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For Internal Use Only	Submit 1 Original	OMB Approval No.FCURTIFC
ec File No. 91 -0 (906	and 9 Copies	Estimated average burden hours per response: 2.00
	UNITED STATES SECURITIES AND EXCHANGE O Washington, D.C. 20549	COMMISSION
	FORM 19b-4(e)	DIVISION
	lequired of a Self-Regulatory Organizat	ion Listing and Trading a New 17 United Recursives Exchange Act of 1934
07071079	FORM 19b-4(e) Required of a Self-Regulatory Organization Listing and Trading a New Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	
0/0/10/8	Initial Listing Report	
. Name of Self-Regulatory Organiza	tion Listing New Derivative Securities Produc	t:
NYSE Arca, Inc.		}
Tune of Icener of New Perivative S	Securities Product (e.g., clearinghouse, broker-	dealer corporation etc.):
Open-end Management Investm		dealer, corporation, etc.).
spensena management mvesta	ient Company	
3. Class of New Derivative Securities	Product:	
Investment Company Units		
1. Name of Underlying Instrument: StrataQuant TM Consumer Discr	estionery Index	
orragaQuant''' Consumer Discr	enonary index	
i. If Underlying Instrument is an Inde	ex, State Whether it is Broad-Based or Narrow-	-Based:
Narrow-Based		
. Ticker Symbol(s) of New Derivativ	re Securities Product:	
FXD		PROCESSED
. Market or Markets Upon Which Se	curities Comprising Underlying Instrument Tr	ades: PHOUESSED
New York Stock Exchange, Nas		JUL 2 3 2007
Settlement Methodology of New D	erivative Securities Product:	HUMSON
	2/Deals antoni anhi hald in DTC	
	3/Book entry only held in DTC.	HUMSON FINANCIAL
Regular way trades settle on T+	· · · · · · · · · · · · · · · · · · ·	FINANCIAL
Regular way trades settle on T+ P. Position Limits of New Derivative	· · · · · · · · · · · · · · · · · · ·	FINANCIAL
Regular way trades settle on T+ O. Position Limits of New Derivative Not applicable.	Securities Product (if applicable):	FINANCIAL
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Via Overnight Mail

May 10, 2007

Ms. Gail Jackson US Securities and Exchange Commission Division of Market Regulation Station Place – Building I 100 F Street, N.E. - Room 6628 Washington, DC 20549

NYSE Arca, Inc. 11 Wall Street New York, NY 10005

tel: 212.656.2062 212.656.3939

myeager@nsec.com

SECHRITIES MILT TYCHMUCF CO.

DIVISION OF MARKET REGULATION

Form 19b-4(e) - First Trust Exchange-Traded AlphaDEXTM Fund Re:

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following securities:

- First Trust Consumer Discretionary AlphaDEXTM Fund (Ticker Symbol FXD);
- First Trust Consumer Staples AlphaDEXTM Fund (Ticker Symbol FXG);
- First Trust Energy AlphaDEXTM Fund (Ticker Symbol FXN);
- First Trust Financials AlphaDEXTM Fund (Ticker Symbol FXO):
- First Trust Health Care AlphaDEXTM Fund (Ticker Symbol FXH):
- First Trust Industrials AlphaDEXTM Fund (Ticker Symbol FXR);
- First Trust Materials AlphaDEXTM Fund (Ticker Symbol FXZ);
- First Trust Technology AlphaDEXTM Fund (Ticker Symbol FXL);
- First Trust Utilities AlphaDEXTM Fund (Ticker Symbol FXU);
- First Trust Large Cap Core AlphaDEXTM Fund (Ticker Symbol FEX);
- First Trust Mid Cap Core AlphaDEXTM Fund (Ticker Symbol FNX);
- First Trust Small Cap Core AlphaDEXTM Fund (Ticker Symbol FYX);

First Trust Large Cap Growth Opportunities AlphaDEXTM Fund (Ticker Symbol

FTC);

Aot	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	MAY 1 1 2007		

Gail Jackson Division of Market Regulation May 10, 2007

Page 2 of 2

- First Trust Large Cap Value Opportunities AlphaDEXTM Fund (Ticker Symbol) FTA);
- First Trust Multi Cap Growth AlphaDEXTM Fund (Ticker Symbol FAD); and
- First Trust Multi Cap Value AlphaDEXTM Fund (Ticker Symbol FAB).

If you have any questions, please do not hesitate to call me at (212) 656-2062.

Sincerely,

Cc: Tim Malinowski (NYSE Arca, Inc.)