

. Name of Offering



03058933

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

### Washington, D.C. 20549 FORM D

# NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

( check if this is an amendment and name has changed, and indicate change.)

OMB API						
OMB Number:	3235-0076					
Expires:	May 31, 2002					
Estimated average burden						
hours per respo						

SEC USE ONLY					
Prefix	Serial				
DATE RE	CEIVED				
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The Astrup Compan	y — Employee Stock Pu	ırchase Plan		
Filing Under (Check box(es) t			6 □ Section 4(6) □	ULOE
Type of Filing: A New Fili	ng Amendment			WED TO
	A. BASIC II	DENTIFICATION DATA	A	2000 2000
1. Enter the information requ	ested about the issuer			LAY ED ZOUS /
	this is an amendment and nam	ne has changed, and indi	cate change.)	
The Astrup Compan  Address of Executive Offices	<del></del>	et, City, State, Zip Code	Tolenhone Number (I	nduding Aire Code
	et, Cleveland, OH 441		(216) 696-282	The Mark States
	Operations (Number and Stree			<del></del>
	her outdoor, recreati The Hardware Manufact			
Type of Business Organization Corporation Dusiness trust	limited partnership, al	•	other (please specify	»: PROCESSE!
	Incorporation or Organization: or Organization: (Enter two-let CN for Canad	Month Year  1 1 0 9  ter U.S. Postal Service a da; FN for other foreign		MAY 21 2003 THOMSON
GENERAL INSTRUCTIONS				
Federal: Who Must File: All issuers ma et seq. or 15 U.S.C. 77d(6).	king an offering of securities in r	eliance on an exemption (	under Regulation D or Sec	ction 4(6), 17 CFR 230.501
the U.S. Securities and Excha	be filed no later than 15 days a inge Commission (SEC) on the the date on which it is due, on the	earlier of the date it is re	eccived by the SEC at th	e address given below or,
Where to File: U.S. Securities	s and Exchange Commission, 45	50 Fifth Street, N.W., W	ashington, D.C. 20549.	
	es of this notice must be filed wi If the manually signed copy or l			. Any copies not manually
Information Required: A new	filing must contain all information	on requested. Amendmen	ts need only report the na	me of the issuer and offer-

State:

A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ing, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

#### A. BASIC IDENTIFICATION DATA

- 2. Enter the information requested for the following:
  - Each promoter of the issuer, if the issuer has been organized within the past five years;
  - Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
  - Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
  - Each general and managing partner of partnership issuers.

		7.2	
Check Box(es) that Apply: Depremental Owner	☑ Executive Officer	☑ Director	General and/or Managing Partner
Full Name (Last name first, if individual)			- The second of
Kirk, John H.			
Business or Residence Address (Number and Street, City, State, Z			Control of the second s
2937 W. 25th Street, Cleveland, OH 44113		,	
Check Box(es) that Apply: D Promote	☑ Executive Öfficer	☑ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)	<del></del>		<del></del>
Kirk, Jeffrey W.			
Business or Residence Address (Number and Street, City, State, 2	ip Code)		
2937 W. 25th Street, Cleveland, OH 44113			
Check Box(es) that Apply:  Promoter  Beneficial Owner	☐ Executive Officer	□ Director     □	☐ General and/or Managing Partner
Full Name (Last name first, if individual)			
Kirk, James R.			
Business or Residence Address (Number and Street, City, State, 2	Cip Code)		
2937 W. 25th Street, Cleveland, OH 44113		* * *	• -•
Check Box(es) that Apply: Promoter : Deneficial Owner	M Executive Ottom	Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)		<del></del>	
Szabo, John S.			
Business or Residence Address (Number and Street, City, State, 2937 W. 25th Street, Cleveland, OH 44113	ip Codé)		
Check Box(es) that Apply:    Promoter    Beneficial Owner	L'Executive Officer	Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)			
Faubel, Gene A.			
Business or Residence Address (Number and Street, City, State, 2	Lip Code)		
2937 W. 25th Street, Cleveland, OH 44113			
Check Box(es) that Apply:   Promoter   Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if individual)		n. pater minin Men many Merica	
Faubel, Jean A.			
Business or Residence Address Mumber and Sucet, City, State, 2	Lip Code)		
2937 W. 25th Street, Cleveland, OH 44113			
Check Box(es) that Apply:	☑ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if individual)			
Butler, Harry L.			
Business or Residence Address (Number and Street, City, State, 2	Zip Code)	<del> </del>	
422 Thornton Road, Westford Business Park 1	81da T_106 Ideb	io Carino	- CA

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A. BASIC IDENTIFICATION DA	ATA
· 2. Enter the information requested for the following:	
• Each promoter of the issuer, if the issuer has been organized within the pas	st five years;
<ul> <li>Each beneficial owner having the power to vote or dispose, or direct the vot securities of the issuer;</li> </ul>	e or disposition of, 10% or more of a class of equity
Each executive officer and director of corporate issuers and of corporate general corporate gener	eral and managing partners of partnership issuers; and
• Each general and managing partner of partnership issuers	Figs myser as to take \$100 miles
	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)	janda Pali i antant sa akuji meneri
Tupa, Richard C.	·
Business of Residence Address (Number and Street, City, State, Zip Code)	es of Le. Cerry andress dilunity and Street. C
2937 W. 25th Street, Cleveland, OH 44113	
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner . ☐ Executive	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code)	
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)  Business or Residence Address (Number and Street, City, State, Zip Code)	
Check Box(es) that Apply:	Officer   Director   General and/or  Managing Partner
Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code)	
Check Box(es) that Apply:	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)	J. J. Jan. S. V.
Business or Residence Address (Number and Street, City, State, Zip Code)	· · · · ·
Check Box(es) that Apply: Promoter Beneficial Owner Executive	e Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)	(laubhed
Business or Residence Address - (Number and Street, City, State, Zip Code)	(Number and Skert, 2
Check Box(es) that Apply: Promoter Beneficial Owner Executive	e Officer Director General and/or Managing Partner

Full Name (Last name first, if individual)

Business or Residence Address (Number and Street, City, State, Zip Code)

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1. Has	the issuer s	sold, or do			•				•	? <b></b> .	• • • • • • • •	. 10	
						ix, Colum		- '				. 1	554.
2. What is the minimum investment that will be accepted from any individual?													
3. Does	the offeri	ng permit	joint own	ership of a	single un	it?				<i></i>		Yα . 🏻	No KD
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Full Name	(Last nan	ne first, if	individual	)			· · · · · · · · · · · · · · · · · · ·						
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	r Residenc						ode)	<del> </del>	<del></del>			:	
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Name of A	Associated	Broker or	Dealer						<del> </del>				<del></del>
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Business o	r Residenc	e Address	(Number	and Street	, City, Sta	ate, Zip Co	ode)		<del> </del>		•		
Name of	Associated	Broker or	Dealer										
States in V	Which Pers	on Listed	Has Solic	ited or Int	ends to So	licit Purch	nasers						
(Check	"Ali State	s" or chec	k individu	al States)		• • • • • • • •	• • • • • • • • •	• • • • • • • •					States
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run Name	e (Last nan	ne Hrst, H	individua	1)									
Business o	r Residenc	e Address	(Number	and Street	t, City, Sta	ate, Zip Co	ode)						
Name of	Associated	Broker or	Dealer										
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#### 1. Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box [] and indicate in the columns below the amounts of the occurities offered for exchange and already exchanged. Amount Already Aggregate Type of Security Offering Price Sold Debt ..... Equity ..... **\$**178,819.25 **\$**52,868230 Common D Preferred Convertible Securities (including warrants) Total \$178,819.25 \$52,868.30 Answer also in Appendix, Column 3, if filing under ULOE. 2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero." Aggregate Dollar Amount Number of Purchases Investors Accredited Investors ..... Non-accredited Investors 19 Total (for filings under Rule 504 only) ..... Answer also in Appendix, Column 4, if filing under ULOE. 3. If this filling is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1. Dollar Amount Type of Type of offering Security Sold s -0-None <del>-</del>0− Regulation A..... None -0-Common Stock : Rule 504 None Total ..... 4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely w organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate. Transfer Agent's Fees Printing and Engraving Costs ..... \$\_\_\_ \$ 2,500.00 Legal Fees Accounting Fees ..... Engineering Fees Sales Commissions (specify finders' fees separately)..... Other Expenses (identify)

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

**\$2,500.00** 

Total

C. OFFERIC	TO THE STATE OF TH	EN OF HAAFSTO	NO, EXPENSES A	NU USE UR FR	OCEEDS	
b. Enter the difference between 1 and total expenses fun "adjusted gross proceeds to the contract of the contr	een the aggregate of nished in response t the issuer."	fering price given o Part C - Questio	in response to Part on 4.a. This differen	C - Ques- ice is the	1. (1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	<u>176,319.2</u> 5
i. Indicate below the amount of used for each of the purpose estimate and check the box to the adjusted gross proceeds t	f the adjusted gross s shown. If the amo the left of the estim	proceeds to the is ount for any purpo ate. The total of the	suer used or propo se is not known, for the payments listed m	sed to be irnish an ust equal	ter in a c	a w'
	•			Paymo Offi	ceis'	lub l
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Salaries and fees						
Purchase of real estate		• • • • • • • • • • • • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •		<u> 21201 🗷 - \$7</u>	il monsis
Purchase, rental or leasing	g and installation o	f machinery and e	quipment	D.\$	C S	N radif()
Construction or leasing o	f plant buildings an	d facilities		2. 🗆		•
Acquisition of other busing offering that may be used	i in exchange for th	e assets or securiti	es of another			
issuer pursuant to a merg			· ·			. ***
Repayment of indebtedne Working capital	SS	چه سرخت د د د د د د د د د د د د د د د د د د د	••••••••••••••••••••••••••••••••••••••	U S		76 010 05
Other (specify):	······································	**************************************		🗆 \$		
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Column Totals						76,319.25
Total Payments Listed (o						
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The issuer has duly caused this no ollowing signature constitutes an uest of its staff, the information	undertaking by the	issuer to furnish t issuer to any non-	o the U.S. Securities	s and Exchange	Commission, up- graph (b)(2) of I	on written re-
ssuer (Print or Type)		Signature			Date	
The Astrup Company-	• ••	· Sohn	Szalo		May	14,2003
lame of Signer (Print or Type)		Fille of Signer	(Print or Type)		$\bigcup \cdot$	/
John S. Szabo	`,	Executive	Vice Preside	ent and Trea	asurer	
			នារួម <b>នៅ</b> ។ ព្រះ នៅការមួយ នេះ។ នាមនិង ដាក់ទៅទាំ	. alt or tasjeter	3 (26)	
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Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

		E STATE SIGNATU	RE: 151	
	ed in 17 CFR 230.262 prese		e disqualification provi	sions Yes No
	See App	pendix, Column 5, for st	ate response.	
	er hereby undertakes to fur 9.500) at such times as requ		irator of any state in wh	ich this notice is filed, a notice on
3. The undersigned issues to offerees.		nish to the state administ	rators, upon written requ	uest, information furnished by the
limited Offering Exe		in which this notice is fil	ed and understands that	fied to be entitled to the Uniform the issuer claiming the availability
a practical design of the second of the seco	Oct on east of the control of the co	73 Kuic 5:86 7		e to be signed on its behalf by the
property of the second	e de la companya de l	The second se		
Issuer (Print or Type)		Signature		Date
Name (Print or Type)		Title (Print or Type)		
	the state of the s	the contract of		

#### Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Notes Total