

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

## FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
ORM LIMITED OFFERING EXEMPTION

1412427

OMB APPROVAL								
OMB Num	ber:	3235-007	<u>'6</u> ]					
Expires:	Apri	130,2008	╝					
Estimated	Expires: April 30,2008 Estimated average burden							
hours per r	espon	rse 16.0	<u> 10</u>					

SEC USE ONLY							
Prefix Serial							
DA	TÉ RECEIVED						

UNIFORM LIMITED OFFERING EXEMI	TION
Name of Offering ( check if this is an amendment and name has changed, and indicate change.)	
Red Rock Renewables, LLC Seed Capital Offering	
Filing Under (Check box(es) that apply): Rule 504 Rule 505 Rule 506 Section 4(6)	☐ ULOE
Type of Filing: New Filing  Amendment	] 2 4 4 7 1 10 22 2 4 4 7 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2
A. BASIC IDENTIFICATION DATA	
1. Enter the information requested about the issuer	
Name of Issuer ( check if this is an amendment and name has changed, and indicate change.)	0.011022
Red Rock Renewables, LLC	
Address of Executive Offices (Number and Street, City, State, Zip Code) 2022 - 185th Place, Tracy, Iowa 50256	Telephone Number (Including Area Code) 641-670-0364
Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices)	Telephone Number (Including Area Code)
Brief Description of Business Development and construction of an ethanol manufacturing facility.	PROCESSED
	PROCESSED  SEP 1.9 2007/E
business trust limited partnership, to be formed LLC	INOMSOM
Month Year  Actual or Estimated Date of Incorporation or Organization: 0 2 0 7 Actual Estin  Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State  CN for Canada; FN for other foreign jurisdiction)	nated FINANCIAL
GENERAL INSTRUCTIONS	
Federal:	- Senting 4/6) 17 CED 230 501 store or 15 U.S.C.

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 etseq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

## State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must fike a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

_	A		П	:	IJ	Γŀ	0	N	
---	---	--	---	---	----	----	---	---	--

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predictated on the filing of a federal notice.

		. 1			
		. , , ,	DENTIFICATION DATA	<u> </u>	1. 大型 · 电电子
2. Enter the information re					
-			within the past five years;		
					a class of equity securities of th
<ul> <li>Each executive offi</li> </ul>	icer and director of	corporate issuers and o	of corporate general and ma	naging partners of	partnership issuers; and
<ul> <li>Each general and n</li> </ul>	nanaging partner of	partnership issuers.			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	□ Director	General and/or Managing Partner
Full Name (Last name first, i Lopez, Paul	f individual)				
Business or Residence Addre 2022 - 185th Place, Trac	ss (Number and y, Iowa 50256	Street, City, State, Zip	Code)		
Check Box(es) that Apply:	Promoter	Beneficial Owne	r Executive Officer	✓ Director	General and/or Managing Partner
Full Name (Last name first, i Watson, Charles	f individual)				
Business or Residence Addre 002 Penn Boulevard, Osk			Code)		
Check Box(es) that Apply:	Promoter	Beneficial Owne	r Z Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i Lopez, Dan	f individual)				
Business or Residence Addre 3331 B Avenue, Montour,		Street, City, State, Zip	Code)		
Check Box(es) that Apply:	Promoter	Beneficial Owne	r [] Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i Feng, Ping	f individual)			-	
Business or Residence Addre 4963 Waterford Drive, W			Code)		
Check Box(es) that Apply:	Promoter	Beneficial Owne	r Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i Petersen, Steve	f individual)				
Business or Residence Addre 531 Clover Ridge Drive, 1	(-	Street, City, State, Zip 2351	Code)		
Check Box(es) that Apply:	Promoter	Beneficial Owne	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i Phillips, Kyle	f individual)				
Business or Residence Addre 396 Newbold Street, Kno	ess (Number and exville, Iowa 501	Street, City, State, Zip 38	Code)	.•	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Office	T Director	General and/or Managing Partner
Full Name (Last name first, Rodriquez, Odalis	if individual)				-

						- in : :	- L	7.5 19 18 1	127 330		g 742 #4 5		N - 88'S 1''
L			15 mm 27 mm 2		B. IN	FORMATIC	N ABOUT	OFFERIN	G	194 mg	Ander Jak		
	1. Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?											Yes	No Ē
1.	Answer also in Appendix, Column 2, if filing under ULOE.									•••••		<u> </u>	
	Answer also in Appendix, Column 2, if filing under OLOE.  2. What is the minimum investment that will be accepted from any individual?											\$ 25,00	0.00
2.	What is	the minimi	um investm	ent that wi	II be accep	ted from an	y individu	all /			***************************************	Yes	No
3.	Does the	offering r	ermit joint	ownership	of a single	e unit?						res <b>≅</b>	
4.			ion requeste									_	_
••	commiss	ion or simi	lar remuner	ation for so	licitation o	f purchaser	s in connec	tion with s	ales of secu	rities in the	e offering.		
	If a perso	on to be list	ed is an asso me of the br	ociated per	son or ager	t of a broke e than five (	r or dealer 'S) persons	registered v to be lister	with the SE Lare associ	C and/or w ated nerso	ns of such		
	a broker	or dealer,	you may se	t forth the	informatio	n for that b	roker or de	aler only.	3 410 4555	avec perso.			
Ful	a broker or dealer, you may set forth the information for that broker or dealer only.  Full Name (Last name first, if individual)												
									·=				
Bus	siness or I	Residence 2	Address (Nu	ımber and	Street, Cit	y, State, Zip	Code)						
_					_								
Na	me of Ass	ociated Bro	oker or Dea	ier									
Sta	tes in Wh	ich Person	Listed Has	Solicited of	r Intends t	o Solicit Pı	irc hasers						
			" or check i							*******	*********	☐ All S	tates
	(5												, <del>, , , , , , , , , , , , , , , , , , </del>
	AL	AK	AZ	AR	<u>CA</u>	ÇO	₫ <u>T</u>	DE	DC	FL	GA	H[	тр] мб ]
	IL	IN	IA	KS	ΚΥ	ILA_	NIE	MD	MA_	MI	MN OK	MS OR	PA ]
	MT	NE	NV	NH	NJ	NM_	NY J	NC VA	ND WA	O <u>H</u> WV }	WI	WY	PR
	RI	SC	SD	TN_	TX.	UT ]	VT	VA_	WA	<u> </u>	*[	**[	1 [5]
Ful	II Name (I	_ast name :	first, if indi	vidual)	-								
	`												
Bu	siness or	Residence	Address (N	lumber and	1 Street, C	ity, State, Z	ip Code)						
				.1			<del> </del>			- <u></u>			
Na	me of Ass	ociated Br	oker or Dea	iler									
Sta	ites in Wh	ich Person	Listed Has	Solicited	or Intends	to Solicit P	urchasers				_	<b>-</b> -	
	(Check	"All States	or check	individual	States)	******		•••		,	***************************************	☐ All	States
	(											*67	r 🔂 🗀
	AL	AK	AZ	AR	CA	CO	CT	DE	IDC	IL.	QA_	HII NIS	ID MO
	IL	IN	<u>la</u>	KS	KY	LA I	ME	MD	MA	MI □	N <u>IN</u> ØK	ΦR	# <u>A</u> ]
	MT	NE	NV	NH	NJ TX	NM UT	NY VT	NC VA	ND WA	WV	WI	WY.	PR ]
	RI	SC	SD	TN	17	<u>01</u>		<u> </u>					
Fu	Il Name (	Last name	first, if indi	ividual)			-						
		·				· 0							
Bu	isiness or	Residence	Address (1	Number an	d Street, C	ity, State, 2	ciți Code)						
Na	me of Ass	sociated Br	roker or De	aler					<del>-</del>	<del> </del>	-	_	
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,											
Sta	ates in Wh	ich Person	Listed Has	Solicited	or Intends	to Solicit I	urchasers						
	(Check	"All States	s" or check	individual	States)	••••••••						. [ All	States
	AL	AK	AZ	AR	CA	<u>co</u>	CT	DE	DC	FL	GA_	HI	[D]
	TL.	IN	IA	KS	KY	LA	ME	MD	MA	MI	MN	MS	MO]
	MT	NE	NV	NH	NJ	NM	NY	NC	ND	OH	OK	OR	PA]
	ĪĪ	اعدا	ומפו	ואדו	TX	บา	VT	VA	WA	$\overline{WV}$	Wl	WY	PR]

## C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS.

1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if the answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	<u> </u>	\$
	Equity		
	Common Preferred		
	Convertible Securities (including warrants)	<u> </u>	\$
	Partnership Interests		\$
	Other (Specify LLC Membership Units )	2,000,000.00	\$ 670,000.00
	Total	2,000,000.00	§ 670,000.00
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number Investors	Aggregate Dollar Amour.t of Purchases
	Accredited Investors	18	\$_855,000.00
	Non-accredited Investors		\$_30,000.00
	Total (for filings under Rule 504 only)	21	\$_885,000.00
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1.		
		Type of	Dollar Amount
	Type of Offering	Security	Sold
	Rule 505		\$
	Regulation A		\$
	Rule 504		\$
	Total		<u>\$ 0.00</u>
4	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer The information may be given as subject to future contingencies. If the amount of an expenditure i not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		] \$
	Printing and Engraving Costs		] \$
	Legal Fees		\$100,000.00
	Accounting Fees		\$100,000.00
	Engineering Fees		_
	Sales Commissions (specify finders' fees separately)		_
	Other Expenses (identify) Equipment/Contractor Deposits:		\$545,000.00
	Total		\$ 745,000.00
	1 V9M1 (41) (40) (40) (40) (40) (40) (40) (40) (40	L_	

	b. Enter the difference between the aggregate offering pr and total expenses furnished in response to Part C — Quest proceeds to the issuer."	on 4.a. This difference is the "adjus	ted gross	\$
5.	Indicate below the amount of the adjusted gross proceed each of the purposes shown. If the amount for any pur check the box to the left of the estimate. The total of the p proceeds to the issuer set forth in response to Part C—	ose is not known, furnish an esti yments listed must equal the adjus	mate and	
			Payments to Officers, Directors, & Affiliates	Payments to Others
	Salaries and fees			
	Purchase of real estate		🔲 \$	_ <b>\$</b> 1,300,000.00
	Purchase, rental or leasing and installation of machiner and equipment	•		
	Construction or leasing of plant buildings and facilities			
	Acquisition of other businesses (including the value of offering that may be used in exchange for the assets or issuer pursuant to a merger)	ecurities of another	\$	_ 🗆 \$
	Repayment of indebtedness		S	_ 🗆 \$
	Working capital		\$	\$ 260,000.00
	Other (specify):		\$	_ []\$
				_ 🗆 \$
	Column Totals		<u>\$</u> 0.00	
	Total Payments Listed (column totals added)		4	,560,000.00
Г	D	FEDERAL SIGNATURE		
sig	e issuer has duly caused this notice to be signed by the unde nature constitutes an undertaking by the issuer to furnish t information furnished by the issuer to any non-accredite	the U.S. Securities and Exchange	Commission, upon writt	ule 505, the following en request of its staff,
lss	uer (Print or Type) Sign	itule /	Date	100
Re	d Rock Renewables, LLC	) ~ ~ ~	04/06/	10/
	,, ,	of Signer (Print or Type)	<i>y v</i>	·

- ATTENTION -

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

(	E. STATE SIGNATURE							
1.	Is any party described in 17 CFR 230.262 presently subject to any of the disqualification  Yes No provisions of such rule?							
	See Appendix, Column 5, for state response.							
2.	The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed a notice on Form D (17 CFR 239.500) at such times as required by state law.							
3.	The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees.							
4.	The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.							
	uer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the undersigned athorized person.							

	1.1.//	
Issuer (Print or Type)	Signature	Date /
Red Rock Renewables, LLC	174/12/	9/06/07
Name (Print or Type)	Title (Print or Type)	
Paul Lopez	President	

## Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	The second of th		The second of the second	ĀŢ	PENDIX				
1	Intend to non-a investor	2 I to sell ccredited s in State -Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of amount pur	investor and rehased in State C-Item 2)		5 Disqualification under State ULOE (if yes, attach explanation of waiver granted) (Part E-Item 1)	
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No
AL									
AK							<u></u>		
AZ	, v <sub>jsc</sub>								
AR			, <u></u>						
CA									
СО									
СТ									
DE									
DC									
FL		×				·			
GA			_						
HI									
ID									
IL		×	LLC Membership	1	\$25,000.00		! 		
IN		×							
IA	×		LLC Membership Units	15	\$780,000.00	3	\$30,000.00		
KS									
KY									
·LA									
ME									
MD									
MA									
MI									
MN									
MS						-			

4 2 3 1 Disqualification: under State ULOE Type of security (if yes, attach and aggregate Intend to sell explanation of Type of investor and offering price to non-accredited waiver granted) amount purchased in State offered in state investors in State (Part E-Item 1) (Part C-Item 2) (Part C-Item 1) (Part B-Item 1) Number of Number of Non-Accredited Accredited Yes No **Investors** Amount Investors Amount No State Yes LLC Membership \$50,000.00 X 1 MO Units MT NE NV NH NJ NM NY NC ND OH OK OR PA RΙ SC SD TN TXUT VT VAWA WV WI

,			a Seri	APP	ENDIX				•		
1		2	3  Type of security		4				lification ate ULOE		
	to non-a	to sell accredited is in State 3-Item 1)	and aggregate offering price offered in state (Part C-Item 1)	Type of investor and exp amount purchased in State wai		amount purchased		amount purchased in State			attach ation of granted) -Item 1)
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No		
WY	,										
PR											