1348398

#### FORM D

RECEIVE

Membership Interests

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR

UNIFORM LIMITED OFFERING EXEMPTION

Name of Offering ( check if this is an amendment and name has changed, and indicate change.)

## OMB APPROVAL

OMB NUMBER:

3235-0076

Expires:

November 30, 2001

Estimated average burden

hours per response.....16.00

Prefix O5075433

THE REPORT OF THE PARTY OF THE		
Filing Under (Check box(es) that apply): □	Rule 504 □ Rule 505 ■ Rule 506 □ Section	4(6) ☐ ULOE
Type of Filing: ■ New Filing □ Amendment		PROCESSED
<del></del>	A. BASIC IDENTIFICATION DATA	A LAND 2 2002 REOD H.E.O.
		JAN 0 3 2006 T 8200
1. Enter the information requested about the issuer		2005
Name of Issuer ( check if this is an amendment and	name has changed, and indicate change.)	THUMSON DEC 28 ESTABLES NO.
Ridgewood Energy R Fund, LLC		FINANCIAL 108
Address of Executive Offices (Number and Str	eet, City, State, Zip Code)	Telephone Number (Including Area Code)
947 Linwood Avenue, Ridgewood, NJ 07450		201-447-9000
Address of Principal Business Operations (if different from Executive Offices)	(Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
Brief Description of Business: To acquire interest	ts in oil and natural gas properties	
Type of Business Organization		
□ corporation	☐ limited partnership, already formed	other (please specify): limited liability company
□ business trust	☐ limited partnership, to be formed	
Li Dusiness dust	Month Year	<del></del>
Actual or Estimated Date of Incorporation or Organi	zation 01 06 ■ Actual □ Estimate	
Jurisdiction of Incorporation or Organization: (Enter		
	CN for Canada; FN for other foreign jurisdiction	) DE

#### GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 USC 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

When to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State: This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires a payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

#### ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.



	<u> </u>	A. BASIC IDENT	IFICATION DATA		•
Enter the information requested for the Each promoter of the issuer, if the Each beneficial owner having the Each executive officer and direct Each general and managing particles.	he issuer has be ne power to vote ctor of corporate	or dispose, or direct the issuers and of corporate	vote or disposition of, 10		ass of equity securities of the issuer; hip issuers; and
Check Box(es) that Apply:	■ Promoter	■ Beneficial Owner	☐ Executive Officer	□ Director	■ Managing Member
Full Name (Last name first, if individual)			4		
Ridgewood Energy Corporation				<u> </u>	
Business or Residence Address	(Number and S	treet, City, State, Zip Coo	de)		
947 Linwood Avenue, Ridgewood, NJ 07	450				
Check Box(es) that Apply:	■ Promoter	■ Beneficial Owner	■ Executive Officer	■ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)					
Swanson, Robert E.					
Business or Residence Address	(Number and S	treet, City, State, Zip Coo	de)		
947 Linwood Avenue, Ridgewood, NJ 07	450				
Check Box(es) that Apply:	□ Promoter	□ Beneficial Owner	■ Executive Officer	□ Director	General and/or Managing Partner
Full Name (Last name first, if individual)	□ Fiolilotei	Delicitat Owner	Executive Officer	L Director	☐ General and/or Managing Partner
,					,
Gold, Robert L.					
Business or Residence Address	(Number and S	treet, City, State, Zip Cod	le)		
947 Linwood Avenue, Ridgewood, NJ 07	450				
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)					
M.St Vaklana					
McSherry, Kathleen Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)		
Dasiness of Residence Flamess	(170moor and c	incon, Only, Blanc, Esp Co.	uc)		
947 Linwood Avenue, Ridgewood, NJ 0	7450				
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)					
Tabor, Greg					
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)		
0477	7.450				
947 Linwood Avenue, Ridgewood, NJ 0' Check Box(es) that Apply:		☐ Beneficial Owner	■ Executive Officer	□ Director	T Carred and/or Managing Portros
Full Name (Last name first, if individual)	□ Promoter	Li Beneficial Owner	Executive Officer	□ Director	☐ General and/or Managing Partner
Tun ivanic (East name first, if mulvidual)					
Gulino, Daniel				·	
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)		
947 Linwood Avenue, Ridgewood, NJ 0	7450				
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)					
Olin, Mary Lou Business or Residence Address	(Number and	Street, City, State, Zip Co	, do)		
Business of Residence Address	(Number and	Sueet, City, State, Zip Co	ode)		
947 Linwood Avenue, Ridgewood, NJ 0	7450				
Check Box(es) that Apply:	☐ Promoter	□ Beneficial Owner	■ Executive Officer	☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)		<del></del>			
Valdes, Mirna					
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)		
947 Linwood Avenue, Ridgewood, N.I. O					

Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

	B. INFORMATION ABOUT OFFERING		
		Yes	No
	Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?		
	Answer also in Appendix, Column 2, if filing under ULOE.		
2.	What is the minimum investment that will be accepted from any individual?	\$	75,000
		Yes	No
3,	Does the offering permit joint ownership of a single unit?		
	dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information		
Full N	for that broker or dealer only.  Name (Last name first, if individual)  Attached		
Full N See A	Name (Last name first, if individual)		
Full N See A	Name (Last name first, if individual)  ttached		
Full N See A Busin	Name (Last name first, if individual)  ttached		
Full Notes A	Name (Last name first, if individual)  Attached  Less or Residence Address (Number and Street, City, State, Zip Code)  Less of Associated Broker or Dealer		
Full Notes A	Name (Last name first, if individual)  Attached  less or Residence Address (Number and Street, City, State, Zip Code)		
Full Notes A	Name (Last name first, if individual)  Attached  Less or Residence Address (Number and Street, City, State, Zip Code)  Less of Associated Broker or Dealer	All States	

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Business or Re 26637 W. Ag					e, Zip Code)	)	-					
Name of Asso AFA Financia												
States in which	n Person List	ed Has Solid	cited or Inter	nds to Solicit	Purchasers							
(C	heck "All St	ates" or chec	k individual	States)	***************************************					🗖	All States	
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Full Name (La Taylor, Willia		, if individu	al)									
Business or Re										···		
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Full name (Las Dyer, David	st name first,	if individua	1)									
Business or Re PO Box 4025			iber and Stre	et, City, Stat	e, Zip Code)	)						
Name of Asso Alliance Affil												-
States in which	n Person List	ed Has Soli	cited or Inter	nds to Solicit	Purchasers							<del></del>
(C	heck "All Sta	ates" or chec	k individual	States)		•••••	•••••••	***************************************	• • • • • • • • • • • • • • • • • • • •		All States	
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Full name (Las Kittlaus, Clys		if individua	l)									

Business or Residence Address (Number and Street, City, State, Zip Code) 10391 Creekedge Ct., Bonita Springs, FL 34135

Name of Associated Broker or Dealer Alliance Affiliated Equities Corp.

States in which Person Listed Has Solicited or Intends to Solicit Purchasers

	(Check "All	States" or ch	eck individua	l States)	•••••				••••••		All States	
_[AL]	_ [AK]	_ [AZ]	_ [AR]	_ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	<b>■</b> [FL]	_ [GA]	_ [HI]	_ [ID]
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Suite 3625	r Residence / , 50 North L	Address (Nu aura St., Jac	mber and Stre ksonville, FL	eet, City, State 32202	te, Zip Code	)	<u></u>					
	ssociated Browing & Con	oker or Dealer	:				- ,				, , , , , , , , , , , , , , , , , , ,	
States in w	hich Person	Listed Has So	licited or Inte	nds to Solicit	t Purchasers							
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Hanson, J		1100, 11 11101710	uu.,									
Hanson, J	ames P.			eet, City, Sta	te. Zin Code	<u>)</u>	·		·			
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Asset Allo	ocation Securi	ities Corp.										
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	(Check "All	States" or che	eck individua	1 States)	·····	***************************************					All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	■ [CT] _ [ ME] ■ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
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	or Residence A			et, City, Stat	e, Zip Code	)	<u> </u>					
Name of A Basic Inve	Associated Bro	ker or Dealer										
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Berthel Fi		l States" or che	ack individua	1 Ctates)								

Full Name	(Last name fi	irst, if individ	ual)									
	or Residence A Dale Mabry I				, Zip Code)						·- <u></u>	
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_ [IL]	_ [IN]	_ [IA]	_ [KS]	_ [KY]	_ [LA]	_ [ME]	_ [MD]	■ [MA]	_ [MI]	_ [MN]	_ [MS]	■ [MO]
_ [MT] _ [RI]	_ [NE] _ [SC]	_ [NV] _ [SD]	_ [NH] _ [TN]	_ [NJ] _ [TX]	_ [NM] _ [UT]	_ [NY] _ [VT]	_ [NC] _ [VA]	_ [ND] <b>=</b> [WA]	_ [OH] _ [WV]	_ [OK] _ [WI]	_ [OR] _ [WY]	_ [PA] _ [PR]
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	or Residence A urlington Av				, Zip Code)							
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Full Name Polakoff,	(Last name f Vic	irst, if individ	ual)									
	or Residence A sbury Avenu				, Zip Code)							
	Associated Bro Research, Inc											
States in v	vhich Person I											
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_ [AL]	_ [AK]	_ [AZ]	_ [AR]	_ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC] _ [MA]	_ [FL] _ [MI]	_ [GA]	_ [HI] _ [MS]	_ [ID]
_ [IL] _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] _ [NH]	_ [KY] ■ {NJ]	_ [LA] _ [NM]	_ [ME] _ [NY]	_ [MD] _ [NC]	_ [ND]	_ [OH]	_ [MN] _ [OK]	_ [OR]	_ [MO] _ [PA]
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Full Name Bjork, Da	(Last name f wid	irst, if individ	ual)									
	or Residence A kport St., Bis			eet, City, Sta	te, Zip Code	)						
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_ [AL]	_ [AK]	_ [AZ]	_ [AR]	_ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	_ [FL]	_ [GA]	_ [HI]	_ [ID]
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LIL	Full Name Volzke, R	(Last name from D.	rst, if individ	ual)							_		
Tagwest Securities, Inc.  Tates in which Person Listed Has Solicited or Intends to Solicit Purchasers  (Check "All States" or check individual States)					et, City, Sta	te, Zip Code)	)						
(Check "All States" or check individual States)				1									
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Name (Last name first, if individual)	_ [MT]	■ [NE]	_ [NV]	_ [NH]	_ [NJ]	_ [NM]	_ [NY]	_ [NC]	_ [ND]	_ [OH]	_ [OK]	_ [OR]	_ [PA]
Susiness or Residence Address   (Number and Street, City, State, Zip Code)					_ [1X]	_ [01]	_ [VI]	_ [VA]	[WA]	_ [W v]	_ [WI]	_ [WI]	_ [PK]
222 Mamaroneck Ave., White Plains, NY 10602	Harris, D	avid											
Chester Harris & Co.						te, Zip Code)	)						
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Chester Harris & Co.  States in which Person Listed Has Solicited or Intends to Solicit Purchasers  (Check "All States" or check individual States)						te, Zip Code	)						
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_ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]  Full Name (Last name first, if individual)  Business or Residence Address (Number and Street, City, State, Zip Code)  One University Office Park, Waltham, MA 02453  Name of Associated Broker or Dealer  Commonwealth Financial Network  States in which Person Listed Has Solicited or Intends to Solicit Purchasers  (Check "All States" or check individual States)		_ [IN] _ [NE]	_ [IA] _ [NV]	_ [NH]				_ [MD] _ [NC]	_ [ND]	_ [OH]	_ [OK]	_ [OR]	_ [PA]
Business or Residence Address (Number and Street, City, State, Zip Code) One University Office Park, Waltham, MA 02453  Name of Associated Broker or Dealer  Commonwealth Financial Network  States in which Person Listed Has Solicited or Intends to Solicit Purchasers  (Check "All States" or check individual States)	_ [RI]			_ [TN]	_ [TX]	_ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
One University Office Park, Waltham, MA 02453         Name of Associated Broker or Dealer         Commonwealth Financial Network         States in which Person Listed Has Solicited or Intends to Solicit Purchasers         (Check "All States" or check individual States)	Full Name	(Last name f	irst, if individ	lual)									
Name of Associated Broker or Dealer  Commonwealth Financial Network  States in which Person Listed Has Solicited or Intends to Solicit Purchasers  (Check "All States" or check individual States)	Business of	or Residence A	Address (Nu	imber and Stre	et, City, Sta	te, Zip Code	)						
Commonwealth Financial Network         States in which Person Listed Has Solicited or Intends to Solicit Purchasers         (Check "All States" or check individual States)	One Univ	ersity Office	Park, Walth	am, MA 024									
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States in w	hich Person I	isted Has Sol	icited or Inter	nds to Solicit	Purchasers							=
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Full Name Oswald, T		irst, if individ	ual)									
		Address (Numer Hills, NJ 0		et, City, Stat	e, Zip Code)							
Name of A	ssociated Bro	oker or Dealer										
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Business o	r Residence A	Address (Nu										
		nt Research,		oft Rd, Bern	ardsville, N	07924						
		oker or Dealer										
	Equity Group										=	
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		Address (Num Road, Orang			, Zip Code)							
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States in w		Listed Has So l States" or ch									All States	
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Full Name (Last name first, if individual) Coughlin, Jerry
Business or Residence Address (Number and Street, City, State, Zip Code) 75 Maplewood Drive, Lewisburg, PA 17837
Name of Associated Broker or Dealer
Cullum & Burks Securities, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _ [AK] _ [AZ] _ [AR] _ [CA] _ [CO] _ [CT] _ [DE] _ [DC] _ [[FL] _ [GA] _ [HI] _ [ID] _ [IL] _ [IN] _ [IA] _ [KS] _ [KY] _ [LA] _ [ME] _ [MD] _ [MA] _ [MI] _ [MN] _ [MS] _ [MO] _ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] ■ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]
Full Name (Last name first, if individual) Harris, Chester
Business or Residence Address (Number and Street, City, State, Zip Code) 222 Mamaroneck Ave., White Plains, NY 10602
Name of Associated Broker or Dealer
David Harris & Co.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[[FL] _[GA] _[HI] _[ID] _[IL] _[IL] _[IN] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NV] _[NH] _[NJ] _[NM] _[NV] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VA] _[WA] _[WA] _[WV] _[WI] _[WY] _[PR]
Full Name (Last name first, if individual)  Harris, David
Business or Residence Address (Number and Street, City, State, Zip Code) 222 Mamaroneck Ave., White Plains, NY 10602
Name of Associated Broker or Dealer
David Harris & Co.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO]
Full Name (Last name first, if individual) Brevig, Chris
Business or Residence Address (Number and Street, City, State, Zip Code) 150 East 52 <sup>nd</sup> Street, 3 <sup>rd</sup> Floor, New York, NY 10022
Name of Associated Broker or Dealer  Dominick & Dominick LLC
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
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Business o	or Residence A	Address (Nu	mber and Str	et, City, Stat	e, Zip Code)	)						
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	r Residence A			et, City, Stat	e, Zip Code)	)						
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States in w	hich Person I	isted Has Sol	icited or Inte	nds to Solicit	Purchasers						-	
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_ [MT] _ [RI]	_ [NE] _ [SC]	_ [NV] _ [SD]	_ [NH] _ [TN]	_[NJ] _ [TX]	_ [NM] _ [UT]	_ [NY] _ [VT]	_ [NC] _ [VA]	_ [ND] _ [WA]	_ [OH] _ [WV]	_ [OK] _ [WI]	_ [OR] _ [WY]	_[PA] _ [PR]
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		Minmi ET	33131									
2 South B	iscayne Blvd.		<del> </del>									
2 South B Name of A	iscayne Blvd. Associated Bro & Dominick	ker or Dealer					44					
2 South B Name of A Dominick	Associated Bro	oker or Dealer LLC		nds to Solicit	Purchasers							
2 South B Name of A Dominick	Associated Bro & Dominick which Person I	oker or Dealer LLC Listed Has Sol	icited or Inte								All States	
Name of A Dominick States in v	& Dominick which Person I (Check "All	oker or Dealer LLC Listed Has Sol States" or che	icited or Inte	l States)								(ID)
2 South B Name of A Dominick States in w	Associated Bro & Dominick which Person I	oker or Dealer LLC Listed Has Sol States" or che	icited or Inte		_ [CO]	_[CT]	_ [DE]	_ [DC]	_ [FL]	🗆 _ [GA] _ [MN]	All States _ [HI] _ [MS]	_ [ID] _ [MO]
2 South B Name of A Dominick States in v  _ [AL] _ [IL] _ [MT]	& Dominick  & Dominick  which Person I  (Check "All  [AK]  [IN]  [NE]	cker or Dealer LLC Listed Has Sol States" or che  [AZ] [IA] [IV]	icited or Inte	1 States) _ [CA] _ [KY] _[NJ]	_ [CO] _ [LA] _ [NM]	_[CT] _ [ME] _ [NY]	_ [DE] _ [MD] _ [NC]	_ [DC] _ [MA] _ [ND]	_ [FL] _ [MI] _ [OH]	_ [GA] _ [MN] _ [OK]	_ [HI] _ [MS] _ [OR]	_ [MO] _[PA]
2 South B Name of A Dominick States in v  _ [AL] _ [IL] _ [MT] _ [RI]	Associated Brown Service & Dominick Which Person I (Check "All [AK] [IN] [INE] [SC]	cker or Dealer LLC  Listed Has Sol States" or che  [AZ]  [IA]  [NV]  [SD]	icited or Inte	1 States) _ [CA] _ [KY]	_ [CO] _ [LA]	_[CT] _ [ME]	_ [DE] _ [MD]	_ [DC] _ [MA]	_ [FL] _ [MI]	_ [GA] _ [MN]	_ [HI] _ [MS]	_ [MO]
2 South B Name of A Dominick States in v  _ [AL] _ [IL] _ [MT] _ [RI] Full Name	& Dominick  & Dominick  which Person I  (Check "All  [AK]  [IN]  [NE]	cker or Dealer LLC  Listed Has Sol States" or che  [AZ]  [IA]  [NV]  [SD]	icited or Inte	1 States) _ [CA] _ [KY] _[NJ]	_ [CO] _ [LA] _ [NM]	_[CT] _ [ME] _ [NY]	_ [DE] _ [MD] _ [NC]	_ [DC] _ [MA] _ [ND]	_ [FL] _ [MI] _ [OH]	_ [GA] _ [MN] _ [OK]	_ [HI] _ [MS] _ [OR]	_ [MO] _[PA]
2 South B Name of A Dominick States in v  [AL]  [IL]  [MT]  [RI]  Full Name Hammon	Secondaries of the control of the co	cker or Dealer LLC Listed Has Sol States" or che  [AZ]  [IA]  [NV]  [SD] Lirst, if individ	icited or Inte eck individua  _ [AR] _ [KS] _ [NH] _ [TN] ual)	States)   [CA]   [KY]   [NJ]   [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_[CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC]	_ [DC] _ [MA] _ [ND]	_ [FL] _ [MI] _ [OH]	_ [GA] _ [MN] _ [OK]	_ [HI] _ [MS] _ [OR]	_ [MO] _[PA]
2 South B Name of A Dominick States in v  _ [AL] _ [IL] _ [MT] _ [RI] Full Name Hammon Business c 4045 Orc	& Dominick  & Dominick  which Person I  (Check "All  [IN]  [IN]  [SC]  (Last name fid, William  or Residence A  hard Rd., Sn	oker or Dealer LLC Listed Has Sol States" or che  [AZ]  [IA]  [INV]  [SD] Lirst, if individ Address (Nu Lyma, GA 30	eck individua  _ [AR]  _ [KS]  _ [NH]  _ [TN]  ual)  mber and Str	States)   [CA]   [KY]   [NJ]   [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_[CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC]	_ [DC] _ [MA] _ [ND]	_ [FL] _ [MI] _ [OH]	_ [GA] _ [MN] _ [OK]	_ [HI] _ [MS] _ [OR]	_ [MO] _[PA]
2 South B Name of A Dominick States in v  _ [AL] _ [IL] _ [MT] _ [RI] Full Name Hammon Business of 4045 Orce	& Dominick  & Dominick  which Person I  (Check "All  [IN]  [IN]  [SC]  (Last name fid, William  or Residence A  hard Rd., Sn  Associated Bro	cker or Dealer LLC  Listed Has Sol  States" or che  [AZ]  [IA]  [INV]  [SD]  Lirst, if individ  Address (Nu  Lyma, GA 30  Oker or Dealer	eck individua  _ [AR]  _ [KS]  _ [NH]  _ [TN]  ual)  mber and Str	States)   [CA]   [KY]   [NJ]   [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_[CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC]	_ [DC] _ [MA] _ [ND]	_ [FL] _ [MI] _ [OH]	_ [GA] _ [MN] _ [OK]	_ [HI] _ [MS] _ [OR]	_ [MO] _[PA]
2 South B Name of A Dominick States in v  [AL] [IL] [MT] [RI] Full Name Hammon Business of A 4045 Orc	Secondaries of the control of the co	cker or Dealer LLC Listed Has Sol States" or che  [AZ]  [IA]  [NV]  [SD] Lirst, if individ Address (Nu Layma, GA 30 Locker or Dealer Services, Inc	icited or Interest individual  [AR]  [KS]  [NH]  [TN]  ual)	I States) [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_[CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC]	_ [DC] _ [MA] _ [ND]	_ [FL] _ [MI] _ [OH]	_ [GA] _ [MN] _ [OK]	_ [HI] _ [MS] _ [OR]	_ [MO] _[PA]
2 South B Name of A Dominick States in v  [AL] [IL] [MT] [RI] Full Name Hammon Business of A 4045 Orc	& Dominick  A Dominick  A Dominick  A Dominick  A Check "All	cker or Dealer LLC Listed Has Sol States" or che  [AZ]  [IA]  [INV]  [SD] List, if individ Address (Nu hyma, GA 30 cker or Dealer Services, Inc. Listed Has Sol	icited or Inte	I States)  _ [CA] _ [KY] _ [NJ] _ [TX]  eet, City, State	_ [CO] _ [LA] _ [NM] _ [UT]  e, Zip Code	_[CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] • [WY]	_ [MO] _[PA]
2 South B Name of A Dominick States in w  - [AL] - [IL] - [MT] - [RI] Full Name Hammone Business of 4045 Orc Name of A Dunwood States in w	Associated Brown I & Dominick which Person I (Check "All [IN] [IN] [INE] [IN] [INE] [IN] [INE] [IN] [INE] [IN] [INE] [IN] [INE] [IN] [IN] [IN] [IN] [IN] [IN] [IN] [IN	cker or Dealer LLC Listed Has Sol States" or che  [AZ]  [IA]  [INV]  [SD] Lirst, if individ Address (Nu nyma, GA 30 Oker or Dealer Services, Inc Listed Has Sol States" or che	Licited or Intereck individua  Lack [AR] Lack [KS] Lack [NH] Lack [TN]  Lack [NH] Lack	I States)  [CA] [KY] [NJ] [TX]  eet, City, States)	_ [CO] _ [LA] _ [NM] _ [UT]	_[CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [MO] _[PA] _ [PR]
2 South B Name of A Dominick States in v  [AL] [IL] [MT] [RI] Full Name Hammon Business of A Outhord Name of A Dunwood	& Dominick  A Dominick  A Dominick  A Dominick  A Check "All	cker or Dealer LLC Listed Has Sol States" or che  [AZ]  [IA]  [INV]  [SD] List, if individ Address (Nu hyma, GA 30 cker or Dealer Services, Inc. Listed Has Sol	icited or Inte	I States)  _ [CA] _ [KY] _ [NJ] _ [TX]  eet, City, State	_ [CO] _ [LA] _ [NM] _ [UT]  e, Zip Code	_[CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] • [WY]	_ [MO] _[PA]

Full Name	e (Last name f	irst, if individ	ual)									
	or Residence A			et, City, Stat	te, Zip Code)	·						
	Associated Broggers	ker or Dealer										
States in v	which Person I	isted Has Sol	icited or Inter	nds to Solicit	t Purchasers	· · · · · · · · · · · · · · · · · · ·						
	(Check "All	States" or che	eck individual	States)			•••••				All States	
_[AL]	_ [AK]	■ AZ]	_ [AR]	■ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	■ [FL]	_ [GA]	<b>■</b> [HI]	_ [ID]
_ [IL] _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] _ [NH]	_ [KY] ■ [NJ]	_ [LA] _ [NM]	_ [ME] _ [NY]	_ [MD] _ [NC]	_ [MA] _ [ND]	_ [MI] _ [OH]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] _ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	_ [TX]	■ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
Full Name Yellin, Sa	e (Last name f	irst, if individ	ual)									
	or Residence A					)						
	Associated Broalk & Associa											
States in v	which Person I	isted Has Sol	licited or Inter	nds to Solicit	t Purchasers							
	(Check "All	States" or che	eck individual	States)			***************************************		••••••		All States	
_ [AL]	_ [AK]	_ [AZ]	_ [AR]	■ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	_ [FL]	_ [GA]	_ [HI]	_ [ID]
_ [IL] _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] _ [NH]	_ [KY] _ [NJ]	_ [LA] _ [NM]	_ [ME] _[NY]	_ [MD] _ [NC]	_ [MA] _ [ND]	_ [MI] _ [OH]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] _ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	_ [TX]	_ [UT]	_[VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
	e (Last name f Alexander P.	irst, if individ	ual)									
1700 Peni	or Residence A nsylvania Ave	enue, 7 <sup>th</sup> Floo	or, Washingto	et, City, Star on, DC 2000	te, Zip Code) 06	)						
Ferris, Ba	Associated Broaker Watts In	ncorporated										
States in v	which Person I	Listed Has Sol	licited or Inter	nds to Solicit	t Purchasers							
	(Check "All	States" or che	eck individua	l States)							All States	
_[AL]	_ [AK]	_ [AZ]	_ [AR]	■ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	■ [FL]	_ [GA]	_ [HI]	_ [ID]
_ (IL) _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] _ [NH]	_ [KY] ■ [NJ]	_ [LA] _ [NM]	_ [ME] _ [NY]	■ [MD] _ [NC]	_ [MA] _ [ND]	_ [MI] _ [OH]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] _ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	_ [TX]	_ [UT]	_ [VT]	■ [VA]	_ [WA]	_ [wv]	■ [WI]	_ [WY]	_ [PR]
Full Name	e (Last name f	irst, if individ	ual)									
	or Residence A				;, Zip Code)							
	Associated Brontauk Securit											
States in v	which Person I (Check "All	Listed Has Sol States" or che									All States	
_[AL]	_ [AK]	_ [AZ]	_ [AR]	■ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	_[FL]	_ [GA]	_ [HI]	_ [ID]
_ [IL] _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] [NH]	_ [KY] _[NJ]	_ [LA] _ [NM]	_ [ME] _[NY]	_ [MD] _ [NC]	_ [MA] _ [ND]	_ [MI] _ [OH]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] _ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	_[TX]	_ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]

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Full Name (Last name Griffin, James	first, if individ	lual)					,			•	
Business or Residence 1246 Chavaniac Dr.,			t, City, State	e, Zip Code)							
Name of Associated E Forsyth Securities	Broker or Deale	r									
States in which Person (Check "A	Listed Has So II States" or ch						,,,,,,			All States	
_[AL] _ [AK] _[IL] _ [IN] _[MT] _ [NE] _[RI] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_[CA] _[KY] _[NJ] _[TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _[NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_[FL] _[MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] ■ [MO] _ [PA] _ [PR]
Full Name (Last name Best, Thomas M.	first, if individ	lual)									
Business or Residence 2202 S. Babcock St.,			t, City, State	e, Zip Code)							
Name of Associated E G. A. Repple & Com		r							,		
States in which Person (Check "A	Listed Has So all States" or ch								c	All States	,
_ [AL] _ [AK] _ [IL] _ [IN] _ [MT] _ [NE] _ [RI] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_[CA] _[KY] _[NJ] _[TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _[NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _[MO] _ [PA] _ [PR]
Full Name (Last name Hamilton, Paul	e first, if individ	lual)	· ·								
Business or Residence 2341 Mount Carmel			t, City, State	e, Zip Code)							
Name of Associated E G. A. Repple & Com		r									
States in which Person (Check "A	Listed Has So All States" or ch									All States	
_[AL] _[AK] _[IL] _[IN] _[MT] _[NE] _[RI] _[SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_[CA] _[KY] _[NJ] <b>=</b> [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _[NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_[FL] _[MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _[MO] _ [PA] _ [PR]
Full Name (Last name Reyes, Richard E.	e first, if individ	lual)					,				
Business or Residence 159 Lookout Place, N			t, City, Stat	e, Zip Code)							
Name of Associated I G. A. Repple & Com		r									
States in which Person (Check "A	n Listed Has So All States" or ch								C	All States	
_[AL] _ [AK] _ [IL] _ [IN] _ [MT] _ [NE] _ [RI] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_[CA] _[KY] _[NJ] _[TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _[NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _[MO] _ [PA] _ [PR]

Full Name	(Last name fi	irst, if individ	lual)									
			mber and Stro		te, Zip Code	)						
	ssociated Bro ealth Manag	ker or Dealer ement, Inc.	r									
States in w	nich Person I	isted Has So	licited or Inte	nds to Solicit	t Purchasers	· · · · · · · · · · · · · · · · · · ·						
	(Check "All	States" or ch	eck individua	l States)	•••••						All States	
_ [AL]	_ [AK]	■ [AZ]	_ [AR]	<b>■</b> [CA]	■ [CO]	_ [CT]	_ [DE]	_ [DC]	_ [FL]	_ [GA]	_ [HI]	_ [ID]
■ [IL] [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] _ [NH]	_ [KY] ■ [NJ]	_ [LA] _ [NM]	_ [ME] _ [NY]	_ [MD] ■ [NC]	_ [MA] _ [ND]	_ [MI] _ [OH]	■ [MN] ■ [OK]	_ [MS] _ [OR]	_ [MO] ■ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	■ [TX]	■ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
Full Name Whitman,		irst, if individ	lual)						***************************************			
Business or	Residence A Avenue, 14 <sup>th</sup>	Address (Nu Floor, New	mber and Str York, NY 10	eet, City, Star 0017	te, Zip Code	)			- mux			
	ssociated Bro curities Inco	ker or Deale rporated	г									
States in w	hich Person I	isted Has So	licited or Inte	nds to Solicit	t Purchasers							
	(Check "All	States" or ch	eck individua	l States)	•••••						All States	
_[AL]	_ [AK]	_ [AZ]	_ [AR]	_ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	_ [FL]	_ [GA]	_ [HI]	_ [ID]
_ [IL] _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] _ [NH]	_ [KY] _ [NJ]	_ [LA] _ [NM]	_ [ME] ■ [NY]	■ [MD] _ [NC]	_ [MA] _ [ND]	_ [MI] _ [OH]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] _ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	_ [TX]	_ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
Full Name Boutin, Da		irst, if individ	lual)									
Business or 777 Third	Residence A Avenue, 14 <sup>th</sup>	Address (Nu Floor, New	mber and Str York, NY 10	eet, City, Star 0017	te, Zip Code	)						
	ssociated Bro curities Inco	ker or Deale rporated	r									
States in w	hich Person I	isted Has So	licited or Inte	nds to Solici	t Purchasers							
	(Check "All	States" or ch	eck individua	l States)	•••••			•••••	••••		All States	
_ [AL]	_ [AK]	_ [AZ]	_ [AR]	_ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	_ [FL]	_ [GA]	_ [HI]	~ [ID]
_ [IL] _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] _ [NH]	_ [KY] _ [NJ]	_ [LA] _ [NM]	_ [ME] _ [NY]	_ [MD] _ [NC]	■ [MA] _ [ND]	_ [MI] _ [OH]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] _ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	_ [TX]	_ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
Full Name Sharon, G		irst, if indivic	iual)									
			imber and Str er, 10 <sup>th</sup> Floor									
	ssociated Bro curities Inco	oker or Deale orporated	r			-						
States in w	hich Person I	Listed Has Sc	olicited or Inte	nds to Solici	t Purchasers							
	(Check "All	States" or ch	eck individua	l States)	•••••					🗅	All States	
_[AL]	_ [AK]	_ [AZ]	_ [AR]	_ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	_ [FL]	_ [GA]	_ [HI]	_ [ID]
_ [IL] [MT]	_ [IN]	_ [IA] [NV]	_ [KS]	_ [KY] [N]]	_ [LA]	_ [ME] [NY]	_ [MD] [NC]	■ [MA] [ND]	_ [MI] _ [OH]	_ [MN] [OK]	_ [MS] [OR]	_ [MO] [PA]
_ [RI]	_ [SC]	_ [NV] _ [SD]	_ [NH] _ [TN]	_ [NJ] _ [TX]	_ [UT]	_ [NT] _ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
_ [MT] _ [RI]	_ [NE] _ [SC]	_ [NV] _ [SD]	_ [NH] _ [TN]	_ [NJ] _ [TX]	_ [NM] _ [UT]	_ [NY] _ [VT]	_ [NC] _ [VA]	_ [ND] _ [WA]	_ [OH] _ [WV]	_ [OK] _ [WI]	_ [OR] _ [WY]	_ [PA] _ [PR]

Full Name (Last name first, if individual)  Lum, Matthew	
Business or Residence Address (Number and Street, City, State, Zip Code) MJL Advisors, 929 Santa Barbara St., Santa Barbara, CA 93101	
Name of Associated Broker or Dealer Girard Securities	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	_
(Check "All States" or check individual States)	
_ [AL] _ [AK]	
Full Name (Last name first, if individual)  Lum, Matthew	
Business or Residence Address (Number and Street, City, State, Zip Code)  Damitz/Sentinel Wealth Management, 929 Santa Barbara St., Santa Barbara, CA 93101	
Name of Associated Broker or Dealer Girard Securities	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] •[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _[IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NH] _[NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VA] _[WA] _[WV] _[WI] _[WY] _[PR]	
Full Name (Last name first, if individual) Hill, Mark	
Business or Residence Address (Number and Street, City, State, Zip Code) 12520 High Bluff Drive, San Diego, CA 921303101	
Name of Associated Broker or Dealer Girard Securities	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR]	
Full Name (Last name first, if individual)  Manchester, Richard	
Business or Residence Address (Number and Street, City, State, Zip Code)  D&M Capital Management, 1 City Boulevard West, Orange, CA 92868	
Name of Associated Broker or Dealer Girard Securities	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
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Full name (Last name first, if individual) VanMourick, Mark Business or Residence Address (Number and Street, City, State, Zip Code) 30131 Town Center Drive, Laguna Niguel, CA 92677 Name of Associated Broker or Dealer Gramercy Securities, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)..... \_ [CO] \_ [DE] \_ [ID] \_ [AL] \_ [AZ] \_ [AR] \_ [CT] \_ [DC] \_ [FL] \_ [GA] \_ [HI] \_ [AK] ■ [CA] \_ [IN] \_ [MD] \_ [MI] \_ [MS] \_ [MO] \_ [IL] \_ [IA] \_ [KS] \_ [KY] \_ [MA] \_ [LA] \_ [ ME] \_ [MN] \_ [NE] \_ [NV] \_ [NH] \_ [NJ] \_ [NM] \_ [NC] \_ [OR] \_ [NY] \_ [ND] \_ [OH] \_ [OK] [MT] \_ [PA] \_ [WV] \_ [WI] \_ [WY] \_ [RI] \_ [SC] \_ [SD] \_ [VT] \_ [VA] \_ [PR] \_ [TN] \_ [TX] \_ [UT] \_ [WA] Full name (Last name first, if individual) Hrycyk, Stephanie Business or Residence Address (Number and Street, City, State, Zip Code) 3000 SW 27th Avenue, Amarillo, TX 79190 Name of Associated Broker or Dealer Gramercy Securities Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)..... \_ [AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [FL] ■ [GA] \_ [HI] \_ [ID] \_ [MN] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_[ MÉ] \_ [MD] \_ [MS] \_ [MO] \_ [IL] \_ [MA] \_ [MI] \_ [LA] \_ [NV] \_ [OK] \_ [PA] [MT] \_ [NE] \_ [NH] ■ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [OR] \_ [NJ] \_ [SD] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [RI] \_ [SC] \_ [TN] \_ [UT] \_ [VT] \_ [VA] \_ [PR] **■** [TX] Full name (Last name first, if individual) Scribner, Rod Business or Residence Address (Number and Street, City, State, Zip Code) 3949 Old Post Road, Charlestown, RI 02813 Name of Associated Broker or Dealer Gramercy Securities Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)... \_ [ID] \_ [DE] \_ [GA] \_ [HI] \_ [AL] \_ [AZ] \_ [AR] \_ [CO] \_ [CT] \_ [DC] \_ [FL] \_ (AK) **■** [CA] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ MÉ] \_ [MD] \_ [MA] \_ [MĨ] \_ [MN] \_ [MO] \_ [IL] \_ [IN] \_ [MS] \_ [PA] \_ [OK] \_ [OR] \_ [MT] \_ [NE] \_ [NV] \_ [NJ] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [NH] \_ [NM] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [UT] \_[VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] ■ [TX] Full name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 5002 W. Waters Avenue, Tampla, FL 33634 Name of Associated Broker or Dealer Gunn Allen Financial States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States).... All States **■**\_[DC] \_ [HI] \_ [ID] ■ [AL] ■ [AK] ■ [AZ] \_ [AR] ■ [CA] ■ [CO] \_ [CT] \_ [DE] ■ [GA] ■ [MA] \_ [MN] \_ [MS] \_ [KS] ■ [MÉ] ■ [MI] \_ [MO] \_ [IN] ■ [KY] **■** [LA] ■ [MD] **■** [IL] \_ [IA] \_ [NM] \_ [MT] \_ [NÝ] \_ [OR] ■ [OK] ■ [PA] ■ [NE] ■ [NH] ■ [NJ] **■** [NY] ■ [NC] \_ [ND] ■ [OH] \_ [UT] ■\_ [WÁ] \_ [WV] ■ [SC] \_ [SD] \_[VT] ■ [VA] ■ [WI] \_ [WY] \_ [PR] \_ [TN] **■** [TX] \_ [RI]

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Full Name (Last n Decker, Kenneth		dual)					811				
Business or Reside 322 Frankstown			t, City, State	, Zip Code)						-	
Name of Associate Next Financial G		r									
States in which Pe (Check	son Listed Has So "All States" or cl									All States	
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Full Name (Last n Murphy, Randy	nme first, if indivi	dual)									
Business or Reside				, Zip Code)	, , , , , , , , , , , , , , , , , , ,				-		
Name of Associate Next Financial G		er									
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_ [ID] _ [MO] _ [PA] _ [PR]
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	ssociated Bro Financial P											<u> </u>
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Full Name Korth, Da	(Last name fi niel Lee	irst, if individ	lual)					<u></u>				
	r Residence A		ber and Stree , CE 93420	t, City, State,	Zip Code)			·				
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_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] • [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name Swanson,	(Last name fi Robert	irst, if individ	lual)						<u> </u>			
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Name of A	ssociated Bro	oker or Deale						<u> </u>				
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Name of A Ridgewood States in w  [AL]  [IL]  [MT]  [RI]	ssociated Brod Securities of thich Person I  (Check "All  [IN]  [NE]  [SC]  (Last name f	cker or Deale Corp.  Listed Has So States" or ch  [AZ]  [IA]  [NV]  [SD]	clicited or Inte	I States)  ■ [CA]  ■ [KY]  ■ [NJ]	■ [CO] ■ [LA] ■ [NM]	■ [CT] ■ [ME] ■ [NY]	■ [DE] ■ [MD] ■ [NC]	■ [DC] ■ [MA] _ [ND]	■ [FL] ■ [MI] ■ [OH]	■ [GA] ■ [MN] ■ [OK]	■ [HI] ■ [MS] ■ [OR]	_ [MO] ■ [PA]
Name of A Ridgewood States in w  [AL] [IL] [MT] [RI]  Full Name Gray, Tho Business o	ssociated Brodd Securities of thich Person I  (Check "All   [IN]   [NE]   [SC]  (Last name formas A.	cker or Deale Corp.  Listed Has So States" or ch  [AZ]  [IA]  [INV]  [SD]  Irst, if individant	eck individua  [AR] [KS] [NH] [TN]	I States)  ■ [CA]  ■ [KY]  ■ [NJ]  ■ [TX]	■ [CO] ■ [LA] ■ [NM] ■ [UT]	■ [CT] ■ [ME] ■ [NY] ■ [VT]	■ [DE] ■ [MD] ■ [NC]	■ [DC] ■ [MA] _ [ND]	■ [FL] ■ [MI] ■ [OH]	■ [GA] ■ [MN] ■ [OK]	■ [HI] ■ [MS] ■ [OR]	_ [MO] ■ [PA]
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Name of A Ridgewood States in w  [AL] [IL] [IL] [RI] Full Name Gray, The Business of	ssociated Brode Securities of thich Person I  (Check "All	cker or Deale Corp.  Listed Has So States" or ch  [AZ]  [IA]  [SD]  Irst, if individed the condon beach	eck individua  [AR] [KS] [NH] [TN]  dual)	I States)  ■ [CA]  ■ [KY]  ■ [NJ]  ■ [TX]	■ [CO] ■ [LA] ■ [NM] ■ [UT]	■ [CT] ■ [ME] ■ [NY] ■ [VT]	■ [DE] ■ [MD] ■ [NC]	■ [DC] ■ [MA] _ [ND]	■ [FL] ■ [MI] ■ [OH]	■ [GA] ■ [MN] ■ [OK]	■ [HI] ■ [MS] ■ [OR]	_ [MO] ■ [PA]
Name of A Ridgewood States in w  [AL] [IL] [MT] [RI] Full Name Gray, Tho Business of 322 Vista Name of A S.I.I. Inve	ssociated Brod Securities of Securities of Securities of thich Person I  (Check "All  [IN]  [IN]  [NE]  [SC]  (Last name fromas A.  r Residence A Del Mar, Residence A ssociated Brostments	oker or Deale Corp.  Listed Has So States" or ch  [AZ]  [IA]  [IV]  [SD]  irst, if individ Address (Nudondo Beach oker or Deale	eck individua  [AR] [KS] [NH] [TN]  dual)	States)   [CA]	■ [CO] ■ [LA] ■ [NM] ■ [UT]  te, Zip Code	■ [CT] ■ [ME] ■ [NY] ■ [VT]	■ [DE] ■ [MD] ■ [NC]	■ [DC] ■ [MA] _ [ND]	■ [FL] ■ [MI] ■ [OH]	■ [GA] ■ [MN] ■ [OK]	■ [HI] ■ [MS] ■ [OR]	_ [MO] ■ [PA]
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Full Name (Last name first, if individual) Wlosek, Ken Business or Residence Address (Number and Street, City, State, Zip Code) 400 "D-C" Lake Street, Ramsey, NJ 07446 Name of Associated Broker or Dealer Seward Groves Richard & Wells, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)... \_ [AL]  $\blacksquare$ [AZ] \_ [AR] ■ [CA] ■ [CO] \_[CT] \_ [DE] **■** [FL] \_ [ID] \_ [DC] **■** [GA] \_ [HI] \_ [IN] \_ [IA] \_ [KS] ■ [IL] \_ [KY] \_ [MD] ■ [LA] \_ [MI] \_ [MN] \_ [MS] \_ [ME] ■ [MA] \_ [MO] \_ (NE) \_ [NÝ] \_ [NH] \_ [ND] \_ [OH] \_ [OK] \_ [MT] \_ [NM] \_ [OR] ■ [NJ] ■ [NY] ■ [NC] **■** [PA] \_ [SC] \_ [SD] \_ [TN] \_ [WI] \_ [RI] \_ [UT] ■ [VA] \_ [WA] \_ [WV] \_ [PR] \_ [TX] \_ [VT] \_ [WY] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 4261 Park Road, Ann Arbor, MI 48103 Name of Associated Broker or Dealer Sigma Financial Corporation States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States).... \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [ID] \_ [AL] ■ [FL] \_ [HI] [GA] \_ [KY] \_ [LA] \_ [MD] \_ [MO] \_ [IN] \_ [KS] \_ [ME] \_ [MA] \_ [MS] \_ [IA] H [IL] ■ [MI] ■ [MN] \_ [NE] \_ [NH] \_ [NJ] \_ [NC] \_ [ND] \_ [MT] ■[NV] \_ [NM] \_ [NY] \_ [OK] \_ [OR] \_ [PA] ■ [OH] \_ [WY] \_ [SC] \_ [RI] \_ [SD] \_ [TN] \_ [TX] ■ [TX] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [PR] Full Name (Last name first, if individual) Kovaleff, Theodore Business or Residence Address (Number and Street, City, State, Zip Code) 110 Wall Street, New York, NY 10005 Name of Associated Broker or Dealer Sky Capital LLC States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)..... \_ [DE1 \_ [ID] \_ [CO] \_ [DC] \_ [FL] \_ [HI] \_[AL] \_ [AK] \_ [AR] \_ [CA] \_ [CT] \_ [GA] \_ [AZ] \_ [IA] \_ [KY] \_ [LA] \_ [MD] \_ [IL] \_ [IN] \_ [KS] \_ [ME] \_ [MA] \_ [MI] \_ [MN] \_ [MS] \_ [MO] \_ [NV] \_ [NM] \_ [OK1 \_ [OR] \_ [PA] \_ [MT] \_ [NE] \_ [NH] \_ [NC] \_ [ND] \_ [OH] \_ [NJ] ■ [NY] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] Full Name (Last name first, if individual) Frieders, Craig c. Business or Residence Address (Number and Street, City, State, Zip Code) 2000 Sierra Point Pkwy, Brisbane, CA 94005 Name of Associated Broker or Dealer Sloan Securities Corp. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States).... \_ [ID] \_ [DE] \_ [GA] \_ [HI] \_ [AL] \_ [AK] \_ [AZ] \_ [AR] **■** [CA] \_ [CO] \_ [CT] \_ [DC] \_ [FL] \_ [MN] \_ [MO] \_ [IL] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MD] \_ [MA] \_ [MI] \_ [MS] \_ [OK] \_ [OR] \_ [ND] \_ [PA] \_ [NV] \_ [NH] \_ [NM] \_ [NY] \_ [OH] \_ [NE] \_ [NJ] \_ [NC] \_ [MT] \_ [SC] \_ [SD] \_ [UT] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] \_ [RI] \_ [TN] \_ [TX] \_ [VT] \_ [VA]

Full Name Leiphart,		irst, if individ	ual)									···-
		Address (Nu estport, CT		et, City, Stat	te, Zip Code	)						
	ssociated Bro	ker or Dealer						****				
States in w	hich Person I	isted Has Sol	icited or Inter	ds to Solicit	Purchasers							
	(Check "All	States" or che	ck individual	States)	••••••		•••••				All States	
_ [AL] [IL] [MT] [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] • [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	■ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name Young, Sh		irst, if individ	ual)									
		Address (Nu Fresno, CA		et, City, Star	te, Zip Code	)						
	ssociated Bro	oker or Dealer										
States in w	hich Person I	isted Has So	icited or Inter	nds to Solicit	Purchasers							
	(Check "All	States" or che	eck individual	States)		·····		•••••			All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	■ [CA] _ [KY] ■ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name Graves, G		irst, if individ	ual)							<del></del>	٠.	
Business o Empire Fi	r Residence A	Address (Nu up Inc., 745 I	mber and Stre	et, City, Star ve, #8, Newp	te, Zip Code oort Beach,	) CA 92660						
		oker or Dealer Chartered In										
States in w	hich Person I	isted Has So	licited or Inter	nds to Solici	t Purchasers							
	(Check "All	States" or che	eck individual	States)		***************************************					All States	
[AL] _ [IL] _ [MT] [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	■ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name Doane, Jo		irst, if individ	ual)									
		Address (Nu Wakefield, M		eet, City, Sta	te, Zip Code	)						
	Associated Bro	oker or Dealer	•									
		Listed Has So	licited or Inte	nds to Solici	t Purchasers							
Cuito III W		States" or ch									All States	
_[AL] u[IL] _[MT] _[RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] • [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] • [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT]  [ME]  _ [NY]  _ [VT]	_ (DE) _ [MD] _ [NC] _ [VA]	_[DC]  [MA]  _[ND] _[WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]

Evil Means (Land arms, Evil (Evil dividual)
Full Name (Last name first, if individual) Bernier, Brian
Business or Residence Address (Number and Street, City, State, Zip Code) 401 Edgewater Place, Wakefield, MA 01880
Name of Associated Broker or Dealer Stonegate Partners, LLC
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR]
[RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]
Full Name (Last name first, if individual)  Mara, Jack
Business or Residence Address (Number and Street, City, State, Zip Code) 401 Edgewater Place, Wakefield, MA 01880
Name of Associated Broker or Dealer Stonegate Partners, LLC
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] •[CT] _[DE] _[DC] •[FL] _[GA] _[HI] _[ID]
_ [IL] _ [IN] _ [IA] _ [KS] _ [KY] _ [LA] _ [ME] _ [MD] • [MA] • [MI] _ [MN] _ [MS] _ [MO] _ [MT] _ [NE] _ [NV] • [NH] • [NJ] _ [NM] • [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] _ [PA]
■ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ ■[WA] _ [WV] _ [WI] _ [WY] _ [PR]
Full Name (Last name first, if individual) Faber, Joel A.
Business or Residence Address (Number and Street, City, State, Zip Code) 3478 Buskirk Avenue, Pleasant Hill, CA 94523
Name of Associated Broker or Dealer Summit Brokerage Services, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR]
_[IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NH] _[NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] ■ [PA]
[RI] [SC] [SD] [TN] [TX] [UT] [VT] [VA] [WA] [WV] [WI] [WY] [PR]
Full Name (Last name first, if individual) Nixon, Thomas M.
Business or Residence Address (Number and Street, City, State, Zip Code) 4775 Wallingford Street, Pittsburgh, PA 15213
Name of Associated Broker or Dealer Thomas M. Nixon & Associates
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] ■[FL] _[GA] _[HI] _[DD]
_[IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NH] _[NJ] _[NM] ■[NY] _[NC] _[ND] _[OH] _[OK] _[OR] ■[PA]
[RI] [SC] [SD] [TN] [TX] [UT] [VT] [VA] [WA] [WV] [WI] [WY] [PR]

Full name (Last name first, if individual)  Goodman, David L.
Business or Residence Address (Number and Street, City, State, Zip Code) 853 Vanderbilt Beach Road, Naples, FL 34108
Name of Associated Broker or Dealer TransAm Securities, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] ■[FL] _[GA] _[HI] _[ID] _[IL] _[IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO]
_ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] _ [PA]
_ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]  Full name (Last name first, if individual)
Shafe, Charles
Business or Residence Address (Number and Street, City, State, Zip Code) 531 Versailles Drive, Maitland, FL 32751
Name of Associated Broker or Dealer TransAm Securities, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] • [FL] _[GA] _[HI] _[ID] _[IL] _[IN] _[IN] _[KS] _[KY] _[LA] _[ME] • [MD] _[MA] _[MI] _[MN] _[MN] _[MS] _[MO]
_[MT] _[NE] _[NV] _[NH] ■ [NJ] _[NM] _[NY] ■ [NC] _[ND] _[OH] _[OK] _[OR] ■ [PA]
_ [RI] ■ [SC] _ [SD] _ [TN] ■ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]  Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code) 3500 Parkway Lane, Norcross, GA 30092
Name of Associated Broker or Dealer Triad Advisors, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK]
■ [MT] _ [NE] _ [NV] _ [NH] ■ [NJ] _ [NM] ■ [NY] ■ [NC] _ [ND] _ [OH] _ [OK] ■ [PA]
_ [RI] _ [SC] _ [SD] ■ [TN] _ [TX] _ [UT] _ [VT] ■ [VA] _ [WA] _ [WV] _ [WI] ■ [WY] _ [PR]  Full Name (Last name first, if individual)
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code) 7730 E. Belleview Avenue, Greenwood Village, CO 80111
Name of Associated Broker or Dealer United Securities Alliance, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID]
(IL)(IN)(IA)(KS)(KY)(LA)(ME)(MD)(MA)(MI)(MN)(MS)(MO)(MT](NE)(NV)(NH)(NJ)(NM](NY)(NC)(ND)(OH)(OK)(OR)(PA)

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Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code) 8620 W. 110 St., Overland Park, KS 66210
Name of Associated Broker or Dealer VSR Financial Services, Inc.
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_ [MT]
Full Name (Last name first, if individual) Field, Robert
Business or Residence Address (Number and Street, City, State, Zip Code)  Long Grove Trading Co., 8125 RFD Danneil Circle, Long Grove, IL 60047
Name of Associated Broker or Dealer Waterstone Financial Group
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID]  [IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO]
_ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]
Full Name (Last name first, if individual) Melanson, Ronald
Business or Residence Address (Number and Street, City, State, Zip Code) Waveland Securities LLC, 1237 Rainbow Drive, Bigfork, MT 59911
Name of Associated Broker or Dealer Waveland Capital Partners LLC
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_(AL) _ (AK)
■ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] _ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] ■ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]
Full name (Last name first, if individual) Bernier, Michael J.
Business or Residence Address (Number and Street, City, State, Zip Code) 675 North 1st Street, Suite 150, San Jose, CA 95112
Name of Associated Broker or Dealer Western International Securities
States in which Person Listed Has Solicited or Intends to Solicit Purchasers
(Check "All States" or check individual States)
_[AL] _[AK] _[AZ] _[AR] • [CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] • [IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO]
_ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] _ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] ■ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] ■ [WY] _ [PR]

Full Name (Last name first, if individual) Runk, Alan Business or Residence Address (Number and Street, City, State, Zip Code) 117 Greenspring Road, Greenville, DE 19807 Name of Associated Broker or Dealer **Wharton Equity Corporation** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)..... \_ [AK] \_ [AR] \_ [CA] \_ [CO] \_ [FL] \_ [GA] \_ [HI] \_ [ID] \_ [AL] \_ [AZ] \_ [CT] ■ [DE] \_ [DC] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [MD] \_ [MA] \_ [MI] \_ [MŃ] \_ [MS] \_ [MO] \_ [IL] \_ [ME] .. [MT] \_ [NV] \_ [NH] \_ [NJ] \_ [NY] \_ [NC] \_ [OH] \_ [OK] \_ [OR] \_ [PA] \_ [NE] \_ [NM] \_ [ND] \_ [SC] \_ [SD] \_ [TN] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WY] \_ [PR] \_ [RI] \_ [WI] \_ [TX] Full name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 12221 Merit Dr., Dallas, TX 71251 Name of Associated Broker or Dealer Williams Financial Group States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)... \_ [ID] \_ [MO] \_[AL] \_ [CA] \_ [FL] \_ [AK] \_ [AZ] \_ [CO] \_ [DE] \_ [DC] \_ [GA] \_ [HI] \_ [AR] \_ [CT] \_ [KY] \_ [IN] \_ [MN] \_ [MS] \_ [IL] \_ [IA] \_ [KS] \_[ME] \_ [MA] \_ [MI] \_ [LA] \_ [MD]

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### C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

. .

1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box $\square$ and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	Aggregate Offering Price	Amount Already Sold
	Type of Security		
	Debt	\$	\$
	Equity	\$	\$
	□ Common □ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$	\$
	Other (Specify): limited liability company membership interests	\$ <u>150,000,000</u> *	\$0
	Total	\$ <u>150,000,000*</u>	\$0
	Answer also in Appendix, Column 3, if filing under ULOE.		
* n	nay be increased to \$200,250,000		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number of Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	0	\$ <u>0</u>
	Non-accredited Investors		\$
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE		Ψ
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.	Type of Security	Dollar Amount Sold
	Type of offering		\$
	Rule 505		¥
	Regulation A		\$
	Rule 504		\$
	Total		\$
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees	٥	\$
	Printing and Engraving Costs	•	\$10,000
	Legal Fees	=	\$20,000_
	Accounting Fees	•	\$ <u>4,000</u>
	Engineering Fees	•	\$20,000
	Sales Commissions (specify finders' fees separately)	•	\$13,500,000
	Other Expenses (identify) filing fees, miscellaneous operating and marketing costs	•	\$5,250,000
	Total	•	\$ <u>18,804,000</u>

	C. OFFERIN	G PRICE, NUMBER OF INVESTORS, EX	PENSES AN	D USE OF PROCEEDS		
	I and total expenses furnished in response t	ate offering price given in response to Part C – o Part C – Question 4.a. This difference is the			\$	3 131,196,000
5.	for each of the purposes shown. If the amo and check the box to the left of the estimate	gross proceeds to the issuer used or proposed to unt for any purpose is not known, furnish an es . The total of the payments listed must equal to the in response to Part C – Question 4.b above.	stimate			
				Payments to Officers, Directors, & Affiliates		Payments To Others
	Salaries and fees		•	\$ <u>6,750,000</u>		\$
	Purchase of real estate		0	\$		\$
	Purchase, rental or leasing and installation	of machinery and equipment	_	\$		\$
	Construction or leasing of plant buildings a	nd facilities		\$		\$
		value of securities involved in this offering				
	that may be used in exchange for the assets merger)	<u>.</u>		\$		\$
	Repayment of indebtedness			\$		•
	Working capital		_	\$ \$		φ
				Ф		Ф <u></u>
	Other (specify): to acquire interests in oil development costs			Φ		\$ <u>120.696.00</u> 6
	reserve for administrative overhead			\$ <u>3,750,000</u>	0	\$
	Column Totals			\$ <u>10,500,000</u>		\$ <u>120,696,00</u>
	Total Payments Listed (column totals added)			<b>= \$ 1</b>	31,196,000	
		D. FEDERAL SIGNATU	J <b>RE</b>			
n u	issuer has duly caused this notice to be signe ndertaking by the issuer to furnish to the U.S accredited investor pursuant to paragraph (b	d by the undersigned duly authorized person. Securities and Exchange Commission, upon (2) of Rule 502.	If this notice written reque	is filed under Rule 505, the st of its staff, the information	following s n furnished	signature constitute by the issuer to an
su	er (Print or Type)	Signature		Date		
tid	gewood Energy R Fund, LLC	Muma Valdes	,	December 19, 2005		
an	ne of Signer (Print or Type)	Title of Signer (Print or Type)			, <u></u>	
	na Valdes	Vice President of the Manager				

#### ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)