# FORM D



# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# FORM D

# NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D. **SECTION 4(6), AND/OR** UNIFORM LIMITED OFFERING EXEMPTION

	38472	
•	OMB APPROVAL	

OMB Number:

May 31, 2002

3235-0076

Expires:

Estimated average burden hours per form..... 16.00

SEC USE ONLY							
Prefix	Serial						
	1						
DATE RE	CEIVED						

lame of Offering ( check if this is an amendment and name has changed, and indicate change.)  nvestment Partnerships	
iling Under (Check box(es) that apply): □ Rule 504 □ Rule 505 Rule 506 7 □ Section 4(6) □ ULOE	
Type of Filing: TM New Filing Amendment	
A. BASIC IDENTIFICATION DATA	
. Enter the information requested about the issuer	
Tame of Issuer (  check if this is an amendment and name has changed, and indicate change.)  Artiman Ventures Side Fund, L.P.	
Address of Executive Offices (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code) (650) 845-2029	le)
ddress of Principal Business Operations (Number and Street, City, State, Zip Code)  Telephone Number (Including Area Cooffices)	le)
rief Description of Business	
enture capital fund	
ype of Business Organization  PROCESSED	
☐ corporation ☐ limited partnership, already formed ☐ the corporation ☐ limited partnership, to be formed ☐ limited partnership ☐ limited partnership ☐ limited partnership ☐	
Month Year	
Actual or Estimated Date of Incorporation or Organization:	
urisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State:  CN for Canada; FN for other foreign jurisdiction)	

#### GENERAL INSTRUCTIONS

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

## ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available estate exemption unless such exemption is predicated on the filing of a federal notice.

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#### A. BASIC IDENTIFICATION DATA 2. Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. TM Director General and/or Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer Managing Partner Full Name (Last name first, if individual) Shah, Amit Business or Residence Address (Number and Street, City, State, Zip Code) 2370 Watson Circle, Suite 220, Palo Alto, 94303 TM Director Check Box(es) that Apply: ☐ Promoter □ Beneficial Owner ☐ Executive Officer General and/or Managing Partner Full Name (Last name first, if individual) Billimoria, Farrokh Business or Residence Address (Number and Street, City, State, Zip Code) 2370 Watson Circle, Suite 220, Palo Alto, 94303 Check Box(es) that Apply: ☐ Promoter N Beneficial Owner ☐ Executive Officer ☐ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual) SFM Domestic Investments LLC Business or Residence Address (Number and Street, City, State, Zip Code) 2370 Watson Circle, Suite 220, Palo Alto, 94303 Beneficial Owner Check Box(es) that Apply: ☐ Promoter ☐ Executive Officer □ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual) Mirchandani, Sandeep Business or Residence Address (Number and Street, City, State, Zip Code) 2370 Watson Circle, Suite 220, Palo Alto, 94303 ☐ General and/or Check Box(es) that Apply: ☐ Promoter N Beneficial Owner ☐ Executive Officer ☐ Director Managing Partner Full Name (Last name first, if individual) Bal, Manprit Singh Business or Residence Address (Number and Street, City, State, Zip Code) 2370 Watson Circle, Suite 220, Palo Alto, 94303 Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer ☐ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) ☐ Executive Officer ☐ Director Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ General and/or Managing Partner Full Name (Last name first, if individual)

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

Business or Residence Address (Number and Street, City, State, Zip Code)

					B. INFO	ORMATI	ON ABO	UT OFF	ERING					
													Yes	No
1.	Has the i	ssuer sold,								fering?			_	<u>X</u>
				ilso in Ap	•		•		E.					
2.	What is t	he minimur	m investm	ent that w	ill be acce	pted from	any indiv	ridual?					\$ <u>N/A</u>	
2	D	cc :		1.	<b>C</b>	1 :.0							Yes	<u>No</u>
		offering pe	-	-	_			أمنوه مطال		م داده معالم	المحمدالة سادس		<u>X</u>	
	sion or s to be list name of	imilar remu ed is an asso	neration fociated per or dealer.	or solicita rson or ag If more th	tion of pu ent of a br an five (5)	rchasers i oker or do persons	n connect ealer regis	ion with s tered with	sales of se the SEC	curities in and/or wi	n the offer ith a state	ly, any commis- ing. If a persor or states, list the er or dealer, you	ı ;	
Full Na	me (Last r	ame first, if	individual	)										
Busines	s or Resid	ence Addres	ss (Number	and Stree	t, City, Sta	te, Zip Co	de)	·				<del></del>		
Name o	f Associat	ed Broker o	r Dealer										•	
States in	Which P	erson Listed	Has Solic	ited or Inte	nds to Sol	icit Purcha	sers							
(Che	ck "All St	ates" or chec	ck individu	al States)									□ All	States
[AL]			[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]		
[IL] [MT] [RI]	[IN]   [NE] [SC]		[KS] [NH] [TN]	[KY] [NJ] [TX]	[LA] [NM] [UT]	[ME] [NY] [VT]	[MD] [NC] [VA]	[MA] [ND] [WA]	[MI] [OH] [WV]	[MN] [OK] [WI]	[MS] [OR] [WY]	[MO] [PA] [PR]		
Full Na	me (Last r	ame first, if	individual	)	<u> </u>	<u> </u>			·			··- <u>-</u>		
Busines	s or Resid	ence Addres	s (Number	and Stree	t, City, Sta	te, Zip Co	de)							
Name o	f Associat	ed Broker o	r Dealer	1.11.5					······································					
States in	Which P	erson Listed	Has Solic	ited or Inte	nds to Sol	icit Purcha	sers							
(Che	ck "All St	ates" or chec	k individu	al States)							•••••	•••••	□ All	States
[AL] [IL] [MT] [RI]	[IN]	[IA]	[AR] [KS] [NH] [TN]	[CA] [KY] [NJ] [TX]	[CO] [LA] [NM] [UT]	[CT] [ME] [NY] [VT]	[DE] [MD] [NC] [VA]	[DC] [MA] [ND] [WA]	[FL] [MI] [OH] [WV]	[GA] [MN] [OK] [WI]	[HI] [MS] [OR] [WY]	[ID] [MO] [PA] [PR]		
Full Na	me (Last r	ame first, if	individual	)										
Busines	s or Resid	ence Addres	s (Number	and Street	t, City, Sta	te, Zip Co	de)				<del></del>			
Name o	f Associat	ed Broker or	Dealer								<u></u>			
											<del></del>			
		erson Listed											□ A11	States
(Cnec			K inaiviau [AR]	ai States) [CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]	⊔ All	States
[IL] [MT] [RI]	[IN]	[IA]	[KS] [NH] [TN]	[KY] [NJ] [TX]	[LA] [NM] [UT]	[ME] [NY] [VT]	[MD] [NC] [VA]	[MA] [ND] [WA]	[MI] [OH] [WV]	[MN] [OK] [WI]	[MS] [OR] [WY]	[MO] [PA] [PR]		

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.) 3 of 8

	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE O	F PROCEEDS	
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box 13 and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$	_ \$
	Equity	\$	_ \$
	☐ Common ☐ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$1,730,000	\$1,730.000
	Other (Specify))	\$	\$
	Total	\$1,730,000	\$1,730,000
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
	·	Number Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	8	\$ <u>1,730,000</u>
	Non-accredited Investors	0	_ \$
	Total (for filings under Rule 504 only)		_ \$
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.		
	Type of offering	Type of Security	Dollar Amount Sold
	Rule 505	N/A	_ \$
	Regulation A	N/A	<u> </u>
	Rule 504	N/A	\$
	Total		\$
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		\$
	Printing and Engraving Costs		\$
	Legal Fees	<b>&gt;</b>	\$25,000
	Accounting Fees		\$
	Engineering Fees		\$
	Sales Commissions (specify finders' fees separately)		\$
	Other Expenses (identify)		\$

\$25,000

Total .......

	b. Enter the difference between the aggregate offering price given in response to Part C	. – Qι	uestion 1	EEDS	
	and total expenses furnished in response to Part C - Question 4.a. This difference is the "proceeds to the issuer."				\$ <u>1,730,000</u>
5.	Indicate below the amount of the adjusted gross proceeds to the issuer used or proposed each of the purposes shown. If the amount for any purpose is not known, furnish an estim the box to the left of the estimate. The total of the payments listed must equal the a proceeds to the issuer set forth in response to Part C - Question 4.b above.				
			Payments to Officers, Directors & Affiliates		Payments to Others
	Salaries and fees		\$	_ □	\$
	Purchase of real estate		\$	_ □	\$
	Purchase, rental or leasing and installation of machinery and equipment		\$	_ □	\$
	Construction or leasing of plant buildings and facilities		\$	🗆	\$
	Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger)		\$	_ =	\$
	Repayment of indebtedness		\$	_ 🗆	\$
	Working capital <sup>2</sup>		\$	<b>— ₩</b>	\$ <u>1,705,000</u>
	Other (specify):		\$	_ 0	\$
			S	_ =	\$
	Column Totals		\$	_ <b> </b> x	\$1,705,000
	Total Payments Listed (column totals added)	Π	\$_1,705,000	•	
	D. FEDERAL SIGNATURE				
sig	e issuer has duly caused this notice to be signed by the undersigned duly authorized personature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchormation furnished by the issuer to any non-accredited investor pursuant to paragraph (b)(2)	ange	Commission, upon		
Iss	uer (Print or Type) Artiman Ventures Side Fund, L.P.  Signature Rullu	n	·	Date 7/10/0	)2
Na	me of Signer (Print or Type)  Title of Signer (Print or Type)  Manager of Artiman L				

# **ATTENTION**

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

<sup>&</sup>lt;sup>2</sup> Includes other operating expenses.

# E. STATE SIGNATURE 1. Is any party described in 17 CFR 230.252(c), (d), (e) or (f) presently subject to any of the disqualification Yes No provisions of such rule?

See Appendix, Column 5, for state response.

- 2. The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed, a notice on Form D (17 CFR 239.500) at such times as required by state law.
- 3. The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees.
- 4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.

The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

Issuer (Print or Type) Artiman Ventures Side Fund, L.P.	Signature	Date 7/10/02
Name (Print or Type) FARROKH BILLI MORIA	Title of Signer (Print or Type) Manager of Artiman LLC, the General Partner of Artiman V	Ventures Side Fund, L.P.

### Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

# APPENDIX

1		2 3 4															
	Intend to sell to non-accredited investors in State (Part B-Item 1)		Type of security and aggregate offering price offered in state (Part C-Item 1)	security gregate g price Type of investor and in state amount purchased in State		Type of investor and amount purchased in State				amount purchased in State		amount purchased in State		amount purchased in State		Disqual under Sta (if yes explan waiver	ification ate ULOE attach ation of granted) -Item 1)
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No								
AL																	
AK																	
AZ																	
AR																	
CA		X	Partnership Interests \$880,000	7	\$880,000	0	\$0		X								
СО																	
CT																	
DE																	
DC						-	·										
FL																	
GA																	
НІ							<u></u>										
ID	-																
IL																	
IN																	
IA																	
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KY	<del></del>																
LA							· · · · ·										
ME																	
MD																	
MA																	
MI	<del></del>																
MN																	
MS																	
МО																	

## APPENDIX

1		2	3		T	5			
	to non-a	I to sell ccredited s in State -Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of investor and amount purchased in State (Part C-Item 2)				
State	Yes	No		Number of Accredited Investors	Accredited Non-Accredited				
MT	165	110		Threstors	Amount	Investors	Amount	Yes	No
NE									
NV									
NH									
NJ									
NM									
NY		X	Partnership Interests \$850,000	1	\$850,000	0	\$0		X
NC									
ND									
ОН					,			_	
OK									
OR									
PA									
RI									
SC			1 1	,					
SD									
TN									
TX					.,				
UT									
VT									
VA									
WA									
WV									
WI									
WY									
PR									