FORM D

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# FORM D



## NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, **SECTION 4(6), AND/OR** UNIFORM LIMITED OFFERING EXEMPTION

OMB APPROVAL				
MD Mumber	2 2 2 5			

OMB Number: April 30, 2008 Expires: Estimated average burden hours per response ...... 16.00

SEC USE ONLY				
Prefix Serial				
DATE RECEIVED				

Name of Offering ( check if this is an amendment Sale of Limited Partnership Interests in NORTHGATE	and name has changed, and indicate change.) PRIVATE EQUITY PARTNERS II-B (Q), L.P.	
Filing Under (Check box(es) that apply): Rule 5 Type of Filing: New Filing Amendment	04 Rule 505 Rule 506 Section 4(6)	ULOE A PROCESSED
	A. BASIC IDENTIFICATION DATA	10 July 20 2013
1. Enter the information requested about the issuer		FP 10xx
Name of Issuer ( check if this is an amendment and NORTHGATE PRIVATE EQUITY PARTNERS II-B (	d name has changed, and indicate change.)  Q), L.P.	Flix, Louis
Address of Executive Offices 649 San Ramon Valley Boulevard, Danville, CA 94526	(Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code) 925-820-9970
Address of Principal Business Operations (if different from Executive Offices) Same	(Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code) Same
Brief Description of Business Private Equity Investments		A PROSIVED
	artnership, already formed other (p	lease specify) JAN 1 8 2006
Actual or Estimated Date of Incorporation or Organizat  Jurisdiction of Incorporation or Organization: (Enter to CN fo		

### **GENERAL INSTRUCTIONS**

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C.

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

#### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

#### -ATTENTION-

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predictated on the filing of a federal notice.

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		A. BASIC IDE	NTIFICATION DATA			
2. Enter the information r	equested for the fo	ollowing:				
<ul> <li>Each promoter of t</li> </ul>	he issuer, if the iss	suer has been organized w	vithin the past five years;			
Each beneficial own	ner having the power	er to vote or dispose, or dire	ect the vote or disposition of	f, 10% or more of	a class	of equity securities of the issuer.
• Each executive of	icer and director of	f corporate issuers and of	corporate general and man	aging partners of	partne	rship issuers; and
• Each general and a	nanaging partner	of partnership issuers.			•	•
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	$\boxtimes$	General and/or Managing Partner
Full Name (Last name first, if NC II, L.L.C.	individual)					Wanaging Farmer
Business or Residence Addresses San Ramon Valley Bouley			ode)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director		General and/or
D 1131 /F / C						Managing Partner
Full Name (Last name first, Harris, Mark	if individual)					
Business or Residence Addr 649 San Ramon Valley Boule			ode)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, Jones, Brent	if individual)					
Business or Residence Addr 649 San Ramon Valley Bouler			ode)			
Check Box(es) that Apply:		Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, Stone, Jared	if individual)					
Business or Residence Addr 649 San Ramon Valley Bouler			ode)			
Check Box(es) that Apply:	□ Promoter	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, Vardell, Thomas	if individual)					
Business or Residence Addr 649 San Ramon Valley Boule			ode)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, Kjajeh-Hosseiny, Dr. Hosein	if individual)					
Business or Residence Addr 1 Jermyn Street, London SW			ode)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first,	if individual)					30
Business or Residence Addr	ess (Number and	Street, City, State, Zip Co	ode)			

B. INFORMATION ABOUT OFFERING		
	Yes	No
1. Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?	📙	$\boxtimes$
Answer also in Appendix, Column 2, if filing under ULOE.		
2. What is the minimum investment that will be accepted from any individual?		N/A
	Yes	No □
<ul> <li>3. Does the offering permit joint ownership of a single unit?</li> <li>4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any</li> </ul>		
commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering.		
If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such		
a broker or dealer, you may set forth the information for that broker or dealer only.		
Full Name (Last name first, if individual)		
Business or Residence Address (Number and Street, City, State, Zip Code)		
Name of Associated Broker or Dealer		
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers		
(Check "All States" or check individual States)		All States
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IL IN IA IKS KY ILA ME MD MA MI MN	MS	MO
MT THE THY THE THY THE THE OH TOK	OR	PA
RI SC SD TN TX UT VI VA WA WV WI	WY	PR
		<u></u>
Full Name (Last name first, if individual)		
Business or Residence Address (Number and Street, City, State, Zip Code)		
N. CA. CALD I. D. I.		
Name of Associated Broker or Dealer		
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers		
(Check "All States" or check individual States)		All States
AL AK AZ AR CA CO CT DE DC FL GA	ПНІ	
IL IN IA KS KY LA ME MD MA MI MN	MS	MO
MT THE THE THE THE THE THE THE THE THE TH	OR	PA
RI SC SD TN TX OUT VI VA WA WV WI	WY	PR
Full Name (Last name first, if individual)		
run Name (Last name 111st, ii muividuai)		
Business or Residence Address (Number and Street, City, State, Zip Code)		
Name of Associated Broker or Dealer	-	
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)	[	All States
AL AK AZ AR CA CO CT DE DC FL GA	НІ	ΠID
IL IN IA KS KY LA ME MD MA MI MN	MS	МО
MT NE NV NH NJ NM NY NC ND OH OK	OR	PA
Bri Dsc Dsp Dtn Dtx Dut Dvt Dva Dwa Dwy Dwi		□ <sub>PR</sub>

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

## C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1.	sold. Enter "0" if the answer is "none" or "zero." If the transaction is an exchange offering, check this box \( \square\) and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
		Aggregate Offering Price	Amount Already Sold
	Debt\$		\$
	Equity\$		
	Common Preferred		<del></del>
	Convertible Securities (including warrants)		\$
	Partnership Interests\$	81,675,000	\$ 81,675,000
	Other (Specify)\$		\$
	Total	81,675,000	s <u>81,675,000</u>
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	161	\$ 81,675,000
	Non-accredited Investors		\$0
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1.		
	Type of Offering	Type of Security	Dollar Amount Sold
	Rule 505		\$
	Regulation A		\$
	Rule 504		\$
	Total		\$
4	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		\$
	Printing and Engraving Costs	<u> </u>	\$
	Legal Fees		\$ 140,000
	Accounting Fees		\$
•	Engineering Fees		
	Sales Commissions (specify finders' fees separately)		\$
			\$
	Other Expenses (identify) Total		\$ \$ 140,000
	1 Uta1	IXI	\$ 140.000

4 . 4 .	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF P	ROCEEDS	
5.	b. Enter the difference between the aggregate offering price given in response to Part C — Question 1 and total expenses furnished in response to Part C — Question 4.a. This difference is the "adjusted gross proceeds to the issuer."  Indicate below the amount of the adjusted gross proceed to the issuer used or proposed to be used for each of the purposes shown. If the amount for any purpose is not known, furnish an estimate and check the box to the left of the estimate. The total of the payments listed must equal the adjusted gross proceeds to the issuer set forth in response to Part C — Question 4.b above.		\$ 81,535,000
		Payments to Officers, Directors, & Affiliates	Payments to Others
	Salaries and fees.	\$_5,581,809	<b>S</b>
	Purchase of real estate	□\$	□s
	Purchase, rental or leasing and installation of machinery and equipment	☐ \$	□ s
	Construction or leasing of plant buildings and facilities	\$	□ <b>s</b>
	Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger)		□ s □ s
	Working capital		<b>⊠</b> \$ _ 75,953,191
			□ <b>\$</b>
		s	
	Column Totals	\$ 5,581,809	⊠\$ <u>75,953,191</u>
	Total Payments Listed (column totals added)	⊠ s	81,535,000
M Sec	D. FEDERAL SIGNATURE		Francisco (
igr	issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice lature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commiss information furnished by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Ru	ion, upon written	request of its staff,
ssı	er (Print or Type) Signature [	Date	
No:	rthgate Private Equity Partners II-B (Q), L.P.	December 29, 20	05
Var	ne (Print or Type) Title (Print or Type)		
ar	ed Stone Managing Member of the General Partner, NO	C II, L.L.C.	

- ATTENTION -

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)