**SEC 1972** (6-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### **ATTENTION**

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

OIVID AFF	TOVAL
OMB Number:	3235-0076
Expires: May	31, 2005
Estimated avera	ge burden
hours per respor	nse 1.00

SEC USE ONLY						
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Name of Offering ( check if this is an amendment and name has changed, and indicate change.) Conv Preferred Stock issuable upon conversion of such Notes and underlying Common Stock issuable upon corpurchase Preferred Stock, the underlying Preferred Stock issuable upon exercise of such Warrants a conversion of such Preferred Stock.	version of such Preferred Stock; Warrants to
File Under (Check box(es) that apply): ☐ Rule 504 ☐ Rule 505 ☒ Rule 506 ☐ Section 4(6)	ULOE
Type of Filing: 🗵 New Filing 🔲 Amendment	
A. BASIC IDENTIFICATION DATA	<b>188</b>
Enter the information requested about the issuer	
Name of Issuer ( check if this is an amendment and name has changed, and indicate change.)	1881
Kintana, Inc.	03059560
Address of Executive Offices (Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
1314 Chesapeake Terrace, Sunnyvale, CA 94089	(408) 543-4400
Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices) same as above	Telephone Number (Including Area Code) same as above
Brief Description of Business	- FOREN
Software Development and Software Product Licenses	PROCESSED
Type of Business Organization	her (please specify): AUG 1 5 2003
Actual or Estimated Date of Incorporation or Organization:  Month Year  0 8 9 5	☐ Estimated THOWSON FINANCIAL
Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State:	
CN for Canada; FN for other foreign jurisdiction)	E

## GENERAL INSTRUCTIONS

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have

		A. BASIC IDENTI	FICATION DATA		
<ul><li>Each beneficial ov securities of the iss</li><li>Each executive off</li></ul>	he issuer, if the issuer, where having the posuer; icer and director of		in the past five years; direct the vote or dispos		
Check Box(es) that Apply:	Promoter	⊠Beneficial Owner	☑ Executive Officer	⊠ Director	General and/or Managing Partner
Full Name (Last name first, i Jain, Raj	f individual)				
Business or Residence Addre	ess (Number and St	reet, City, State, Zip Code)			
1314 Chesapeake	Terrace, Sunnyva	le, CA 94089			
Check Box(es) that Apply:	Promoter	☑ Beneficial Owner	☑ Executive Officer	☑ Director	General and/or Managing Partner
Full Name (Last name first, i Duraiswamy, Ran	•				
Business or Residence Addres 1314 Chesapeake	•				
Check Box(es) that Apply:	Promoter	☑ Beneficial Owner	☑ Executive Officer	⊠Director	General and/or Managing Partner
Full Name (Last name first, i	f individual)				
Fergis, Nicholas V	V				
Business or Residence Address 1314 Chesapeake	•				
Check Box(es) that Apply:	Promoter	Beneficial Owner	☑ Executive Officer	⊠ Director	General and/or Managing Partner
Full Name (Last name first, i Plug, Bryan E.	f individual)				
Business or Residence Address 1314 Chesapeake	•				
Check Box(es) that Apply:	Promoter	Beneficial Owner	ĭ Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i McFeeters, Paul	f individual)				
Business or Residence Addres 1314 Chesapeake	•				
Check Box(es) that Apply:	Promoter	Beneficial Owner	⊠ Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i Ryan, Tom	f individual)				
Business or Residence Addre	ess (Number and St	reet, City, State, Zip Code)			
1314 Chesapeake	Terrace, Sunnyva	le, CA 94089			
Check Box(es) that Apply:	Promoter	Beneficial Owner	☐ Executive Officer	⊠Director	☐ General and/or Managing Partner
Full Name (Last name first, i Goldman, Kennet	,				
Business or Residence Addre 1314 Chesapeake					
Check Box(es) that Apply:	Promoter	Beneficial Owner	☐ Executive Officer	⊠Director	General and/or Managing Partner
Full Name (Last name first, i	f individual)			·	
Jaggers, Kurt R.	•				

Business or Residence Address (Number and Street City State Zin Code)

		A. BASIC IDENTI	FICATION DATA		
2. Enter the information re	•	_			
·	•	er has been organized with			
securities of the iss	uer;	wer to vote or dispose, or	•		
		corporate issuers and of co partnership issuers.	rporate general and manag	ing partners of pa	rtnership issuers; and
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	□ Director	General and/or Managing Partner
Full Name (Last name first, i Stern, Raymond C	•				
Business or Residence Addre 1314 Chesapeake				19-11 - 14-14	
Check Box(es) that Apply:	Promoter	☑ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i	·	Pacific III, TA Executives	s Fund and TA Investors	LLC	
Business or Residence Addre					
70 Willow Road, S	•				
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner	☑ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, i Carlson, Keith	f individual)				
Business or Residence Addre	ess (Number and St	reet, City, State, Zip Code)			
1314 Chesapeake	Terrace, Sunnyval	le, CA 94089			
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner	☑ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, i Eve, Robert J.	f individual)				
Business or Residence Addre	ess (Number and St	reet City State Zin Code)			
1314 Chesapeake	*				
Check Box(es) that Apply:	Promoter	⊠ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i Granite Global Ve	,				
Business or Residence Addre 2494 Sand Hill Ro	•	reet, City, State, Zip Code) nlo Park, CA 94025			del na como
Check Box(es) that Apply:	Promoter	☑ Beneficial Owner	☐ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, i Camelot Ventures	'				
Business or Residence Addre	ess (Number and St	reet, City, State, Zip Code)			
		9, Southfield, MI 48034			
Check Box(es) that Apply:	Promoter	☑ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, i Seligman New Tec		, Inc. and Seligman Techn	nologies Venture Fund Ll	LC .	
Business or Residence Addres	ess (Number and St 8th Floor, New Yo	• • • • •			

#### A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. ☐ Executive Officer ⊠Director Check Box(es) that Apply: Promoter ☐ Beneficial Owner General and/or Managing Partner Full Name (Last name first, if individual) Zingale, Anthony Business or Residence Address (Number and Street, City, State, Zip Code) 1314 Chesapeake Terrace, Sunnyvale, CA 94089 Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Check Box(es) that Apply: Promoter ☐ Beneficial Owner ☐ Executive Officer ☐ Director General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Check Box(es) that Apply: Promoter ☐ Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer ☐ Director General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Check Box(es) that Apply: Beneficial Owner Executive Officer Director General and/or Promoter Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code)

						B. INFOR	RMATION	ABOUT O	FFERING					
1.	Has the	e issuer s	sold, or do	es the issu					s in this offe ling under U	•			Yes	No ⊠
2.	What i	is the mir	nimum inv	estment th	nat will be	accepted fi	rom any ind	lividual?		****************		\$	n/a	
2	Door ti	he offeri	aa narmit i	ioint own	orchin of a	cinale unit	.9						Yes ⊠	No
					<del>-</del>	_					or indirect			Ш
	commi a perso states,	ission or on to be list the r	similar rer listed is ar name of th	nuneration associate e broker	n for solic ed person or dealer.	itation of p or agent of If more tl	ourchasers i a broker o han five (5)	n connectio r dealer reg	n with sales istered with be listed a	of securitie the SEC an	s in the offer id/or with a s d persons of	ing. If state or		
Full	Name (	(Last nan n/a	ne first, if i	individual	)							·		
Busin	ness or		ce Addres:	s (Number	r and Stree	t, City, Sta	ite, Zip Cod	e)				-		
Name	e of As	ssociated	Broker or	Dealer										
State	s in Wi	hich Pers	on Listed	Has Solic	ited or Int	ends to Sol	icit Purchas	sers						
(C	heck "/	All States	s" or check	c individu	al States).	••••••							☐ Al	1 States
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Busir	ness or	Residen	ce Address	s (Number	r and Stree	t, City, Sta	te, Zip Cod	e)					***	
Name	e of As	ssociated	Broker or	Dealer										
							icit Purchas						☐ Al	l States
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[M′		[NE]	[NV]	[NH]	[N]]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[ P A	
[ R		[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[ P R	.]. ——
Full	Name (	(Last nan n/a	ne first, if i	individual	)									
Busir	ness or		ce Address	s (Number	r and Stree	t, City, Sta	te, Zip Cod	e)					<del></del>	
							, <b>,</b>	,						
Name	e of As	ssociated	Broker or	Dealer										
							icit Purchas						☐ AI	l States
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[]]		[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[M1]	[MN]	[MS]	[MC	
[M		[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[ P A	
[R	i]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[ W I ]	[WY]	[PR	]

A. T.

	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF	PRC	CEEDS			
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box $\square$ and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.					
	Type of Security		regate ering Pric	:e	An	nount Already Sold
	Debt	\$	-0-		\$	-0-
	Equity	<u> </u>	-0-		<u> </u>	-0-
	☐ Common ☐ Preferred					
	Convertible Securities (including warrants) Convertible Promissory Notes and the underlying Preferred Stock issuable upon conversion of such Notes and underlying Common Stock issuable upon conversion of such Preferred Stock; Warrants to purchase Preferred Stock, the underlying Preferred Stock issuable upon exercise of such Warrants and underlying Common Stock issuable upon conversion of such Preferred Stock	\$ <u>2,0</u>	000,200.0	10	\$ <u>2,</u> (	000,200.00
	Partnership Interests	\$	-0-		\$	-0-
	Other (Specify)	s	-0-		\$	-0-
	Total	\$2.6	00,200.0	00	\$2.0	000,200.00
	Answer also in Appendix, Column 3, if filing under ULOE.	<u> </u>	0,2001		<u> </u>	
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."					
			umber vestors		Doll	ggregate lar Amount Purchases
	Accredited Investors		5	_	\$2,00	00,200.00
	Non-accredited Investors		n/a		\$	-0-
	Total (for filings under Rule 504 only)		n/a	_	\$	-0-
	Answer also in Appendix, Column 4, if filing under ULOE.			-		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.					
	Type of offering		pe of		Doll	ar Amount
		Se	curity			Sold
	Rule 505		n/a	_	\$	-0-
	Regulation A		n/a		.\$	-0-
	Rule 504		n/a		\$	-0-
	Total		n/a	_	\$	-0-
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.					
	Transfer Agent's Fees				\$	-0-
	Printing and Engraving Costs				\$	-0-
	Legal Fees				\$	-0-
	Accounting Fees				\$	-0-
	Engineering Fees				\$	-0-
	Sales Commissions (specify finder's fees separately)				s	-0-
	Other Expenses (identify)				\$	-0-

	C. OFFERING PRICE, N	NUMBER OF INVESTORS, EXPENSES A	ND USE	OF PROCEED	S
	<ul> <li>Enter the difference between the aggregation 1 and total expenses furnished in remarkable gross proceeds to the issuer."</li> </ul>		nce is the		\$ 2,000,200.00
	Indicate below the amount of the adjusted used for each of the purposes shown. If the estimate and check the box to the left of the the adjusted gross proceeds to the issuer set f	e amount for any purpose is not known, fu estimate. The total of the payments listed mu	rnish an st equal		
	·			Payments to Officers, Directors, & Affiliates	Payments To Others
	Salaries and fees		□ \$_	-0-	<b> \$0</b> -
	Purchase of real estate			-0-	
	Purchase, rental or leasing and installation	on of machinery and equipment	□ \$	-0-	<b>-0-</b>
	Construction or leasing of plant building	gs and facilities	□ \$_	-0-	S -0-
	Acquisition of other business (including offering that may be used in exchange for issuer pursuant to a merger)	the value of securities involved in this or the assets or securities of another	□ \$	-0-	□ \$ <b>-0</b>
	Repayment of indebtedness		□ s	-0-	□ \$ <b>-0-</b>
	Working capital		□ s	-0-	<b>⋈</b> \$2,000,200.00
	Other (specify):				
			□ s_	-0-	S -0-
			□ \$	-0-	⊠ \$2,000,200.00
	Total Payments Listed (column totals ad	ded)			<b>⋈</b> \$2,000,200.00
					_,
		D. FEDERAL SIGNATURE			
ollo	issuer has duly caused this notice to be sig wing signature constitutes an undertaking by aff, the information furnished by the issuer to	the issuer to furnish to the U.S. Securities an	d Exchang	e Commission,	d under Rule 505, the upon written request of
ssue	r (Print or Type)	Signature	Da	ate	
Kint	ana, Inc.	fill be	A	ugust 🛂 , 20	03
lam	e or Signer (Print or Type)	Title of Signer (Print or Type)		1.77 , 1 <del>-115</del>	
'aul	J. McFeeters	Chief Financial Officer			