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ANNUAL AUDITED REPORT **FORM X-17A-5** PART III

SEC FILE NUMBER **8**43029

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

| REPORT FOR THE PERIOD BEGINNING_ | 01/01/02 MM/DD/YY | AND ENDING1 | 2/31/02 MM/DD/YY | |
|--|---|---------------------|-------------------------------|--|
| A. REGISTRANT IDENTIFICATION | | | | |
| NAME OF BROKER-DEALER: | | | OFFICIAL USE ONLY | |
| Alex Moore & Co., Inc. ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.) | | | FIRM I.D. NO. | |
| 510 Broad Hollow Road | | | | |
|) | (No. and Street) | | LIAS W | |
| Melville | New York | | 11747 | |
| (City) | (State) | (Zi | p Code) | |
| NAME AND TELEPHONE NUMBER OF PE | rson to contact in | REGARD TO THIS REPO | ORT | |
| Wendi Adler | | | 694-3800 | |
| 2.4.55 | | | Area Code - Telephone Number) | |
| B, ACC | DUNTANT IDENTIF | ICATION | | |
| Goldstein & Ganz, P.C. | hose opinion is contained (Name - if individual, state last, | | *** | |
| 98 Cuttermill Road | Great Neck | New York 110 | 121 | |
| (Address) | (City) | (State) | (Zip Code) | |
| CHECK ONE: | | | | |
| ☐ Certified Public Accountant | | 386 | AECCEN | |
| ☐ Public Accountant | | , , |)CESSED | |
| ☐ Accountant not resident in Unite | ed States or any of its poss | essions. \ JUP | 02 2003 | |
| | for official use (| | HOMSON HNANCIAL | |
| | | | HAMORU | |

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the busis for the exemption. See Section 240.17a-5(e)(2)

> Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

OATH OR AFFIRMATION

| , wendi Adler | , swear (or affirm) that, to the best of |
|--|--|
| my knowledge and belief the accompanying t | financial statement and supporting schedules pertaining to the firm of |
| Alex Moore & Co., Inc. | . 89 |
| of December 31 | , 2002 are true and correct. I further swear (or affirm) that |
| neither the company nor only partner proprie | etor, principal officer or director has any proprietary interest in any account |
| , | |
| classified solely as that of a customer, except | . as tonows. |
| | |
| | |
| VII. | |
| | M/A M/A |
| | |
| | /// |
| | Signature |
| | |
| | Corporate Secretary |
| \sim \sim \sim | Title |
| 1/1/12 | |
| Notary Public | |
| Notary Funit | |
| This report ** contains (check all applicable | boxes): |
| (a) Facing Page. | |
| (b) Statement of Financial Condition. | |
| (c) Statement of Income (Loss). (d) Statement of Changes in Financial Company | |
| | ondition. 's' Equity or Partners' or Sole Proprietors' Capital. |
| (f) Statement of Changes in Liabilities S | |
| g) Computation of Net Capital. | |
| | eserve Requirements Pursuant to Rule 15c3-3. |
| (i) Information Relating to the Possessic | on or Control Requirements Under Rule 15c3-3. |
| | ate explanation of the Computation of Net Capital Under Rule 15c3-3 and the |
| | e Reserve Requirements Under Exhibit A of Rule 15c3-3. |
| • • | and unaudited Statements of Financial Condition with respect to methods of |
| consolidation. (I) An Oath or Affirmation. | |
| (i) An Oam of Affirmation.(m) A copy of the SIPC Supplemental Re | enori |
| | port. Equacies found to exist or found to have existed since the date of the previous audi |
| | The second secon |

^{**}For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

ALEX MOORE & CO., INC.

STATEMENT OF FINANCIAL CONDITION

DECEMBER 31, 2002

ASSETS

| Cash and cash equivalents Securities owned, not readily marketable, at estimated fair value Deposit with clearing broker Note receivable from affiliate Other assets | \$ 35,844 3,300 76,157 465,233 9,476 \$ 590,010 |
|--|--|
| LIABILITIES AND STOCKHOLDER'S EQUITY | |
| Liabilities: Income taxes payable Accrued expenses and other liabilities | \$ 36,000 <u>50,913</u> <u>86,913</u> |
| Commitments and contingencies | |
| Stockholder's equity: Common stock, \$1.00 par value; 100,000 shares authorized, 5,000 shares issued and outstanding Additional paid-in capital Retained earnings | 5,000 393,451 104,646 503,097 \$ 590,010 |