CT) [] (ME) [] (NY) [] (T] []	[DE] [MD] [NC] [VA]	[DC] [MA] [ND] [WA] [WA]	(FI)	[GA]	(H) (H) (SM) (SM) (SM) (SM) (SM) (SM) (SM) (SM	[ID]
(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)								
(200 2:200 2:000 4:00		3 of 8	•					

C. OFFERING PRICE, NUMBER OF INVESTORS	EXPENSES A	ID USE	OF PRO	CEEDS	S
Enter the aggregate offering price of securities included in this offering and the to Enter "0" if answer is "none" or "zero". If the transaction is an exchange offering, Indicate in the columns below the amounts of the securities offered for exchange.	otal amount already s	sold.			
Type of Security			regate ng price	Ar	mount Already Sold
Debt	\$			\$	
Equity	\$			\$	
Common Preferred					
Convertible Securities(including warrants)	\$			\$	
Partnership Interests	\$	\$100,0	00,000,00	\$	\$50,313,271.84
Other(Specify)	\$		•	\$	
Total	\$	\$100,0	00,000.00	\$	\$50,313,271.84
Answer also in Appendix, Column 3, if filing under ULOE	·			•	
2.Enter the number of accredited and non-accredited investors who have purchast this offering and the aggregate dollar amounts of their purchases. For offerings u 504,indicate the number of persons who have purchased securities and the aggre amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero	inder Rule egate dollar o*.	-	Number of Investors	•	Aggregate Dollar Amount of Purchases
Accredited Investors	**********	_	67	\$	\$50,313,271.84
Non-accredited Investors		_		\$	S
Total(for filing under Rule 504 only)				\$	S
Answer also in Appendix, Column 4, if filing under ULOE					
3. If this filing is for an offering under Rule 504 0r 505, enter the information reques sold by the issuer, to date, in offerings of the types indicated, in the twelve(12) first sale of securities in this offering. Classify securities by type listed in Part C	months prior to the	s	*		Dellas America
Type of offering			Type o		Dollar Amount Sold
Rule 505					\$
Regulation A					\$
Regulation 504					\$
Total			 .		3
4.a. Furnish a statement of all expenses in connection with the issuance and dist in this offering. Exclude amounts relating solely to organization expenses of the i may be given as subject to future contingencies. If the amount of an expenditure estimate and check the box to the left of the estimate.	issuer. The informat	ion			
Transfer Agent's Fees				K	\$0.00
Printing and Engraving Costs				K	\$1,000.00
Legal Fees				K	\$4,000.00
Accounting Fees				K	\$0.00
Engineering Fees				×	\$0.00
Sales Commissions (specify finders' fees separately)	***************************************			Ē	\$1,000,000.00
Other Expenses(Identify)				F	\$0.00
Total				K	\$1,005,000.00

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b. Enter the difference between the aggrega Question 1 and total expenses furnished in the "adjusted gross proceeds to the issuer."	response to Part C- Question 4.a. This differ	rence is	5		\$		\$98,995,000.00
Indicate below the amount of the adjusted gnused for each of the purposes shown. If the estimate and check the box to the left of the equal the adjusted gross proceeds to the issue.	amount for any purpose is not known, fumis: estimate. The total of the payments listed m	h an iust	ove.				
				Payments to Officers, Directors, & Affiliates			yments to Others
Salaries and fees		K	\$	\$430,000.00	K]	\$	\$760,000.00
Purchase of real estate	*************************	ĸ	\$	\$0.00	$\overline{\square}$	\$	\$0.00
Purchase,rental or leasing and installat	ion of machinery and equipment	F	\$	0		\$	\$0.00
Construction or leasing of plant building	gs and facilities		\$	\$0.00		\$	\$0.00
Acquisition of other business (including this offering that may be used in excha another issuer pursuant to a merger)	nge for the assets or securities of	<u></u>	\$	\$0.00	▼	\$	\$0.00
				*0.00		r	\$0.00
Repayment of indebtedness			\$ \$	\$97,805,000.00		\$ \$	\$0.00
working capital			Ф	431,000,000.00		Ψ	
Other(specify):							
			\$	\$0.00		\$	\$0.00
Column Totals	••••••	K	\$	\$98,235,000.00		\$	\$760,000.00
Total Payments Listed(column totals ad	dded)			× \$: 9	8,995	5,000.00
	D.FEDERAL SIGNATURE						
The issuer has duly caused this notice to be Rule 505, the the following signature consti Commission upon written request of its staft to paragraph (b)(2) of Rule 502.	tutes an undertaking by the issuer to ful	mish t	o the	U.S. Securities	and	Exch	ange
Issuer(Print or Type)	Signature	Dat	te	1 1			
D&A Domestic Small Cap Growth Fund III, L.P.	Must & Clu	10	9,	127/07			

SEC 1972 (6/02)

Denise Iverson

Name of Signer(Print or Type)

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C.1001.

Title of Signer(Print or Type)

Chief Financial Officer

ATTENTION_

230.262 presently subject to any disqualification

1. Is any party described in 17 CFR 230.262 presently subject to any disqualification	
provisions of such rule?	Yes No

See Appendix, Column 5, for state response

- 2. The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed, a notice on Form D(17 CFR 239.500) at such times as required by state law.
- 3. The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees.
- 4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform Limited Offering Exemption(ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.

The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

Issuer(Print or Type) D&A Domestic Small Cap Growth Fund III, L.P.	Signature / March 5. Un	Date 09/27/07
Name(Print or Type)	Fitle(Print or Type)	
Denise tverson	Chief Financial Officer	•

Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

