1355381

FORM D

and must be completed.

UNITED STATES

PROCESSING EURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,

SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

 SEC USE ONLY							
Prefix	Serial						
!							
DATE F	RECEIVED						
	1						

Name of Offering (check if this is an amendment and name has changed, and	indicate change.)		
An offering of Class A Interests, Class C Interests and Class I Interest	sts		
Filing Under (Check box(es) that apply): ☐ Rule 504 ☐ Rule 505	Rule 506	☐ Section 4(6) ☐ U	ILOE
Type of Filing: New Filing 🔯 Amendment			
A. BASIC IDENTI	FICATION DATA		
Enter the information requested about the issuer			
Name of Issuer (check if this is an amendment and name has changed, ar			
Mesirow Institutional Multi-Strategy ASW Fund, a Series of Wa	chovia Alternative S	trategies Platform, I	LC
Address of Executive Offices (Number and Street, City, State, Zip Code)	T	Telephone Number (Includ	ling Area Code)
401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157		(704) 383-6369	
Address of Principal Business Operations (Number and Street, City, State, Zip C	(ode) T	Telephone Number (Includ	ling Area Code)
(if different from Executive Offices)	L		
Brief Description of Business			
Investment Fund			
Type of Business Organization		ED 3 (1 16)	
corporation limited partnership, alr	,	other (please specify	Limited Liability Company
business trust limited partnership, to b			
		ear_ 05 🕅 Actual	☐ Estimated
Actual or Estimated Date of Incorporation or Organization:	<u></u>	<u></u>	_ Estimated
Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Serv	ice abbreviation for State	; DE	
CN for Canada; FN for o	ther foreign jurisdiction)		
GENERAL INSTRUCTIONS			
Federal:			
Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation	n D or Section 4(6), 17 CFR 230.	.501 et seq. or 15 U.S.C. 77d(6)	
When To File: A notice must be filed no later than 15 days after the first sale of securities in the offerin the date it is received by the SEC at the address given below or, if received at that address after the date of	g. A notice is deemed filed with on which it is due, on the date it w	the U.S. Securities and Exchange was mailed by United States regist	e Commission (SEC) on the earlier of ered or certified mail to that address.
Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20	0549		
Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manutyped or printed signatures.			
Information Required: A new filing must contain all information requested. Amendments need only re any material changes from the information previously supplied in Parts A and B. Part E and the Appendi	port the name of the issuer and ix need not be filed with the SEC	offering, any changes thereto, the 	information requested in Part C, and
Filing Fee: There is no federal filing fee.			
State: This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sa on ULOE must file a separate notice with the Securities Administrator in each state where sales are to exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the approper amount shall accompany this form.	be, or have been made. If a sta	ate requires the payment of a tee	as a precondition to the claim for the

federal notice

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.



Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure To file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a

PROCESSET

JUL 1 8 2007

THOMSUN
FINANCIAL

A. BASIC IDENTIFICATION DATA										
2. Enter the information requested for the following:										
Each promoter of the issuer, if the issuer has been organized within the past five years;										
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;										
Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and										
Each general and managing partner of partnership issuers										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
Wachovia Alternative Strategies, Inc.										
Business or Residence Address (Number and Street, City, State, Zip Code)										
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
Taback, Adam I.										
Business or Residence Address (Number and Street, City, State, Zip Code)										
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
Ferro, Dennis H.										
Business or Residence Address (Number and Street, City, State, Zip Code)										
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
Munn, W. Douglas										
Business or Residence Address (Number and Street, City, State, Zip Code)										
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
Koonce, Michael H.										
Business or Residence Address (Number and Street, City, State, Zip Code)										
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
Moss, Matthew C.										
Business or Residence Address (Number and Street, City, State, Zip Code)										
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934										

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
Each promoter of the issuer, if the issuer has been organized within the past five years;
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
 Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)
Brown, Sheelpa P.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Lapple, Barbara Ann
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive Officer ☐ Director ☐ General and/or Managing Partner
Full Name (Last name first, if individual)
Nakano, Yukari
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Patterson, Britta
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Ballantine, Jacqueline
Business or Residence Address (Number and Street, City, State, Zip Code)
123 Broad Street, Philadelphia, PA 19109
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Coltrin, Robert D.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Curry, Barbara R.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 201 S. College Street, Charlotte, North Carolina 28202
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
DeBerry, Jerry W.

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
• Each promoter of the issuer, if the issuer has been organized within the past five years;
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
• Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)
Ernhart, Danielle B. Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Lipsett, Lloyd
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Mullis, Carol
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 301 S. Tryon Street, TH3, Charlotte, North Carolina 28202-6000
Check Box(es) that Apply:
Ouellette, Kevin
Business or Residence Address (Number and Street, City, State, Zip Code)
200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Schwartz, William H.
Business or Residence Address (Number and Street, City, State, Zip Code)
123 Broad Street, Philadelphia, PA 19109
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Sweetman, James W.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer ☐ Director ☐ General and/or Managing Partner
Full Name (Last name first, if individual)
Nicolosi, Sean
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Veverka, Brian
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934

A. BASIC IDENTIFICATION DATA										
2. Enter the information requested for the following:										
 Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the 										
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;										
Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and										
Each general and managing partner of partnership issuers										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)										
Mazitova, Natalia										
Business or Residence Address (Number and Street, City, State, Zip Code)										
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157										
Check Box(es) that Apply:										
Full Name (Last name first, if individual)										
Bowker, Jane										
Business or Residence Address (Number and Street, City, State, Zip Code)										
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
Kumar, Anil										
Business or Residence Address (Number and Street, City, State, Zip Code)										
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
Business or Residence Address (Number and Street, City, State, Zip Code)										
Check Box(es) that Apply:										
Full Name (Last name first, if individual)										
Business or Residence Address (Number and Street, City, State, Zip Code)										
Check Box(es) that Apply:										
Full Name (Last name first, if individual)										
Business or Residence Address (Number and Street, City, State, Zip Code)										
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner										
Full Name (Last name first, if individual)										
During and During and Street City State 7in Code)										
Business or Residence Address (Number and Street, City, State, Zip Code)										
Check Box(es) that Apply:										
Full Name (Last name first, if individual)										
Business or Residence Address (Number and Street, City, State, Zip Code)										

		1	•											
					B. INF	ORMAT	ION ABO	OUT OF	FERING					
1.	Has the issu	: er cold o	or does the	iomar into	nd to call	to non as-	radited in-	actors in 41	nia offeria -	າ		es □	No ⊠	
1.	rias the issu	Sold	or does me		,					ŗ				
_							lumn 2, if t	illing unde	r ULOE				_	
2.	What is the minir		estment th	at will be a	eccepted fr	om any inc	dividual?					\$100,000) *	
	*May be wa													
3.	Does the offering	g permit j	joint owne	rship of a	single unit	?							Yes ⊠	No
4.	Enter the information any commission the offering. If a	or simila person t	ar remunera to be listed	ation for so is an asso	olicitation of ciated pers	of purchase on or agen	ers in conne it of a broke	ection with er or dealer	sales of se r registered	ecurities in I with the				
	SEC and/or with listed are associa dealer only.													
	Name (Last name	1	individual))									-	
	chovia Bank, N	<u>!</u>												
	iness or Residence	1	s (Number	and Stree	, City, Sta	te, Zip Coo	ie)							
	South Tryon S	1	r Dealer		,									
	irlotte, North C													
State	es in Which Perso	n Listed	Has Solici										All Sta	tes
✓[<u>A</u>	<u>L</u>]	(<u>AZ</u>]	✓ [<u>AR]</u>	✓ [<u>CA</u>]	✓ [<u>CO</u>]	✓ [<u>CT</u>]	✓ [<u>DE]</u>	✓ [DC]	<u> </u>	✓ [GA]	<u>√[HI]</u>	√ [<u>ID]</u>		
√ [<u>F</u> √ [<u>T</u>	MT] ✓ [NE] ·	[<u>IA</u>] (<u>NV</u>] (SD]	✓ [KS] ✓ [NH] ✓ [TN]	✓ [<u>KY]</u> ✓ [<u>NJ]</u> [TX]	✓ [<u>LA]</u> [NM] [UT]	(ME) ✓ (NY) ✓ (VT)	✓ [MD] ✓ [NC] ✓ [VA]	✓ [MA] ✓ [ND] ✓ [<u>WA</u>]	✓ [<u>OH</u>]	✓ [MN] ✓ [OK] ✓ [WI]	✓ [<u>MS]</u> ✓ [<u>OR]</u> ✓ [<u>WY</u>]	✓ [<u>MO</u> [PA] ✓ [<u>PR</u>]	-	
	Name (Last name	i)										
	chovia Securiti													
	iness or Residence	i		and Stree	, City, Sta	te, Zip Coo	ie)							
	East Byrd Stre													
	ne of Associated B hmond, VA 23	i	Dealer											
State	es in Which Perso	n Listed	Has Solici	ted or Inte	nds to Sol	icit Purcha	sers							
(Che	eck "All States" of	r check i	ndividual S	States)			•						🛭 All St	ates
[AL] [AK] [[IN] [AZ] IA]	[AR] [KS]	[CA] [KY]	[CO] [LA]	[CT] [ME]	[DE] [MD]	[DC] [MA]	[FL] [MI]	[GA] [MN]	[HI] [MS]	[ID] [MO]		
[MT		NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[MI]	[OK]	[MS] [OR]	[PA]		
[RI]		SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]		
Full	Name (Last name	first, if	individual))										
Busi	iness or Residence	Addres	s (Number	and Stree	, City, Sta	te, Zip Coo	ie)							
Nam	ne of Associated B	Broker or	r Dealer								•			·
	es in Which Perso						sers							
-	eck "All States" of			-									☐ All Si	ates
[AL		AZ] IA]	[AR] [KS]	[CA] [KY]	[CO] [LA]	[CT] [ME]	[DE] [MD]	[DC] [MA]	[FL] [MI]	[GA] [MN]	[HI] [MS]	[ID] [MO]		
[M]	"] [NE] [NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	(OH)	[OK]	[OR]	[PA]		
ſŖIJ	l [SC] [SDI	ITNI	ITXI	IUTI	[VT]	ſVAl	(WA)	(WV)	rwn	[WY]	[PR]		

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

	C. OFFERING PRICE, NO. OF INVESTORS, EXPENSES AND US	E OF PROCEEDS	
	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the column below the amounts of the securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt.	<u> </u>	\$0
	Equity		\$0
	Common Preferred	\$0	\$0
	Convertible Securities (including warrants)	\$0	\$0
	Partnership Interests	\$0	\$0
	Other (Specify: Limited Liability Company Interests	\$No Maximum	\$8,252,031
	Total	\$No Maximum	\$8,252,031
	Answer also in Appendix, Column 3, if filing under ULOE		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	8	\$8,252,031
	Non-accredited Investors	0	0
	Total (for filing under Rule 504 only)		
	Answer also in Appendix, Column 4, if filing under ULOE		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C-Question 1.		
	Type of offering	Type of Security	Dollar Amount Sold
	Rule 505	N/A	N/A
	Regulation A	N/A	N/A
	Rule 504		N/A
	Total	N/A	N/A
4.a.	Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees	. 🗆	\$0
	Printing and Engraving Costs		\$0
	Legal Fees	_	\$50,000
	Accounting Fees	_	02
	Engineering Fees	_	\$0
	Sales Commissions (Specify finder's fees separately)		\$1,500,000
	Other Expenses (identify): Blue Sky Fees, miscellaneous	\boxtimes	\$15,000
	Total	. 🛛	\$1,565,000

į E.	STATE SIGNATURE						
• • • •							
Appendix, Column 5, for state response							
		in which this notice is filed, a notice					
The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees.							
Uniform Limited Offering Exemption (ULOE) of	the state in which this notice is filed and	understands that the issuer claiming					
e issuer has read this notification and knows the coundersigned duly authorized person.	ntents to be true and has duly caused this	notice to be signed on its behalf by					
ner (Print or Type)	Signature	Date					
esirow Institutional Multi-Strategy ASW Fund, a ries of Wachovia Alternative Strategies Platform, C		July (, 2007					
ne of Signer (Print or Type)	Title of Signer (Print or Type)						
il Kumar	Vice President of Wachovia Alternative Member of Wachovia Alternative Stra						
	Is any party described in 17 CFR 230.262 presently provisions of such rule? Appendix, Column 5, for state response The undersigned issuer hereby undertakes to furnition Form D (17 CFR 239.500) at such times as required issuer hereby undertakes to furnition the issuer to offerees. The undersigned issuer represents that the issuer Uniform Limited Offering Exemption (ULOE) of the availability of this exemption has the burden of the issuer has read this notification and knows the coundersigned duly authorized person. The undersigned issuer represents that the issuer Uniform Limited Offering Exemption (ULOE) of the availability of this exemption has the burden of the issuer has read this notification and knows the coundersigned duly authorized person. The undersigned issuer hereby undertakes to furnition the issuer to offerees. The undersigned issuer hereby undertakes to furnition the issuer to offerees. The undersigned issuer hereby undertakes to furnition the issuer to offerees. The undersigned issuer represents that the issuer uniform Limited Offering Exemption (ULOE) of the availability of this exemption has the burden of issuer has read this notification and knows the coundersigned duly authorized person. The undersigned issuer hereby undertakes to furnition is undertakes to furnition for the issuer to offerees.	The undersigned issuer hereby undertakes to furnish to any state administrator of any state on Form D (17 CFR 239.500) at such times as required by state law. The undersigned issuer hereby undertakes to furnish to the state administrators, upon writted the issuer to offerees. The undersigned issuer represents that the issuer is familiar with the conditions that mu Uniform Limited Offering Exemption (ULOE) of the state in which this notice is filed and the availability of this exemption has the burden of establishing that these conditions have be issuer has read this notification and knows the contents to be true and has duly caused this undersigned duly authorized person. Exercise of Wachovia Alternative Strategies Platform, C Title of Signer (Print or Type) Vice President of Wachovia Alternation.					

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

C. OFFERING PRI	 CE, NUMBER OF INVESTORS, EXPEN 	SES AND USE OF PROCEEDS			
	nce between the aggregate offering price given in response to Part C-Question 4.a suer."		⊠		\$6,687,031
for each of the procheck the box to	re amount of the adjusted gross proceeds to process shown. If the amount for any purpo the left of the estimate. The total of the the issuer set forth in response to Part C-Qu	se is not known, furnish an estimate and payments listed must equal the adjusted			
			Payments to Officers, Directors, & Affiliates		Payments To Others
Salaries and	Fees		\$ 0		\$0
Purchase of	real estate	***************************************	\$0		\$0
Purchase, re	ntal or leasing and installation of machinery	and equipment	\$ 0		\$0
Construction	n or leasing of plant buildings and facilities.		☐ \$0		\$ 0
	of other businesses (including the value of s in exchange for the assets or securities of a		\$0		\$0
Repayment	of indebtedness		□ \$ 0	П	\$ 0
• •	 pital		☐ \$6,687,031	Ø	\$
_	fy) Investments in Portfolio Securities		\$0		\$ 0
-	als		□ \$0	×	\$
	ents Listed (column totals added)			6,687	-
	D. FEDERA	L SIGNATURE			
the following signatu	nused this notice to be signed by the unders re constitutes an undertaking by the issuer staff, the information furnished by the issuer	to furnish to the U.S. Securities and Ex	change Commission,	upon	
Issuer (Print or Type)		Signature	Date		
	onal Multi-Strategy ASW Fund, a in a line alternative Strategies	Molh	July <i>] [</i>	, 20	07
Name of Signer (Print	or Type)	Title of Signer (Print or Type)	<u>. </u>		
Anil Kumar		Vice President of Wachovia Altern Managing Member of Wachovia A Platform, LLC			
	 	ENTION	0.11.6.6.1665	·····	·····
Intentions	al misstatements or omissions of fact const	utute tederal criminal violations. (See 1	8 (U.S.C. 1001.)		

APPENDIX

1	1	2	3		4			:	5
·	Intend non-ac investo	to sell to ccredited rs in State	Type of security and aggregate offering price offered in state		Disqualification under State ULOE (If yes, attach explanation of waiver granted) (Part E-Item 1)				
	(Part I	3-Item 1)	(Part C-Item 1)		(Part C-II	icin 2)			
State	Yes	No	Interests of Limited Liability Company	Number of Accredited Investors	Amount	Number of Nonaccredited Investors	Amount	Yes	No
AL		Х	All						Х
AK		X	All						X
AZ		Х	All						Х
AR		X	All						X
CA		X	All						Х
CO		X	All						X
CT		Х	All						Х
DE		Х	All						Х
DC		Х	All	1	\$250,000				X
FL		X	All						Х
GA		X	Ail						X
ні		X	All						х
ID		Х	All						X
IL		X	All		-			ł	X
IN		X	All						Х
IA		X	All						Х
KS		X	All						Х
KY		X	All						Х
ĹA		Х	All						X
MA		Х	All						X
ME		X	All						х
MD		Х	All	3	\$6,334,000				X
MI	1 1	X	All						X
MN	1 1	Х	All					<u> </u>	X
MS	1 1	Х	All						Х
МО	 	Х	All						x
MT	1 1	Х	All		·			 	х
NE	† †	Х	All	 		<u> </u>			x
NV	† † †	Х	All			1			X
NH	 	Х	Ali	 				1	Х
ÑĴ	† †	Х	All					1	х
NM	 	Х	All					<u> </u>	X
NY	1 1	Х	All	<u> </u>				 	X
NC	1 1	Х	All	1	\$250,000			1	X
ND		Х	All					†	X
ОН	 	X	All	1					x
OK	 	X	All	†			-	1	Х
OR	 	X	All	† †				1	X
PA	+ !	X	All	1	\$151,031		 - 	 	X
	1 1	X	All	 	<u>.</u>		 	+	X

1		2	3	4				5	
	Intend to sell to non-accredited investors in State (Part B-Item 1)		Type of security and aggregate offering price offered in state (Part C-Item 1)	Type of Investor and amount purchased in State (Part C-Item 2)				Disqualification under State ULOE (If yes, attach explanation of waiver granted) (Part E-Item 1)	
State	Yes	No	Interests of Limited Liability Company	Number of Accredited Investors	Amount	Number of Nonaccredited Investors	Amount	Yes	No
SC		Х	All						X
SD		Х	All						Х
TN		Х	All						X
TX		Х	All						Х
UT		Х	All						X
VT		Х	All						Х
VA		X	All	2	\$1,267,000				Х
WA		Х	All						X
WV		Х	All						Х
WI	1	X	All						X
WY		х	All						х
PR	_	Х	Ali						х

