FORM D



1229568

OMB APPROVAL

	UNITED STATES	OMB NUMBER: 3235-0076
	SECURITIES AND EXCHANGE COMMISSION	Expires: November 30, 2001
A GEORGE CHARLES CHILD COME ESCENTIAL COLOR	Washington, D.C. 20549	Estimated average burden
	, , admington, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001, 2001,	hours per response 16.00
	FORM D	
03057427	OTICE OF SALE OF SECURITIES PURSUANT TO	SEC USE ONLY
	REGULATION D,	Prefix Serial
	SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION	
	UNIFORM DIMITED OFFERING EAEMFILON	DATE RECEIVED
		`
Name of Offering (□ check	if this is an amendment and name has changed, and indicate change.)	
Membership Interests		
Filing Under (Check box(es	) that apply): □ Rule 504 □ Rule 505 ■ Rule 506 □ Se	ction 4(6) □ ULOE
Type of Filing: ■ New Filin		200 3003
	A. BASIC IDENTIFICATION I	DATA
1. Enter the information req	quested about the issuer	
Name of Issuer (□ check if	this is an amendment and name has changed, and indicate change.)	OC 100/34
Ridgewood Renewable Po		
Address of Executive Office	es (Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
947 Linwood Avenue, Rid	gewood, NJ 07450	201-447-9000
Address of Principal Busine different from Executive Of		Telephone Number (Including Area Code)
Brief Description of Busines	ss: Finance, develop, lease & provide management services to a serie	es of landfill gas-fired electric generation facilities
Type of Business Organizat	ion	
□ corporation	☐ limited partnership, already formed	<ul> <li>other (please specify): limited liability company</li> </ul>
□ business trust	□ limited partnership, to be formed	BOOCESSED
	Month Year	mated State:
	Incorporation or Organization 04 03 🖪 Actual 🗆 Estim	mated 1 2003
Jurisdiction of Incorporation	n or Organization: (Enter two-letter U.S. Postal Service abbreviation for S	State: MAI 0 = 200
	CN for Canada; FN for other foreign jurisdic	
GENERAL INSTRUCTION	NS	FINANCIAL
Federal:		

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 USC 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

When to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State: This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires a payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

## ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

		A. BASIC IDENT	IFICATION DATA				
<ul> <li>Enter the information requested for the following:</li> <li>Each promoter of the issuer, if the issuer has been organized within the past five years;</li> <li>Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;</li> <li>Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and</li> <li>Each general and managing partner of partnership issuers.</li> </ul>							
Check Box(es) that Apply:	■ Promoter	■ Beneficial Owner	☐ Executive Officer	☐ Director	■ Managing Member		
Full Name (Last name first, if individual)							
Ridgewood Renewable Power LLC							
Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)				
	( , units of units c	one only, same, Esp co	<i>ac,</i>				
947 Linwood Avenue, Ridgewood, NJ 07	7450						
Check Box(es) that Apply:	■ Promoter	☐ Beneficial Owner	■ Executive Officer	■ Director	☐ General and/or Managing Partner		
Full Name (Last name first, if individual)							
Swanson, Robert E.							
Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)				
		•					
947 Linwood Avenue, Ridgewood, NJ 0					<del></del>		
Check Box(es) that Apply:	□ Promoter	■ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner		
Full Name (Last name first, if individual)							
Gold, Robert L.							
Business or Residence Address	(Number and S	treet, City, State, Zip Coo	le)				
047 Linguage Aganga Didgagged NLO	7450						
947 Linwood Avenue, Ridgewood, NJ 07 Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner		
Full Name (Last name first, if individual)	□ Fiomotei	□ Belleficial Owlfer	Executive Officer	_ Dilector	D General and of Wallaging Lattice		
Olin, Mary Louise							
Business or Residence Address	(Number and S	treet, City, State, Zip Coo	le)				
947 Linwood Avenue, Ridgewood, NJ 07	7450						
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner		
Full Name (Last name first, if individual)							
O town March							
Quinn, Martin Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)				
Business of Residence Address	(1 tulliber and c	succi, City, State, 22p Co	uc)				
947 Linwood Avenue, Ridgewood, NJ 07	7450						
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	Executive Officer	☐ Director	☐ General and/or Managing Partner		
Full Name (Last name first, if individual)							
Gulino, Daniel V.							
Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)				
04877							
947 Linwood Avenue, Ridgewood, NJ 0 Check Box(es) that Apply:			- F: OCC	- D:			
Full Name (Last name first, if individual)	□ Promoter	☐ Beneficial Owner	■ Executive Officer	☐ Director	☐ General and/or Managing Partner		
run Name (Last hame first, ii morviduai)							
Naunton, Christopher							
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)				
947 Linwood Avenue, Ridgewood, NJ 0	7450						
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	☐ Executive Officer	□ Director	☐ General and/or Managing Partner		
Full Name (Last name first, if individual)	_ 1 10HOU	_ Delicited Owner	S BACCULTO OTHER		_ constant und of retaining in a training		
(							
	01 1						
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)		1		

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

	B. INFORMATION ABOUT OFFERING		
		Yes	No
1.	Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?		
	Answer also in Appendix, Column 2, if filing under ULOE.		
2.	What is the minimum investment that will be accepted from any individual?	\$	50,000
		Yes	No
3.	Does the offering permit joint ownership of a single unit?		
4.	Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.		
	Name (Last name first, if individual) Attached		
Busi	ness or Residence Address (Number and Street, City, State, Zip Code)		
	ne of Associated Broker or Dealer		
State	es in which Person Listed Has Solicited or Intends to Solicit Purchasers		
	(Check "All States" or check individual States)	All States	
_ [/ _ [] _ [] _ []	IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] MT] _[NE] _[NV] _[NH] _[NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]

## **B. INFORMATION ABOUT OFFERING**

Full Name (Last name first, if individual)  Cochran, Robert								
Business or Residence Address (Number and Street, City, State, Zip Code) 4063 Birch Street, Newport Beach, CA 92660								
Name of Associated Broker or Dealer Alliance Affiliated Equities Corp.								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers  (Check "All States" or check individual States)								
_ [AL] _ [AK] _ [AZ] _ [AR]								
Full Name (Last name first, if individual)  Melanson, Ronald								
Business or Residence Address (Number and Street, City, State, Zip Code) 1722 Victoria Way, San Marcos, CA 92069								
Name of Associated Broker or Dealer Alliance Affiliated Equities Corporation								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)								
_[AL] _[AK] _[AZ] _[AR] _[CA]								
Full Name (Last name first, if individual) Seeherman, Marc								
Business or Residence Address (Number and Street, City, State, Zip Code) 32 West 40 <sup>th</sup> Street, New York, NY 10018								
Name of Associated Broker or Dealer Alliance Affiliated Equities Corp.								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers								
(Check "All States" or check individual States)								
_ [AL] _ [AK] _ [AZ] _ [AR] _ [CA] _ [CO] _ [CT]								
Full Name (Last name first, if individual) Yellin, Saul								
Business or Residence Address (Number and Street, City, State, Zip Code) 18 West Mall Drive, Huntington, NY 11743								
Name of Associated Broker or Dealer Ameriprop. Inc.								
States in which Person Listed Has Solicited or Intends to Solicit Purchasers								
(Check "All States" or check individual States)								
_[AL] _ [AK] _ [AZ] _ [AR] _ [CA] _ [CO] _ [CT] _ [DE] _ [DC]								

Full Name (Last name first, if individual) Wedvick, Allen T. Business or Residence Address (Number and Street, City, State, Zip Code) 1050 Northgate Drive Suite 130, San Rafael, CA 94303 Name of Associated Broker or Dealer Archer Alexander Securities States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) All States \_ [AK] \_ [AZ] \_ [AR] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_ [HI] \_ [ID] \_[AL] ■ [CA] \_ [MO] ■ [IL] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [ME] \_ [MD] \_ [MI] \_ [MS] \_ [LA] \_ [MA] \_ [MN] \_ [NE] \_ [NV] \_ [NH] \_ [NJ] \_ [NC] \_ [ND] \_ [OH] \_ [OK] \_ [OR] \_ [PA] \_ [NY] \_ [MT] **□** [NM] \_ [TX] \_ [VT] \_ [WA] \_ [WI] \_ [WY] \_ [PR] \_ [RI] \_ [TN] \_ [UT] \_ [VA] \_ [WV] \_ [SC] \_ [SD] Full Name (Last name first, if individual) Rachlin, Jeff Business or Residence Address (Number and Street, City, State, Zip Code) 777 Old Saw Mill River Road, Tarrytown, NY 10591 Name of Associated Broker or Dealer Asset Allocation Securities Corporation States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) All States \_[AL] \_ [AK] \_ [CO] \_ [DE] \_ [ID] \_ [AR] ■ [CA] \_ [DC] \_ [FL] \_ [GA] \_ [HI] \_ [AZ] ■ [CT] \_ [KS] \_ [MS] \_ [MO] \_ [IA] \_ [KY] \_ [LA] \_ [MD] \_ [MI] \_ [MN] \_ [IL] \_ [IN] \_ [ME] □ [MA] \_ [MT] \_ [NV] \_ [NH] \_ [NM] \_ [OR] \_ [PA] \_ [NE] ■ [NJ] **■** [NY] \_ [NC] \_ [ND] \_ [OH] \_ [OK] \_ [VA] \_ [WV] \_ [WY] \_ [PR] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [WA] ■ [WI] Full name (Last name first, if individual) Rachlin, Robert Business or Residence Address (Number and Street, City, State, Zip Code) 777 Old Saw Mill River Road, Tarrytown, NY 10591 Name of Associated Broker or Dealer **Asset Allocation Securities Corporation** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) All States \_ [ID] \_ [GA] \_ [HI] \_[AL] \_ [AZ] \_ [AR] \_ [CO] ■ [CT] \_ [DE] \_ [FL] **■** [CA] \_ [AK] \_ [DC] \_ [KS] \_ [MI] \_ [IN] \_ [IA] \_ [LA] \_ [MD] \_ [MN] \_ [MS] \_ [MO] \_ [IL] \_ [KY] \_ [ ME] ■ [MA] \_ [MT] \_ [NÉ] \_ [NV] \_ [NH] \_ [NM] \_ [NC] \_ [ND] \_ [OH] \_ [OK] \_ [OR] \_ [PA] **■** [NJ] ■ [NY] \_ [SC] \_ [WY] \_ [PR] \_ [SD] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] ■ [WI] \_ [RI] \_ [TN] \_ [TX] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 701 Tama Street, Marion, IA 52302 Name of Associated Broker or Dealer Berthel Fisher States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) □ All States ■ [CA] \_ [DE] \_ [DC] \_ [HI] \_ [ID] \_ [CO] \_ [CT] **■** [FL] \_ [GA] \_[AL] [AK] \_ [AZ] \_ [AR] \_ [KY] \_ [ME] \_ [MD] \_ [MA] \_ [MŊ \_ [MN] \_ [MS] \_ [LA] **■** [IL] ■ [IN] ■ [IA] ■ [KS] ■ [MO] \_ [NM] [OR] \_ [PA] \_ [NH] \_ [NJ] \_ [ND] \_ [OH] \_ [OK] \_ [MT] ■ [NE] \_ [NV] \_ [NY] \_ [NC] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] ■ [WI] \_ [WY] \_ [PR]

Full Name (Last name first, if individual) Blitz, Ed Business or Residence Address (Number and Street, City, State, Zip Code) 3330 Old Glenview Road, Wilmette, IL 60091 Name of Associated Broker or Dealer Blitz (Edwin C.) Investments States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States \_ [ID] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [AZ] \_ [GA] \_ [HI] \_[AL] \_ [AK] ■ [FL] \_ [KS] \_ [KY] \_ [MO] ■ [IN] \_ [ME] \_ [MA] \_ [MĪ] \_ [MN] \_ [MS] ■ [IL] \_ [IA] \_ [LA] \_ [MD] \_ [NÉ] \_ [NV] \_ [NH] \_ [NC] \_ [OH] \_ [OK] \_ [OR] \_ [NJ] \_ [NM] \_ [NY] \_ [ND] \_ [PA] \_ [MT] \_ [SC] \_ [SD] \_ [TN] \_[TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ (WI) \_ [WY] \_ [PR] \_ [RI] Full Name (Last name first, if individual) Donaldson, Bill Business or Residence Address (Number and Street, City, State, Zip Code) 1370 North Brea Blvd. Fullerton, CA 92635 Name of Associated Broker or Dealer **Brookstreet Securities Corporation** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... All States \_ [HI] \_ [ID] \_ [AR] \_ [CT] \_ [DE] \_ [DC] \_ [GA] \_[AL] \_ [AK] ■ [A2] ■ [CA] \_ [CO] \_ [FL] \_ [IN] \_ [KS] \_ [LA] \_ [MD] \_ [MA] \_ [MI] \_ [MN] \_ [MO] \_ [IA] \_ [KY] \_ [ME] \_ [MS] \_ [IL] \_ [NE] \_ [NV] \_ [NH] \_ [OH] \_ [OK] \_ [OR] \_ [PA] \_ [NJ] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [MT] \_ [RI] \_ [SC] \_ [SDi \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ (WY) \_ [PR] Full Name (Last name first, if individual) Polakoff, Vic Business or Residence Address (Number and Street, City, State, Zip Code) 766 Shrewsbury Avenue, Tinton Falls, NJ 07724 Name of Associated Broker or Dealer Cantone Research, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States \_ [HI] \_ [AK] \_ [AR] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_ [ID] \_[AL] \_ [AZ] \_ [CA] \_ [KS] \_ [MI] \_ [MN] \_ [MS] \_ [IA] \_ [LA] \_ [IN] \_ [ME] \_ [MD] \_ [MA] \_ [IL] \_ [KY] \_ [MO] \_ [OH] \_ [OR] \_ [NÉ] \_ [NÝ] \_ [NH] \_ [NM] \_ [NC] \_ [ND] \_ [OK] \_ [MT] \_ [NY] ■ [NJ] ■ [PA] \_ [WI] \_ [WY] \_ [PR] \_ [SC] \_ [SD] \_ [WA] \_ [RI] \_ [TN] \_ [TX] \_ [VT] \_ [VA] \_ [WV] \_ [UT] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 14497 N. Dale Mabry Hwy, Suite 215, Tampa, FL 33618 Name of Associated Broker or Dealer Calton & Associates, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... All States \_ [ID] \_ [HI] \_ [DE] \_ [DC] **■** [FL] ■ [AL] \_ [AK] ■ [AZ] ■ [AR] \_ [CA] \_ [CO] ■ [CT] [GA] \_ [IA] \_ [KS] \_ [KY] \_ [ME] \_ [MD] \_ [MA] \_ [MI] \_ [MS] \_ [IL] ■ [IN] ■ [LA] ■ [MN] \_ [MO] \_ [NY] \_ [NC] \_ [ND] \_ [MT] \_ [NE] \_ [NV] \_ [NH] \_ [OR] ■ [NJ] ■ [OH] • [OK] ■ [PA] ■ [NM] \_ (wvj \_ (WY) \_ [VT] \_ [WA] \_ [WI] \_ [PR] \_ [RI] ■ [SC] \_ [SD] ■ [TN]  $\blacksquare$  [TX] \_ [UT] \_ [VA]

Full Name (Last n Kaplow, Bart	ame first, if individ	dual)									
	ence Address (Nun eet, Philadelphia,		t, City, State	, Zip Code)							
Name of Associate Capital Strategie	ed Broker or Deale s, Ltd.	भ									
	erson Listed Has So k "All States" or ch									All States	
_[AL] _ [A _ [IL]	N] _ [IA] [E] _ [NV]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] • [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] ■ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] ■ [PA] _ [PR]
Full Name (Last n Finn, George	ame first, if individ	dual)									
Business or Resid	ence Address (Nu	umber and Stre	eet, City, Star	te, Zip Code	;)						
172 Derby Street	, Hingham, MA(	02043								_	_
Coastal Equities,		=									
	erson Listed Has So	•									
	k "All States" or ch										
_ [AL] _ [A _ [IL] _ [IN _ [MT] _ [N _ [RI] _ [So	N] _ [IA] [E] _ [NV]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name (Last r	ame first, if individ	dual)									
Business or Reside	ence Address (Num									<del></del>	
Name of Associate		er	aitiiaii,	. 02137							
	erson Listed Has So k "All States" or ch									All States	
_[AL] _[A _[IL] _[IN _[MT] _[N _[RI] _[So	K] _ [AZ] N] _ [IA] E] _ [NV]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full name (Last na Terrill, Thomas	me first, if individ	lual)									
Business or Reside 1200 17 <sup>th</sup> Street, S			æt, City, Stat	e, Zip Code	)						
Name of Associate Everen Securities		er									,
States in which Pe	rson Listed Has Sc	olicited or Inter	nds to Solicit	Purchasers							
(Chec!	x "All States" or ch	neck individual	l States)						0	All States	
_[AL] _ [A	N] _ [IA]	_ [AR] _ [KS] _ [NH] _ [TN]	■ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] <b>=</b> [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]

Full Name Davis, Da	(Last name f	irst, if individ	lual)									
	_	Address (Nun	nber and Stree	t, City, State	, Zip Code)							
			Islandia, NY	11749		<del></del>						··
	Associated Brotauk Securit		r									
States in w			licited or Inte eck individua								All States	
_[AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] ■ [NJ] ■ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] • [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ (OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
	(Last name f rick, Mark	irst, if individ	lual)			<del> </del>						
			mber and Str Niguel, CA S		te, Zip Code	)						
	Associated Bro Securities, 1		r		·				··			
States in w	hich Person	Listed Has So	licited or Inte	nds to Solici	t Purchasers		· ·					
	(Check "All	States" or ch	eck individua	l States)			••••••			🗆	All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	■ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full name Scribner,	(Last name fi	rst, if individ	ual)									
	or Residence A 1059, Charle		imber and Str 13	et, City, Sta	te, Zip Code	)						
	Associated Bro Securities I		г									
States in w	hich Person I	Listed Has So	licited or Inte	nds to Solici	t Purchasers							
		States" or ch	eck individua	•								
_[AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	■ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	■ [CT] _ [ ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full name Dow, Davi	(Last name fi	rst, if individ	ual)									
			mber and Street, CA 92260		te, Zip Code	)						
	ssociated Broivate Ledger							,		<u> </u>	· · · · · · · · · · · · · · · · · · ·	
States in w	hich Person I	Listed Has So	licited or Inte	nds to Solici	Purchasers		<del></del>					
	(Check "All	States" or ch	eck individua	States)							All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	■ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]

• .. • .•

Full name (Last name first, if individual) Romero, Karl	
Business or Residence Address (Number and Street, City, State, Zip Code) 1585 E. 17 <sup>th</sup> Street, Santa Ana, CA 92705	
Name of Associated Broker or Dealer Linsco Private Ledger Corp.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR]	
_ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]	
Full name (Last name first, if individual) Field, Robert	
Business or Residence Address (Number and Street, City, State, Zip Code) 800 E. NW Highway, Palentine, IL 60067	
Name of Associated Broker or Dealer  Long Grove Trading Co.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] <b>[</b> IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MI] _[MN] _[MS] _[MO]  _[MT] _[NE] _[NV] _[NH] _[NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA]  _[RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VA] _[WA] _[WV] _[WV] _[WV] _[PR]	
Full name (Last name first, if individual)  Jorgenson, Alf L.	
Business or Residence Address (Number and Street, City, State, Zip Code) 2523 NE 41 <sup>st</sup> Avenue, Portland, OR 97212-2941	
Name of Associated Broker or Dealer Moloney Securities Co. Inc.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _ [AK] _ [AZ] _ [AR] _ [CA] _ [CO] _ [CT] _ [DE] _ [DC] _ [FL] _ [GA] _ [HI] _ [ID] _ [IL] _ [IN] _ [IN] _ [IN] _ [KS] _ [KY] _ [LA] _ [ME] _ [MD] _ [MA] _ [MI] _ [MI] _ [MN] _ [MS] _ [MO] _ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] ■ [OR] _ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]	
Full Name (Last name first, if individual)  D'Agosta, Robert	
Business or Residence Address (Number and Street, City, State, Zip Code) 3773 Corporate Parkway, Center Valley, PA 18034	
Name of Associated Broker or Dealer Mony Securities Corporation	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] ■ [CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] ■ [IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO] [MT] _[NE] _[NV] _[NH] ■ [NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] ■ [PA] [RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VA] _[WA] _[WV] _[WV] _[WY] _[PR]	

Full Name (Last name first, if individual)  Lauro, Phil	
Business or Residence Address (Number and Street, City, State, Zip Code) 6529 Riverside Avenue, Riverside, CA	
Name of Associated Broker or Dealer  Mutual Services Corp.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR]	
Full Name (Last name first, if individual) Ahanchian, Amir	
Business or Residence Address (Number and Street, City, State, Zip Code) 1177 West Loop South, Suite 1475, Houston, TX 77027	
Name of Associated Broker or Dealer Oxford Financial Group	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	_
(Check "All States" or check individual States)	
_ [AL] _ [AK] _ [AZ] _ [AR]	
Full name (Last name first, if individual) Upton, Mike	
Business or Residence Address (Number and Street, City, State, Zip Code) 1551 N. Tustin Avenue, Santa Ana, CA 92705	
Name of Associated Broker or Dealer NNN Capital Corp.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] ■ [CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _[IL] _[IL] _[IN] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NV] _[NV] _[NV] _[NV] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VA] _[WA] _[WA] _[WV] _[WI] _[WY] _[PR]	
Full name (Last name first, if individual)  Horning, Robert	
Business or Residence Address (Number and Street, City, State, Zip Code) 7141 Glasgow Avenue, Los Angeles, CA 90045	
Name of Associated Broker or Dealer NNN Capital Corp.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] ■[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _[IL] _[IL] _[IN] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NH] _[NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[RI] _[SC] _[SD] _[TN] _[TX] _[UT] _[VT] _[VT] _[VA] _[WA] _[WV] _[WI] _[WY] _[PR]	

• ...

Full Name Sherrill, Se	(Last name fi	rst, if individ	lual)									
	r Residence A Avenue S., S		nber and Stree	t, City, State	, Zip Code)							
	ssociated Bro		r									
States in wl			licited or Inte									
	(Check "All	States" or ch	eck individua	l States)	•••••			•••••••••	•••••	🗆	All States	
_ [AL] _ [IL]	_ [AK] _ [IN]	_ [AZ] _ [IA]	_ [AR] _ [KS]	_ [CA] _ [KY]	_ [CO] _ [LA]	_ [CT] _ [ME]	_ [DE] _ [MD]	_ [DC] _ [MA]	_ [FL] _ [MI]	_ [GA] _ [MN]	_ [HI] _ [MS]	_ [ID] _ [MO]
_ [MT]	_ [NE]	_ [NV]	_ [NH]	_ [NJ]	_ [NM]	_ [NY]	_ [NC]	_ [ND]	_ [OH]	_ [OK]	_ [OR]	_ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	_ [TX]	_ [UT]	_ [VT]	_ [VA]	■ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
Kittlaus, L	(Last name fi Karl	rsi, ii inaivia	iuai)									
			ber and Stree rings, FL 341		, Zip Code)							
	ssociated Bro		r						<del></del>			
			licited or Inte									
	(Check "All	States" or ch	eck individua	l States)							All States	
_[AL] ■ [IL]	_ [AK] _ [IN]	_ [AZ] _ [IA]	_ [AR] _ [KS]	_ [CA] _ [KY]	_ [CO] _ [LA]	_ [CT] _ [ME]	_ [DE] _ [MD]	_ [DC] _ [MA]	_ [FL] _ [MI]	_ [GA] _ [MN]	_ [HI] _ [MS]	_ [ID] _ [MO]
_ [MT]	_ [NE]	_ [NV]	_ [NH]	_ [NJ]	_ [NM]	_ [NY]	_ [NC]	_ [ND]	_ [OH]	_ [OK]	_ [OR]	_ [PA]
_ [RI]	_ [SC]	_ [SD]	_ [TN]	_ [TX]	_ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	_ [WI]	_ [WY]	_ [PR]
Full Name ( Borowski,	(Last name fi Gregg	rst, if individ	lual)									
	Residence A Street, Park		ber and Stree 068	t, City, State	, Zip Code)							
	ssociated Bro estments Inc.		7									
			licited or Inter									
		States" or ch	eck individual	l States)	• • • • • • • • • • • • • • • • • • • •			••••••			All States	
[AL] ■ [IL]	_ [AK] _ [IN]	_ [AZ] _ [IA]	_ [AR] _ [KS]	_ [CA] _ [KY]	_ [CO] _ [LA]	_ [CT] _ [ME]	_ [DE] _ [MD]	_ [DC] _ [MA]	_ [FL] _ [MI]	_ [GA] _ [MN]	_ [HI] _ [MS]	_ [ID] _ [MO]
_ [MT]	_ [NE]	_ [NV]	_ [NH]	_ [NJ]	_ [NM]	_ [NY]	_ [NC]	_ [ND]	_ [OH]	_ [OK]	_ [OR]	_ [PA]
	_ [SC] Last name fi	[SD]	_ [TN] ual)	_ [TX]	_ [UT]	_ [VT]	_ [VA]	_ [WA]	_ [WV]	■ [WI]	_ [WY]	_ [PR]
Robbins												
	r Residence A ver Trail, Ca		mber and Stre 91302	eet, City, Sta	te, Zip Code	·)						
	ssociated Bro vestors Equi		Ī		,							
States in wh	hich Person L	isted Has So	licited or Inter	nds to Solicit	Purchasers	<u> </u>						
	(Check "All	States" or ch	eck individual	l States)							All States	
_[AL]	_ [AK]	_ [AZ]	_ [AR]	■ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	_ [FL]	_ [GA]	_ [HI]	_ [ID]
_ [IL] _ [MT]	_ [IN] _ [NE]	_ [IA] _ [NV]	_ [KS] _ [NH]	_ [KY] _[NJ]	_ [LA] _ [NM]	_ [ ME] _[NY]	_ [MD] _[NC]	_ [MA] _ [ND]	_ [MI] _ [OH]	_ [MN] _ [OK]	_ [MS] _ [OR]	_ [MO] _ [PA]
[RII	[SC]	[SD]	[TN]	[TX]	_ [UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]

• . .

, .

Full Name Swanson,		irst, if individ	ual)									
		Address (Nu Ridgewood, l		eet, City, Sta	te, Zip Code	2)						
	Associated Brod Securities	oker or Deale: Corp.	-									
States in w	which Person	Listed Has So	licited or Inte	nds to Solici	t Purchasers							<u></u>
	(Check "All	States" or ch	eck individua	l States)	•••••				•••••		All States	
■ [AL] ■ [IL] ■ [MT] ■ [RI]	_ [AK]	■ [AZ] ■ [IA] ■ [NV] ■ [SD]	■ [AR] ■ [KS] ■ [NH] ■ [TN]	■ [CA] ■ [KY] ■ [NJ] ■ [TX]	<ul><li>[CO]</li><li>[LA]</li><li>[NM]</li><li>[UT]</li></ul>	■ [CT] ■ [ME] ■ [NY] ■ [VT]	■ [DE] ■ [MD] ■ [NC] ■ [VA]	<ul><li>[DC]</li><li>[MA]</li><li>[ND]</li><li>[WA]</li></ul>	■ [FL] ■ [MI] ■ [OH] _ [WV]	■ [GA] ■ [MN] ■ [OK] ■ [WI]	_ [HI]  • [MS]  • [OR]  _ [WY]	■ [ID] _ [MO] ■ [PA] _ [PR]
Full Name Bjork, Da		irst, if individ	ual)	, . <del></del>	· · · · · · · · · · · · · · · · · · ·				· · · · · ·			
		Address (Nu Bismarck, N		eet, City, Sta	te, Zip Code	)			· <del>-</del>		<u> </u>	
	Associated Broncial Service	oker or Dealers					1 I T					
States in w	vhich Person	Listed Has So	licited or Inte	nds to Solici	t Purchasers							
	(Check "All	States" or che	eck individua	l States)							All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] • [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name	(Last name f	īrst, if individ	ual)									
		Address (Nu San Diego, C		eet, City, Sta	te, Zip Code	)						
Name of A		oker or Dealer										-
States in w	hich Person I	Listed Has So	licited or Inte	nds to Solici	t Purchasers				<del></del>			
	(Check "All	States" or che	eck individua	l States)		•••••					All States	
_[AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name Włosek, K	•	irst, if individ	ual)		-							
		Address (Nu Ramsey, NJ (		et, City, Sta	te, Zip Code	)						
		oker or Dealer										
	roves Richai											
States in w	hich Person I	isted Has So	licited or Inte	nds to Solici	t Purchasers				<u> </u>			<u></u>
	(Check "All	States" or che	eck individua	States)							All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] • [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]

Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code) 4261 Park Road, Ann Arbor, MI 48103	
Name of Associated Broker or Dealer Sigma Financial Corporation	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID] _[IL] _[IL] _[IN] _[IN] _[IN] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO] _[MT] _[NE] _[NV] _[NV] _[NH] _[NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA] _[RI] _[SC] _[SD] _[TN] _[TX] _[TX] _[TX] _[VT] _[VA] _[WA] _[WV] _[WV] _[WI] _[WY] _[PR]	
Full name (Last name first, if individual)  Shafe, Charles	
Business or Residence Address (Number and Street, City, State, Zip Code) 531 Versailles Drive, Maitland, FL 32751	
Name of Associated Broker or Dealer TransAm Securities, Inc.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	_
(Check "All States" or check individual States)	
_ [AL] _ [AK] _ [AZ] _ [AR] _ [CA] _ [CO] _ [CT] _ [DE] _ [DC]	
Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code) 3500 Parkway Lane, Norcross, GA 30092	
Name of Associated Broker or Dealer Triad Investors, Inc.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _ [AK]	
Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code)	
Name of Associated Broker or Dealer	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_ [AL] _ [AK] _ [AZ] _ [AR] _ [CA] _ [CO] _ [CT] _ [DE] _ [DC] _ [FL] _ [GA] _ [HI] _ [ID] _ [IL] _ [IL] _ [IN] _ [IN] _ [KS] _ [KY] _ [LA] _ [ME] _ [MD] _ [MA] _ [MI] _ [MI] _ [MN] _ [MS] _ [MO] _ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] _ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]	

•

Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 400 South 4th St., St. Louis, MO 63102 Name of Associated Broker or Dealer Walnut Street Securities States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... \_[AL] \_ [AZ] ■ [CO] \_ [AK] \_ [AR] ■ [CA] \_ [ID] \_ [IN] \_ (IL) \_ [JA] \_ [KY] \_ [LA] \_ [ME] \_ [MD] \_ [MA] \_ [MN] \_ [MS] ■ [MI] ■ [MO] ■ [KS] \_ [MT] \_ [NE] \_ [NV] \_ [NJ] \_ [NM] \_ [NC] \_ [OK] \_ [OR] \_ [NH] \_ [NY] \_ [ND] ■ [OH] ■ [PA] \_ [RI] \_ [SC] \_ [SD] \_ [TX] \_ [UT] \_ [VA] \_ [WA] \_ [WV] \_ [WY] \_ [PR] \_ [TN] \_ [VT] \_ [WI] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Name of Associated Broker or Dealer States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [ID] \_[AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CT] \_ [DC] \_ [FL] \_\_ [GA] \_ [HI] [CO] [DE] \_ [IL] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [MD] \_ [MA] \_ [MN] \_ [MS] \_ [MO] \_ [ME] \_ [MĪ] \_ [MT] \_ [NÝ] \_ [NJ] \_ [NM] \_ [NC] \_ [NE] \_ [NH] \_ [ND] \_ [OH] \_ [OK] \_ [OR] \_ [PA] \_ [NY] \_ [RI] \_ [SD] \_ [TX] \_ [UT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] \_ [SC] \_ [TN] \_ [VT] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Name of Associated Broker or Dealer States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... \_ [ID] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_[AL] \_ [AK]  $[H\Pi]$ \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MD] \_ [MN] \_ [MS] \_ [ MO] \_ [IL] \_ [MA] \_ [MI] \_ [PA] \_ [MT] \_ [NE] \_ [NV] \_ [NH] \_ [NM] \_ [OH] \_ [OK] \_ [OR] \_ [NJ] \_ [NY] \_ [NC] \_ [ND] \_ [WY] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [PR] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Name of Associated Broker or Dealer States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) \_ [ID] \_ [DC] \_ [HI] \_ [AK] \_ [AZ] \_ [AR] \_ [CO] \_ [CT] \_ [DE] \_ [GA] \_[AL] \_ [CA] \_ [KS] \_ [MN] \_ [MO] \_ [IL] \_ [IN] \_ [IA] \_ [KY] \_[LA] \_ [ME] \_ [MD] \_ [MI] \_ [MS] \_ [MA] \_ [NV] \_ [SD] \_ [NH] \_ [PA] [MT] \_ [NE] \_ [NJ] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [OK] \_ [OR] \_ [TN] \_ [RI] \_[SC] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR]

## C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	Aggregate Offering Price	Amount Already Sold
	Type of Security		
	Debt	\$	\$
	Equity	\$	\$
	□ Common □ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$	\$
	Other (Specify): limited liability company membership interests	\$ <u>10,000,000</u>	\$ <u> </u>
	Total	\$ <u>10,000,000</u>	\$ <u>0</u>
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number of Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	0	\$0
	Non-accredited Investors		\$
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE		<u> </u>
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.	Type of Security	Dollar Amount Sold
	Type of offering		\$
	Rule 505		¢
	Regulation A		Φ
	Rule 504	*****	\$
	Total		\$
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees	_	\$
	Printing and Engraving Costs	•	\$50,000
	Legal Fees	_	\$25,000_
	Accounting Fees.	-	\$ 5,000
	Engineering Fees	<b>■</b> _	\$ 0
		•	· <del></del>
	Sales Commissions (specify finders' fees separately)		\$ <u>900,000</u>
	Other Expenses (identify) filing fees, miscellaneous operating and marketing costs	•	\$ <u>520,000</u>
	Total		<b>\$</b> 1,500,000

	C. OFFERING F	PRICE, NUMBER OF INVESTORS, EX	PENSES AN	ND USE OF PROCEEDS		
	b. Enter the difference between the aggregate of and total expenses furnished in response to P "adjusted gross proceeds to the issuer."	art C - Question 4.a. This difference is the	-			\$ <u>8,500,000</u>
5.	Indicate below the amount of the adjusted gros for each of the purposes shown. If the amount and check the box to the left of the estimate. T adjusted gross proceeds to the issuer set forth is	for any purpose is not known, furnish an es he total of the payments listed must equal the	timate			
				Payments to Officers, Directors, & Affiliates		Payments T Others
	Salaries and fees		•	\$ <u>200,000</u>		\$
	Purchase of real estate			\$	Ď	\$
	Purchase, rental or leasing and installation of n	nachinery and equipment	ı.	\$	b	\$
	Construction or leasing of plant buildings and f	acilities		\$	_	\$
	Acquisition of other business (including the val		_		_	
	that may be used in exchange for the assets or s merger)	securities of another issuer pursuant to a		\$		\$
			u	<u> </u>	U	Φ
	Repayment of indebtedness			\$		\$
	Working capital			\$		\$
	Other (specify): projects, project developmes	nt and operations		\$		\$ <u>8,300,0</u>
			•	\$	۵	\$
	Column Totals			\$ <u>200,000</u>	•	\$ <u>8,300,0</u>
	otal Payments Listed (column totals added)		<b>\$</b> \$,500,000			
						•
		D. FEDERAL SIGNATU	RE			
Γhe	issuer has duly caused this notice to be signed by	the undersigned duly authorized person. I	f this notice	is filed under Rule 505, the	following s	signature constitu
ın t	ndertaking by the issuer to furnish to the U.S. Se accredited investor pursuant to paragraph (b)(2)	curities and Exchange Commission, upon v				
	accredited investor pursuant to paragraph (0)(2)	of Rule 502.				
	er (Print or Type)	Signature 0 A	" -	Date		
5511	\ VA A 1 PV /	Signature Ridgewood Renewable PowerBank II LLC  Signature  Many  Loui  C.		April 23, 2003		
	•••			,		
	•••	Mary som 1/2				
Rid	• • •	Title of Signer (Print or Type)				

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)