SEC 1972 Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. (6-02)

### **ATTENTION**

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption state exemption unless such exemption is predicated on the filing of a federal

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION DA SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTED

OMB Number: 3235-0076 Expires: May 31, 2005 Estimated average burden hours per response... 1

OMB APPROVAL

SEC USE ONLY				
Prefix		Serial		
DATE RECEIVED				

Name of Offering ([] check if this is an amendment and name has changed, and indicate change.) Fortune Financial Filing Under (Check box(es) that [] Rule 504 [] Rule 505 [] Section 4(6) [] ULOE apply): Type of Filing: X New Filing [ ] Amendment A. BASIC IDENTIFICATION DATA

03056597

 Enter the information requested about the issuer Name of Issuer ([ ] check if this is an amendment and name has changed, and indiciate change.)

Fortune Financial Address of Executive Offices

(Number and Street, City, State, Zip Code)

Telephone Number (Including Area

Code) 175 W. Camino Real Boca Raton, FL 33432
Address of Principal Business Operations (Number and Street, City, State, Zip Code) Telephone Number (Including Area

(if different from Executive Offices)

[ ] business trust	[ ] limited partnership, to be formed	
[ ] corporation	[X] limited partnership, already formed	[ ] other (please specify):
Type of Business Organization		0
Brief Description of Business	Investment Mar	ragers

Actual or Estimated Date of Incorporation or Organization: [0] 4 [0] 3 Actual [ ] Estimated Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State: CN for Canada; FN for other foreign jurisdiction)

#### **GENERAL INSTRUCTIONS**

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230,501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

#### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix in the notice constitutes a part of this notice and must be completed.

## A. BASIC IDENTIFICATION DATA

## Enter the information requested for the following:

- Each promoter of the issuer, if the issuer has been organized within the past five years;
- Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
- Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and

Check Box(es) that Apply:	[ ] Promoter [ ] Be Ov	neficial vner	[ ] Executive Officer	[ ] Director [X	General and/or Managing Partner	
Full Name (Last nam	e first, if individual)	anda	rer,	- Zam		
Business or Residenc		and Street, C	City, State, Zip Co	de)	Paton FL	33432
Check Box(es) that Apply:	[] Promoter [] Be			[ ] Director 🔀		
Full Name (Last name		Grabe	er, L.	Newm	an	•
Business or Residence 5 3 40			21 - 01 - 1 - 7:- 0 -	1-1		FL 4

Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[ ] Executive Officer		General and/or Managing Partner	
Full Name (Last name	e first, if individual)				
Business or Residence	e Address (Number and Street	, City, State, Zip Cod	le)		
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[ ] Executive Officer		General and/or Managing Partner	
Full Name (Last name	first, if individual)				
Business or Residence	e Address (Number and Street	, City, State, Zip Cod	le)		
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[ ] Executive Officer		General and/or Managing Partner	
Full Name (Last name	first, if individual)				
Business or Residence	e Address (Number and Street	, City, State, Zip Cod	le)		
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[ ] Executive Officer		Seneral and/or lanaging lartner	
Full Name (Last name	first, if individual)				
Business or Residence	e Address (Number and Street	, City, State, Zip Cod	le)		
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[ ] Executive Officer		General and/or Managing Partner	
Full Name (Last name	first, if individual)				
Business or Residence	e Address (Number and Street	, City, State, Zip Cod	le)		
(U	se blank sheet, or copy and	use additional copi	ies of this sheet, a	s necessary.)	
	B. INFOF	RMATION ABOUT O	FFERING		
1. Has the issuer sold offering?	, or does the issuer intend to s	ell, to non-accredited	I investors in this	Yes No	
2. What is the minimu	Answer also in Appendix, C im investment that will be acce	•		. \$ <u>25,00</u> 0	) )
	ermit joint ownership of a sing		•	Yes No	

directi conne persor the na	y or indi ction with or age me of th	irectly, a th sales nt of a b ne broke	ny com of secu roker oi r or dea	mission rities in t dealer ller. If m	or similathe offer registere ore than	ar remuning. If a seed with the five (5)	eration to person to see SEC a persons	een or will for solicit o be liste and/or wi to be list mation fo	ation of p d is an a th a state led are a	ourchase ssociated or state ssociated	rs in d es, list d		
Full Na	me (La	st name	first, if	individu	al)	11/	ne						
Busine	ss or Re	esidence	Addres	s (Num	ber and	<del></del>		e, Zip Co	ode)				· · · · · · · · · · · · · · · · · · ·
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[MT]	[NE]	[NV]	[NH]	[NJ]	[MM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[MO] [PA]	
[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	
Full Na	me (La	st name	first, if	individu	al)								
Busine	ss or Re	esidence	Addres	s (Num	ber and	Street, C	City, Stat	e, Zip Co	ode)				
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Name	of Asso	ciated B	roker or	Dealer									
States	in Which	h Parso	n Listed	Has So	licited o	r Intends	to Solic	it Purcha	eare				
						States			13613	[	] All S	tates	
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[IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]	
[MT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]	
[RI]	[SC]	[SD]	[TN]	[XT]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	
Full Na	me (La	st name	first, if	individu	al)								
Busine	ss or Re	esidence	Addres	ss (Num	ber and	Street, C	City, Stat	e, Zip Co	ode)				
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[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	
-		(U	se blan	k sheet	or cop	y and us	se addit	ional co	pies of t	his shee	t, as ne	cessary.)	
								• • •					
		C. OF	FERIN	G PRIC	E, NUMI	BER OF	INVEST	ORS, EX	(PENSE	S AND L	ISE OF	PROCEEDS	

1. Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box " and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
	Aggregate	Amount Already
Type of Security	Offering Price	Sold
Deht	\$	\$
DebtEquity	<u>*</u>	ф
Equity	<b>a</b>	<b>a</b>
[ ] Common [ ] Preferred		•
Convertible Securities (including warrants)	\$	\$
Partnership Interests	\$ 2,000,000	\$ 0
·	\$ 2,000,000	<u>~</u>
Other (Specify)	<b>3</b>	\$
Total	\$ 2,000,000	\$ <u> </u>
Answer also in Appendix, Column 3, if filing under ULOE.	, ,	
2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Aggregate
	Number Investors	Dollar Amount of Purchases
Accredited Investors		\$
Non-accredited Investors		\$
Total (for filings under Rule 504 only)		\$ ·
Answer also in Appendix, Column 4, if filing under ULOE.		
3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C-Question 1.		
	Towns of Consumity	Dollar Amount
Type of offering	Type of Security	Sold
Rule 505		\$
Regulation A		.\$
Rule 504		\$
Total		\$
4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.	. ·	

Transfer Agent's Fees	
Printing and Engraving Costs	
Legal Fees	
Accounting Fees	
Engineering Fees	
Sales Commissions (specify finders' fees separately)	
Other Expenses (identify)	[ ]\$
Total	[]\$ <u>-7,000</u>
b. Enter the difference between the aggregate offering price given in resport - Question 1 and total expenses furnished in response to Part C - Question difference is the "adjusted gross proceeds to the issuer."	
5. Indicate below the amount of the adjusted gross proceeds to the issuer uproposed to be used for each of the purposes shown. If the amount for any purpose is not known, furnish an estimate and check the box to the left of the estimate. The total of the payments listed must equal the adjusted gross proceeds to the issuer set forth in response to Part C - Question 4.b above.	
	Payments to
	Officers, Payments
	Directors, & To
	Affiliates Others
Salaries and fees	[] \$ <i>40,606</i>
Purchase of real estate	\$ \$700,000
Purchase, rental or leasing and installation of machinery and equipment	[] [] \$200,000 co
Construction or leasing of plant buildings and facilities	[] \$ \$
Acquisition of other businesses (including the value of	· · · · · · · · · · · · · · · · · · ·
securities involved in this offering that may be used in	[] []
exchange for the assets or securities of another issuer	\$ \$ 200,000
pursuant to a merger)	
Repayment of indebtedness	
10 1 7	\$\$ []
Working capital / Cash Reserves	[] \$ <u>400,000</u> \$
Other (specify): Purchase of Securities	
Other (specify). 1012 raye of 022011 (16.3	\$\$ 753,000
	\$\$
Column Totals	\$ 440,000 \$ 1,553,000 00
Total Payments Listed (column totals added)	[]\$ <u>1,993,0</u> 00 00
	• •
D. FEDERAL SIGNATUR	E

The issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnished by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502.

•			<del>-</del> -
Issuer (Print or Type)	Signature	Date /	
Fortune Financial Strategies, L	10	14/22/03	3
Name of Signer (Print or Type)	Title of Signer (Print or Type)	,	
L. Newman Grober	Managing	Partner	}
	ATTENTION		
Intentional misstatements or omissi		riminal violations. (S	See 18
E. :	STATE SIGNATURE		
1. Is any party described in 17 CFR 230.262 present provisions of such rule?	tly subject to any of the disqualific	eation Yes N	
See Append	ix, Column 5, for state response	e.	
<ol> <li>The undersigned issuer hereby undertakes to filled, a notice on Form D (17 CFR 239,500) at st</li> <li>The undersigned issuer hereby undertakes to fifurnished by the issuer to offerees.</li> </ol>	uch times as required by state la	aw.	
4. The undersigned issuer represents that the issu to the Uniform limited Offering Exemption (ULC issuer claiming the availability of this exemption I satisfied.	DE) of the state in which this no	tice is filed and und	erstands that the
The issuer has read this notification and knows the its behalf by the undersigned duly authorized personal transfer of the control of the con		luly caused this noti	ce to be signed o
Issuer (Print or Type)	Signature	Date	
Name of Signer (Print or Type)	Title (Print or Type)	<u> </u>	
Instruction:			<b></b>
Print the name and title of the signing representate of every notice on Form D must be manually sign manually signed copy or bear typed or printed significant.	ned. Any copies not manually si		

APPENDIX