



5. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

<u>Last</u>	<u>First</u>	<u>Middle</u>	<u>Title</u>
Aubrey	Richard	W.	Treasurer
Moffat	Christopher	A.	FX Manager, Treasury Operations
Crow	Gary	L.	Investment Officer
Mallonee	Donal	C.	Treasury Officer
Fowler	Douglas	E.	Investment Officer
Oh	Noeline	T.	Treasury Operations Supervisor
Nicolau	Miguel	A.	Treasury Operations Manager
Guastamachio	Peter	A.	Assistant Portfolio Manager
Resch	Martin	H.	SVP & Director of Capital Markets
Hattabough	David	J.	Assistant Funding Officer
John	Craig	B.	VP Assistant Treasurer

Note: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in response to this Item 5.

6. Has any "associated person" (see definition in paragraph A.7 of the Instructions) responded "yes" to any question in Item 17 of Form G-FIN-4, or "yes" to one or more questions in Items 23 through 26 of Form MSD-4 or Item 22 on Form U-4?

A.  Yes


B.  No

(If yes, attach a copy of Form G-FIN-4, Form MSD-4, or Form U-4 for all such persons with this Notice.)

Note: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 15 C.F.R. 400.4(c)). Similar requirements are applicable to Form MSD-4 and Form U-4.

7. The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current and complete.

Please print name and title of person executing this notice:

<u>Richard</u>	<u>W.</u>	<u>Aubrey</u>	<u>Treasurer</u>
First	Middle	Last	Title
			October 07, 2004
Manual Signature			Date