

**Item G.1.a.vi. Attachment**

**Item C.13. Shareholder servicing agents (Continued):**

<b>Question#</b>	<b>Question</b>	<b>Response</b>
C.13.a.i.	Full name: _____	1st Discount Brokerage, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48557
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	1st Global Capital Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44870
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Abacus International Capital Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46418
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Abacus Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46571
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ABD Retirement Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69271
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	ACA/Prudent Investors Planning Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51899
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Access Financial Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46065
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Access Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49750
C.13.a.iii.	State, if applicable: _____	US-OK
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Ace Diversified Capital, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49555
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ACP Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67185
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ADCAP Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51285
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ADP Broker-Dealer, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47885
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Advanced Advisor Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67313
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Advisory Group Equity Services, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-32155
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Akar Capital Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28026
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Alerus Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47152
C.13.a.iii.	State, if applicable: _____	US-ND
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Alexander Capital, L.P.
C.13.a.ii.	LEI, if any, or provide and describe other	8-48957

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Alight Financial Solutions LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-47344
C.13.a.iii.	State, if applicable: ____	US-IL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Allegheny Investments, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-22183
C.13.a.iii.	State, if applicable: ____	US-PA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Allegiance Capital, L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-52978
C.13.a.iii.	State, if applicable: ____	US-ME
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Allegis Investment Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-69318
C.13.a.iii.	State, if applicable: ____	US-ID
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Allen & Company of Florida, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-9877
C.13.a.iii.	State, if applicable: ____	US-FL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Allen C Ewing & Co.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42393
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Alliant Securities, Inc. Turner, Nord, Kienbaum
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-23305
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Allianz Life Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13630
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Allstate Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36365
C.13.a.iii.	State, if applicable: _____	US-NE
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Amegy Investments, Inc. (Zions)
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65399
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	America Northcoast Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33033

C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Capital Partners, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65165
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Century Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35220
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Enterprise Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42582
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Equity Investment Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49078
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Financial Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44065
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	American Financial Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53205
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Heritage Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44466
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Independent Securities Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66905
C.13.a.iii.	State, if applicable: _____	US-ID
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Municipal Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25338
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Portfolios Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37085
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	American Trust Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14394
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Ameritas Investment Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31296
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Andbanc Brokerage, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68759
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Anovest Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66742
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Aon Benfield Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14953
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ARCA Capital Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53110
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Arete Wealth Management, LLC



C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50854
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Arive Capital Markets
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43350
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Arkadios Capital
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69729
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Arlington Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38098
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Arque Capital, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65390
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Arvest Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49677
C.13.a.iii.	State, if applicable: _____	US-AR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N

	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Ascensus Broker Dealer Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47312
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Ascensus Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67891
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Ascensus, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	549300K5MHNFP EU2RK82
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	AssetMark Trust Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Associated Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-99
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Ausdal Financial Partners, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-24519

C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Avalon Investment & Securities Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17078
C.13.a.iii.	State, if applicable: _____	US-AL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Aventura Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67458
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Avisen Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65833
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Avondale Partners, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51550
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	AxA Advisors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17883
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Axiom Capital Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42638
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	B.B. Graham & Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49477
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	B.C. Ziegler & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-94
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BAC Florida Investments
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37483
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Ballew Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45660
C.13.a.iii.	State, if applicable: _____	US-MS
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BancWest Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44261
C.13.a.iii.	State, if applicable: _____	US-NE
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bankers & Investors Co
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21215
C.13.a.iii.	State, if applicable: _____	US-KS
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bankers Life Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69562
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bankoh Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44201
C.13.a.iii.	State, if applicable: _____	US-HI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Barclays Capital Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-41342
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BB&T Investments
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46134
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BBVA Securities Inc.

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-42857
C.13.a.iii.	State, if applicable: ____	US-AL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	BC Securities, Inc. (Somerset Securities, Inc. )
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-11539
C.13.a.iii.	State, if applicable: ____	US-MA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	BCG Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-12680
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Beaconsfield Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-30993
C.13.a.iii.	State, if applicable: ____	US-PA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Beard Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-53374
C.13.a.iii.	State, if applicable: ____	US-OH
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Benchmark Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-52280
C.13.a.iii.	State, if applicable: ____	US-AR
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Benefit Plans Administrative Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Benjamin F. Edwards & Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68023
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Berthel, Fisher & Company Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-29426
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BestVest Investments, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49007
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BFT Financial Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53487
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bill Few Securities, Inc.

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49625
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Blakeslee and Blakeslee Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-32071
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bley Investments Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42701
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BMO Harris Bank
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	3Y4U8VZURTYWI1W2K376
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BMO Harris Financial Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67064
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BNP Paribas Securities Corp
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40490
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N



	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bodell Overcash Anderson & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15775
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Boenning & Scattergood, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15543
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bolton Global Capital
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33222
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bolton Securities Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68187
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BOSC, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35381
C.13.a.iii.	State, if applicable: _____	US-OK
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BPU Investment Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34979

C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Brazos Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39119
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Brighton Securities Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14599
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Bristol Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67140
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Broadridge Business Process Outsourcing, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53412
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Broker Dealer Financial Services Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-24220
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Brokers International Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67239
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Brooklight Place Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-32673
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BTG Pactual US Capital, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68148
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	BTS Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26818
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Buckram Securities LTD
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65939
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	C. R. Davis & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-859
C.13.a.iii.	State, if applicable: _____	US-IL

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cabot Lodge Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69009
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cadaret, Grant & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27844
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Caldwell Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27639
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Callaway Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52474
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Calton & Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38635
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	Cambridge Investment Research, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48740
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Camden Financial Services
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37303
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cannon Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68437
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cape Securities Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-20747
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CapFinancial Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65870
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Capital City Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67771
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Capital Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25203
C.13.a.iii.	State, if applicable: _____	US-ND
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Capital Guardian, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67133
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Capital Investment Brokerage, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49118
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Capital Investment Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31225
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Capital Management Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27779
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Capital One Investing LLC
C.13.a.ii.	LEI, if any, or provide and describe other	8-51160

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Capital Portfolio Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-44225
C.13.a.iii.	State, if applicable: ____	US-MD
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Capital Synergy Partners, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-68060
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Capitol Securities Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-30353
C.13.a.iii.	State, if applicable: ____	US-VA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Cardinal Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-47493
C.13.a.iii.	State, if applicable: ____	US-IL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Carl M. Hennig, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-14509
C.13.a.iii.	State, if applicable: ____	US-WI
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Carter, Terry & Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33797
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Carty & Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-19082
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cary Street Partners LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66085
C.13.a.iii.	State, if applicable: _____	US-VA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cascade Financial Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53730
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cascade Investment Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46943
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CBIZ Financial Solutions, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34205
C.13.a.iii.	State, if applicable: _____	US-OH



C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CCF Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67027
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Centaurus Financial, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45185
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Centennial Securities Company, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-23406
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Center Street Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42786
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Century Securities Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43514
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	Ceros Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47955
C.13.a.iii.	State, if applicable: _____	US-MD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cetera Advisor Networks LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-29577
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cetera Advisors LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26892
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cetera Financial Specialists LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27082
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cetera Investment Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31826
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CFD Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-41727
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CFS Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51330
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CFT Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51345
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CGIS Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68758
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Charles Schwab & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-16514
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Chauner Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33980
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Chelsea Morgan Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other	8-51916

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	CIBC World Markets Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-18333
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Citizens Bank
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	DRMSV1Q0EKMEYLAU1P80
C.13.a.iii.	State, if applicable: ____	US-RI
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Citizens Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-48744
C.13.a.iii.	State, if applicable: ____	US-MA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	City National Bank
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	593C3GZG957YOJPS2Z63
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Clay Investment and Insurance Planning Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-48346
C.13.a.iii.	State, if applicable: ____	US-MA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N

	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Classic LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68981
C.13.a.iii.	State, if applicable: _____	US-ND
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Client One Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68494
C.13.a.iii.	State, if applicable: _____	US-KS
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Coastal Equities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40822
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Coburn & Meredith, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-2474
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Coldstream Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51549
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Colorado Financial Service Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52817

C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Comerica Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35001
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Commerce Brokerage Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35096
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Commonwealth Equity Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-24040
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Comprehensive Asset Management and Servicing, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50433
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Concorde Investment Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68388
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Conduent HR Services LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	084-06035
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Conover Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35085
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Consolidated Financial Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37004
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Coordinated Capital Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31173
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CoreCap Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47783
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Corinthian Partners, L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48461
C.13.a.iii.	State, if applicable: _____	US-NY

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cosse International Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17106
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Country Capital Management Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-12645
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Country Club Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44574
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cresap, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42117
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Crescent Securities Group
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53457
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N



C.13.a.i.	Full name: _____	Crestone Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52463
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Crews & Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-24075
C.13.a.iii.	State, if applicable: _____	US-AR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CRI Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39877
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Crown Capital Securities, L.P.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17264
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CSSC Brokerage Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67401
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	CUNA Brokerage Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30027
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cuso Financial Services, L.P.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49711
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Cutter & Company Brokerage, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39590
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	D.H. Hill Securities LLP
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49475
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	D.M. Kelly & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48398
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Darwood Associates Incorporated
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-16560
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	David A. Noyes & Company
C.13.a.ii.	LEI, if any, or provide and describe other	8-22752

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-IL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	David Lerner Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-20746
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Davinci Capital Management Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-51580
C.13.a.iii.	State, if applicable: ____	US-MA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Dempsey Lord Smith, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-67469
C.13.a.iii.	State, if applicable: ____	US-GA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	DesPain Financial Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-37056
C.13.a.iii.	State, if applicable: ____	US-IL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Detalus Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-52180
C.13.a.iii.	State, if applicable: ____	US-MO
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Devenir, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65837
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	DFPG Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68730
C.13.a.iii.	State, if applicable: _____	US-UT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Diamant Investment Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-18284
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Digital Retirement Solutions, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Dinosaur Securities, L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52908
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Diversified Resources, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45497
C.13.a.iii.	State, if applicable: _____	US-RI

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Dominick & Dominick LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21076
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Dominion Investor Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39029
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Donegal Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66137
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Dorsey & Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-7541
C.13.a.iii.	State, if applicable: _____	US-LA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Dougherty & Company LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21937
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	DWS Investments Distributors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47765
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	E*Trade Savings Bank
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	549300DYPO50FJ75QA85
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	E*Trade Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44112
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	E. E. Powell & Company Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-1893
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Eastern Point Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39398
C.13.a.iii.	State, if applicable: _____	US-VA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Edward D. Jones & Co., L.P.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-759
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Edwin C. Blitz Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-22741
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	EK Riley Investments, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65369
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Emerson Equity LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66296
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Empire Asset Management Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67520
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Equable Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21641
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Equity Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other	8-14286

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-VT
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Essex Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-66015
C.13.a.iii.	State, if applicable: ____	US-CT
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Essex Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-51472
C.13.a.iii.	State, if applicable: ____	US-MA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Etico Partners, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-65500
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	E-W Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-35259
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Excel Securities & Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-34261
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N



C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Exeter Trust Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-NH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Fairport Capital, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-32162
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Family Investors Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-11190
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Farmers Financial Solutions, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52361
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FBT Investments
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52922
C.13.a.iii.	State, if applicable: _____	US-LA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FCG Advisors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49104
C.13.a.iii.	State, if applicable: _____	US-NJ

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Federated Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15644
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Feldstein Financial Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53388
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Feltl & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-18435
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Fidelity Investments Institutional Operations Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35097
C.13.a.iii.	State, if applicable: _____	US-RI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Fidelity Investments Life
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	54930024AKTQGTIV1P93
C.13.a.iii.	State, if applicable: _____	US-RI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Fiduciary Advisors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52647
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Fieldpoint Private Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37105
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Fifth Third Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-2428
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Finance 500, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28302
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Financial America Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15719
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Financial Northeastern Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34883
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Financial Planning Consultants, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25384
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Financial Services International Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48032
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Financial West Investment Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34448
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FinTrust Brokerage Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49514
C.13.a.iii.	State, if applicable: _____	US-SC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Allied Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46167
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Asset Financial, Inc.

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67191
C.13.a.iii.	State, if applicable: _____	US-KS
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Bankers' Banc Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31584
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Citizens Investor Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50696
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Command Financial Planning, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-7072
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Financial Equity Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34082
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Financial Securities of America, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-29565
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N

	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Heartland Capital, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46008
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Kentucky Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-22224
C.13.a.iii.	State, if applicable: _____	US-KY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Mercantile Trust Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	R6ZYK5P01NP6HYSY1S07
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	First Republic Securities Company, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52973
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Southern, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69733
C.13.a.iii.	State, if applicable: _____	US-PR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First State Financial Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34201

C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Western Advisors
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28729
C.13.a.iii.	State, if applicable: _____	US-UT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	First Western Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37613
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FMN Capital Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48082
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FMSBonds, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-23280
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FNBB Capital Markets, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66553
C.13.a.iii.	State, if applicable: _____	US-AL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Folger Nolan Fleming Douglas Incorporated
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-3335
C.13.a.iii.	State, if applicable: _____	US-DC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FolioFN Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52009
C.13.a.iii.	State, if applicable: _____	US-VA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Forest Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33568
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Foresters Equity Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36703
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Foresters Financial Services
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13891
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Fortune Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49727
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	



C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Founders Financial Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67137
C.13.a.iii.	State, if applicable: _____	US-MD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FSB Warner Financial, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44865
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FSC Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21770
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	FTB Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35061
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	G. W. & Wade Asset Management Company, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42013
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	G.A. Repple & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35315
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	G.F. Investment Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66659
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	G.L.S. & Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51808
C.13.a.iii.	State, if applicable: _____	US-AL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Garden State Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25790
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Gardner Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38631
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Geneos Wealth Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65357
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	General Securities Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31654
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Geoffrey Richards Securities Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65251
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Gerwin Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40282
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	GFA Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66154
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Gilbert, Doniger & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-22326
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Gill Capital Partners, LLC
C.13.a.ii.	LEI, if any, or provide and describe other	8-30563

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-CO
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Girard Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-36920
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Gladowsky Capital Management Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-51017
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Glen Eagle Advisors LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-65678
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Global Brokerage Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-47763
C.13.a.iii.	State, if applicable: ____	US-MD
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Globalink Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-44509
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Gold Coast Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53148
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Goldman Sachs & Co. LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-129
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Gradient Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66032
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Grant Williams L.P.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51249
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Great American Investors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44380
C.13.a.iii.	State, if applicable: _____	US-KS
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Great-West Financial Retirement Plan Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A

C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Gregory J. Schwartz & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-20955
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	GRF Capital Investors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-41494
C.13.a.iii.	State, if applicable: _____	US-OK
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Griffinst Asia Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66571
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Guardian Insurance & Annuity Company, Inc., The
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	TGIU5N0SIMULU6I57R64
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	GWN Securities Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66185
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	H. Beck, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31165
C.13.a.iii.	State, if applicable: _____	US-MD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	H. C. Denison Co.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	H.D. Vest Investment Services
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-29533
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Halliday Financial, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45529
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hancock Bank Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	549300GRNBWY8HIICT41
C.13.a.iii.	State, if applicable: _____	US-LA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hancock Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49106
C.13.a.iii.	State, if applicable: _____	US-LA

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hand Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51001
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hanson McClain Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52250
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hantz Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51291
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hapoalim Securities USA, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-3018
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Harbor Investment Advisory, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68334
C.13.a.iii.	State, if applicable: _____	US-MD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N



C.13.a.i.	Full name: _____	Harbour Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37373
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Harold W. Dance Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-12160
C.13.a.iii.	State, if applicable: _____	US-UT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hartford Funds Management Company, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	801-77209
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Hartford Life Insurance Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8CE7JPU9IU72L3PPD756
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Harvest Financial Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26037
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hazard & Siegel, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13942
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hazlett, Burt & Watson, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17737
C.13.a.iii.	State, if applicable: _____	US-WV
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	HBW Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67056
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Healthcare Community Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46981
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hefren-Tillotson, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-10646
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Heim, Young & Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48500
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Henley & Company LLC
C.13.a.ii.	LEI, if any, or provide and describe other	8-66463

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Hennion & Walsh, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-41988
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Herbert J. Sims & Co. Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-3315
C.13.a.iii.	State, if applicable: ____	US-CT
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Herndon Plant Oakley, LTD
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-50912
C.13.a.iii.	State, if applicable: ____	US-TX
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Highlander Capital Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-37169
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Hightower Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-53560
C.13.a.iii.	State, if applicable: ____	US-IL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hilltop Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45123
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hollencrest Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51348
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Horace Mann Life Insurance Co.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	5493000HR2JKNH2FL09
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Horan Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49192
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hornor, Townsend & Kent, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14715
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	HSBC Securities (USA), Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-41562
C.13.a.iii.	State, if applicable: _____	US-NY

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hudson Heritage Capital Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50648
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Hunter Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44779
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Huntleigh Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21893
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	IBN Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49815
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ICMA-RC Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40268
C.13.a.iii.	State, if applicable: _____	US-DC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	IDB Capital Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53000
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	IMS Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46722
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Independence Capital Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-41331
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Independent Financial Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-22870
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Infinex Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46661
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Infinity Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67647
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Innovation Partners LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67812
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Insight Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-16247
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Institutional Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38158
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Integral Financial LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65284
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Integrated Financial Planning Services
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35120
C.13.a.iii.	State, if applicable: _____	
C.13.a.iv.	Foreign country, if applicable: _____	DE
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Integrity Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other	8-45302

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-FL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Interactive Brokers LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-47257
C.13.a.iii.	State, if applicable: ____	US-CT
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Intercarolina Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-37519
C.13.a.iii.	State, if applicable: ____	US-NC
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Intercontinental Asset Management Group, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-39706
C.13.a.iii.	State, if applicable: ____	US-TX
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	International Assets Advisory, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-27736
C.13.a.iii.	State, if applicable: ____	US-FL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	International Financial Solutions, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-49020
C.13.a.iii.	State, if applicable: ____	US-GA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N



	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	International Money Management Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30417
C.13.a.iii.	State, if applicable: _____	US-MD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	International Research Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37954
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Interwest International Equities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38156
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Inverness Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66278
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	INVEST Financial Corp
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27691
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investacorp, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-22598

C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investment Architects, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35724
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investment Centers of America
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33983
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investment Network Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66036
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investment Placement Group
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30700
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investment Planners, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35642
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Investment Professionals, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44786
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investment Security Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51832
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investments For You, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44179
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Investors Brokerage of Texas, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28644
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	InvestShares (Legal name: IMA Securities LLC)
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68365
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Invex, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44695
C.13.a.iii.	State, if applicable: _____	US-FL

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Itau International Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67674
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	J. Alden Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48938
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	J.A. Glynn & Co.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39465
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	J.D. Seibert & Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-23604
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	J.P. Morgan Institutional Investments Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52182
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	J.W. Cole Financial, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65698
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Jack V. Butterfield Investment Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-12454
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Jacques Financial, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52675
C.13.a.iii.	State, if applicable: _____	US-MD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	James I. Black & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-12077
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Jefferies, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15074
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	JHW Financial Services Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45304
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	JJB Hilliard WL Lyons
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33133
C.13.a.iii.	State, if applicable: _____	US-KY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	John Hancock Trust Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	549300W205WZCFZS6R51
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Joseph Gunnar & Co. LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-41386
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	JPMorgan Chase Bank, N.A.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	7H6GLYDRUGQFU57RNE97
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	K. W. Chambers & CO.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-10533
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Kalos Capital, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other	8-50630

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-GA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	KCD Financial, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-66005
C.13.a.iii.	State, if applicable: ____	US-WI
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Keel Point Capital, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-22990
C.13.a.iii.	State, if applicable: ____	US-AL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Kercheville & Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-32795
C.13.a.iii.	State, if applicable: ____	US-TX
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Kestra Investment Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-49672
C.13.a.iii.	State, if applicable: ____	US-TX
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Key Investment Services LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-66999
C.13.a.iii.	State, if applicable: ____	US-OH
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Key West Investments, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68166
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Keystone Capital Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28032
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	KMS Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15433
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Kovack Securities Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50847
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Kovitz Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66267
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	KW Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-24792
C.13.a.iii.	State, if applicable: _____	US-CA



C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	L. B. Fisher & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21023
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	L.M. Kohn & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43303
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	L.O. Thomas & Co. Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40875
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	L.S.Y., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17613
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	LaBrunerie Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47830
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	Ladenburg Thalman & Co. Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17230
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Laidlaw & Company (UK) Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53731
C.13.a.iii.	State, if applicable: _____	
C.13.a.iv.	Foreign country, if applicable: _____	GB
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Landaas & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51826
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Landolt Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43645
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lantern Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45285
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lara, May & Associates, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67776
C.13.a.iii.	State, if applicable: _____	US-VA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Larson Financial Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68456
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	LaSalle St. Securities, L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-18860
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lebenthal & Co.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67750
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Legacy Asset Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51871
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Leigh Baldwin & Co., LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48385
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lesko Securities Inc.
C.13.a.ii.	LEI, if any, or provide and describe other	8-26408

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Leumi Investment Services Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-52993
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Lexington Investment Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-43085
C.13.a.iii.	State, if applicable: ____	US-KY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Liberty Capital Investment Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-42051
C.13.a.iii.	State, if applicable: ____	US-OR
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Liberty Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-52994
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Liberty Partners Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-66335
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lieblong & Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49880
C.13.a.iii.	State, if applicable: _____	US-AR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	LifeMark Securities Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33478
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lincoln Douglas Investments LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68728
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lincoln Financial Advisors Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14685
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lincoln Financial Distributors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13431
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lincoln Financial Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14609
C.13.a.iii.	State, if applicable: _____	US-NH

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lincoln Investment Planning, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14354
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lion Street Financial, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69183
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lockton Financial Advisors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67090
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Logan Group Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48995
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Loria Financial Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52128
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	Loring Ward Securities Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51381
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Lucia Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47643
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	M Holdings Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50214
C.13.a.iii.	State, if applicable: _____	US-OR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	M&T Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35185
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	M. Griffith Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-2259
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	M. S. Howells & Co.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52558
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mack Investment Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35536
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Madison Avenue Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40426
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Maplewood Investment Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52221
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Marsco Investment Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36754
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Massachusetts Mutual Life Insurance Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	R6ZYK5P01NP6HYSY1S07
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	McClurg Capital Corporation



C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34473
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	McDonald Partners LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66985
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	McLaughlin Ryder Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67911
C.13.a.iii.	State, if applicable: _____	US-VA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	McNally Financial Services Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65388
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Means Investment Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27458
C.13.a.iii.	State, if applicable: _____	US-ME
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mercantil Commercebank Investment Services
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53612
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mercer HR Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Meridien Financial Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26090
C.13.a.iii.	State, if applicable: _____	US-RI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Merrill Lynch, Pierce, Fenner & Smith Incorporated
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-7221
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MGO Securities Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47814
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MHA Financial Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21836
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Michigan Securities, Inc.

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52094
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mid Atlantic Capital Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27663
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mid-Atlantic Clearing & Settlement Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47758
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mid-Atlantic Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36997
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Midlegate Securities Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39031
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MidWestern Securities Trading Company, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52074
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MMC Securities Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52349
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MML Distributors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48203
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MML Investors Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27250
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Moloney Securities Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48326
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Monere Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52982
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Monex Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other	8-44874

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-TX
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Money Concepts Capital Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-29671
C.13.a.iii.	State, if applicable: ____	US-FL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Mora WM Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-68910
C.13.a.iii.	State, if applicable: ____	US-FL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Morgan Stanley & Co. LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-15869
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Morris Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-28916
C.13.a.iii.	State, if applicable: ____	US-IN
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Mountain River Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-47565
C.13.a.iii.	State, if applicable: ____	US-CO
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MSI Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30447
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Multiple Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52017
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mutual Funds Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13026
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mutual of Omaha Investor Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47290
C.13.a.iii.	State, if applicable: _____	US-NE
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mutual Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28580
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Mutual Trust Co. of America Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25547
C.13.a.iii.	State, if applicable: _____	US-FL

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MV Securities Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47827
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	MWA Financial Services Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53255
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	N.E. Private Client Advisors, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66968
C.13.a.iii.	State, if applicable: _____	US-RI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	N.I.S. Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15919
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	National Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26740
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y

C.13.a.i.	Full name: _____	National Planning Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44435
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Nations Financial Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50588
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Nationwide Planning Associates Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45310
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Nationwide Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-12500
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Navaid Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49897
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Navy Federal Brokerage Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67161
C.13.a.iii.	State, if applicable: _____	US-VA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N



	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Neidiger, Tucker, Bruner, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21884
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Nelson Invest Brokerage Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26448
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Nestlerode & Loy, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-12629
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Neuberger Berman LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-1068
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	New England Pension Plan Systems, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-RI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Newport Retirement Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other	N/A

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-FL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: ____	Next Financial Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-51356
C.13.a.iii.	State, if applicable: ____	US-TX
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Ni Advisors
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-66841
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	NIA Securities, L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-50591
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Nicol Investors Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-65566
C.13.a.iii.	State, if applicable: ____	US-IL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	North Ridge Securities Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-42879
C.13.a.iii.	State, if applicable: ____	US-NY
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	North South Capital, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67979
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Northeast Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42227
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Northeastern Financial Group, Incorporated
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33132
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Northern Capital Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49130
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Northwest Investment Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65282
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	NPB Financial Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67113
C.13.a.iii.	State, if applicable: _____	US-CA

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	NYLife Distributors LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46655
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	NYLife Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15517
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Oak Tree Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36138
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Oberweis Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49679
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	OFG Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40770
C.13.a.iii.	State, if applicable: _____	US-KS
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	Ohanesian / Lecours, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40729
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Old National Trust Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Omega Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-20225
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Omni Financial Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44034
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	OneAmerica Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15009
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Optionsxpress
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52354
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Oriental Financial Services Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44516
C.13.a.iii.	State, if applicable: _____	US-PR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Pacific Financial Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40712
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Packerland Brokerage Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47572
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Paradigm Equities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45593
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Park Avenue Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51324
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Parkland Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other	8-53482

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-MI
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Patapsco Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-43485
C.13.a.iii.	State, if applicable: ____	US-MD
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Peak Brokerage Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-68829
C.13.a.iii.	State, if applicable: ____	US-GA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Penates Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-50594
C.13.a.iii.	State, if applicable: ____	US-AZ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Pension Dynamics Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-52535
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Pensionmark Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-69773
C.13.a.iii.	State, if applicable: ____	US-CA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	People's Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-29667
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Perryman Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53546
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Pershing Advisor Solutions, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47425
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Pershing, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17574
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Petersen Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48306
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	PFS Investments Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26486
C.13.a.iii.	State, if applicable: _____	US-GA



C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Philip J. Greenblatt Securities Ltd
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30725
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Place Trade Financial, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65915
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Planmember Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25065
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Planned Financial Programs, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-5727
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Planned Investment Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-15266
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N

C.13.a.i.	Full name: _____	Planners Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-18027
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	PNC Bank, National Association
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	AD6GFRVSDT01YPT1CS68
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	Y
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	PNC Investments, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66195
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	Y
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Podesta & Co.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28860
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Polar Investment Counsel, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50039
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Portfolio Resources Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45380
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Portsmouth Financial Services
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30097
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Primerica Shareholder Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	084-01499
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Principled Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66451
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Princor Financial Services Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13975
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Private Client Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68183
C.13.a.iii.	State, if applicable: _____	US-KY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Proequities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other	8-32590

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-AL
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Profinancial, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-32026
C.13.a.iii.	State, if applicable: ____	US-LA
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Prospera Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-28164
C.13.a.iii.	State, if applicable: ____	US-TX
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Pruco Securities, LLC.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-16402
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	Prudential Insurance Company of America
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	Y574KRZ6V5A7UBU45C31
C.13.a.iii.	State, if applicable: ____	US-CT
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: ____	Prudential Investment Management Services LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-36540
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N

	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	PTI Securities & Futures L.P.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44412
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	PTS Brokerage, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65173
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Purshe Kaplan Sterling Investments
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46844
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	PWA Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67700
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Pyramid Funds Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-12769
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Queens Road Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52737

C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Quest Capital Strategies, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34532
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Questar Capital Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50174
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Quincy Cass Associates, Incorporated
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-5743
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	R. Seelaus & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31475
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	R.M. Stark & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-22543
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Raymond James Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17983
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	RBC Capital Markets, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45411
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	RDM Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52728
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Regal Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21765
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Regions Bank
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	EQTWLK1G7ODGC2MGLV11
C.13.a.iii.	State, if applicable: _____	US-AL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Register Financial Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45012
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Regulus Advisors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68275
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Rehmann Financial Network
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69134
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Reliance Worldwide Investments, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67575
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Repex & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26431
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	RF Lafferty & Co
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25581
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Rhodes Securities, Inc.



C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37689
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	RIM Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36759
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Riversource Distributors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67196
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	RJJ Pasadena Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25324
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	RNR Securities, L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50366
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Roberts & Ryan Investments Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37469
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N

	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Robinson & Robinson, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-17109
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Rodgers Brothers, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33475
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Rogan & Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49995
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Rothschild Investment Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-16429
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Royal Alliance Associates, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40218
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Royal Securities Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28009

C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	S F Sentry Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43101
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	S.A. Stone Wealth Management
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36638
C.13.a.iii.	State, if applicable: _____	US-AL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	S.G. Long & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51816
C.13.a.iii.	State, if applicable: _____	US-MT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	S.L. Reed & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49178
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sabadell Securities USA, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67975
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Safeguard Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45442
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Safra Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51935
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sage, Ruty & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-533
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SagePoint Financial, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66771
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sammons Financial Network, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68905
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sammons Retirement Solutions, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Samuel A Ramirez & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-19014
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sanders Morris Harris Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38325
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sandlapper Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67128
C.13.a.iii.	State, if applicable: _____	US-SC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Santander Securities LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49571
C.13.a.iii.	State, if applicable: _____	US-PR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Saperston Asset Management, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50658
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Saturna Brokerage Services, Inc.

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36558
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Saturna Trust Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Saxony Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53490
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SCF Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51760
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Schlitt Investor Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34202
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SDDCO Brokerage Advisors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68549
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N

	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SECU Brokerage Services
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37927
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SecureVest Financial Group
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26030
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Securian Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31955
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Securities America, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-26602
C.13.a.iii.	State, if applicable: _____	US-NE
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Securities Equity Group
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51706
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Securities Research, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-23699

C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Securities Service Network, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-28980
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Security Distributors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-10781
C.13.a.iii.	State, if applicable: _____	US-KS
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SEI Private Trust Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Sentinel Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53142
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SFA Financial, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68156
C.13.a.iii.	State, if applicable: _____	US-SC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N



	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Shareholders Service Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65778
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sigma Financial Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30466
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Signal Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-32948
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SII Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13963
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Silber Bennett Financial, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68795
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Silver Oak Securities, Incorporated
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51601
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Simmons First Investment Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51795
C.13.a.iii.	State, if applicable: _____	US-AR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sisung Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42121
C.13.a.iii.	State, if applicable: _____	US-LA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SKA Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53366
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Slavic Investment Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40024
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Smith, Brown & Groover, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13079
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Snowden Account Services, Inc.

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68188
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sorrento Pacific Financial, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66046
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sorsby Financial Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48718
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Source Capital Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47372
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Southeast Investments, N.C., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50127
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sovereign Legacy Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-621
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N

	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Spencer Trask Ventures, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43665
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Spire Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67635
C.13.a.iii.	State, if applicable: _____	US-VA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	St. Bernard Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47566
C.13.a.iii.	State, if applicable: _____	US-AR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Standard Insurance Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	549300KVA8DBYKHPYO21
C.13.a.iii.	State, if applicable: _____	US-OR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Standard Investment Chartered, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40468
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Stannard Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50654

C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	State Street Bank and Trust Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	571474TGEMMWANRLN572
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Stephen A. Kohn & Associates, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49279
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sterling Monroe Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65527
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sterne Agee & Leach, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-11754
C.13.a.iii.	State, if applicable: _____	US-AL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Stonnington Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66919
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Strategic Point Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65724
C.13.a.iii.	State, if applicable: _____	US-RI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Summit Brokerage Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46310
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Summit Equities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27556
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sunbelt Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49748
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sunset Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14148
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sunstreet Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67541
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SunTrust Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35355
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Superior Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52618
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	SWBC Investment Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66764
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sweney Cartwright & Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-3150
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Sycamore Financial Group
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30302
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Symphonic Securities LLC

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67220
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Syndicated Capital, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44162
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Synovus Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34709
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	T. Rowe Price Retirement Plan Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	084-06219
C.13.a.iii.	State, if applicable: _____	US-MD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	T.R. Winston & Company, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27519
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	T.S. Phillips Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65661
C.13.a.iii.	State, if applicable: _____	US-OK
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N



	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TCAdvisors Network Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46909
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TCFG Wealth Management, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69089
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TCM Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50313
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TD Ameritrade Clearing, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-16335
C.13.a.iii.	State, if applicable: _____	US-NE
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	TD Private Client Wealth LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69105
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Teachers Insurance and Annuity Association of America
C.13.a.ii.	LEI, if any, or provide and describe other	O06YD7BJ4EPYNABVFN69

	identifying number: ____	
C.13.a.iii.	State, if applicable: ____	US-NC
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: ____	Teckmeyer Financial Services, L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-48501
C.13.a.iii.	State, if applicable: ____	US-NE
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	TFS Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-38377
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	The Capital Group Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-52512
C.13.a.iii.	State, if applicable: ____	US-RI
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	The Garbacz Group Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-42366
C.13.a.iii.	State, if applicable: ____	US-MO
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: ____	The GMS Group, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: ____	8-23936
C.13.a.iii.	State, if applicable: ____	US-NJ
C.13.a.iv.	Foreign country, if applicable: ____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N

C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Huntington Investment Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34867
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Investment Center, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35826
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Jeffrey Matthews Financial Group, L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49352
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Lawrence D. Vitez Corp. DBA Consolidated Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48642
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Leaders Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-47639
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The O.N. Equity Sales Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14161

C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Oak Ridge Financial Services Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50082
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Strategic Financial Alliance, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65885
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Tavenner Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-41206
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	The Windmill Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25280
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Thompson Davis & Co., Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49386
C.13.a.iii.	State, if applicable: _____	US-VA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Thoroughbred Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51944
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Thurston, Springer, Miller, Herd & Titak, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25452
C.13.a.iii.	State, if applicable: _____	US-IN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TIAA-CREF Individual & Institutional Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44454
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TimeCapital Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-18248
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Titleist Asset Management, Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65859
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Tocqueville Securities L.P.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42223
C.13.a.iii.	State, if applicable: _____	US-NY

C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Trade-PMR Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51390
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Tradition Asiel Securities Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43559
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TransAm Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-37065
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Transamerica Advisors Life Insurance Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	F1PLTWZ8OU4HQQZQAP31
C.13.a.iii.	State, if applicable: _____	US-AR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Transamerica Financial Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-33429
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Treasure Financial Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48165
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TRG Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50449
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Triad Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-42007
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TrustCore Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-32152
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	TrustFirst, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48509
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Trustmont Financial Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36439
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	U.S. Bancorp Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35359
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	UBATCO & Co.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-NE
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	UBS AG, New York Branch
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	N/A
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	UBS Financial Services Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-16267
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Uhlmann Price Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50041
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	UMB Financial Services, Inc.



C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34999
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Umpqua Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-2915
C.13.a.iii.	State, if applicable: _____	US-OR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Union Capital Company
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53127
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Unionbanc Investment Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-30706
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	United Brokerage Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48685
C.13.a.iii.	State, if applicable: _____	US-WV
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	United Planners' Financial Services of America A Limited Partner
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38485
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated	N

	person of the Fund or its investment adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	United States Life Insurance Company in the City of New York
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	549300C1JQGJ0MNUMG79
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Univest Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-14145
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	USA Financial Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52342
C.13.a.iii.	State, if applicable: _____	US-MI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	USI Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50429
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	VALIC Financial Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50018
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	VALIC Retirement Services Company

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	84-06057
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Valley National Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-34427
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ValMark Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45463
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Vanderbilt Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-16712
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Vanguard Group, Inc., The
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	5493002789CY3L0CJP65
C.13.a.iii.	State, if applicable: _____	US-PA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	Y
C.13.a.i.	Full name: _____	Variable Investment Advisors, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50699
C.13.a.iii.	State, if applicable: _____	US-SD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N

	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Vaughan & Co Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-36916
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	VBC Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44141
C.13.a.iii.	State, if applicable: _____	US-NJ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	VCA Securities, L.P.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66393
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	VectorGlobal WMG
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45715
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Veritas Independent Partners, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-69363
C.13.a.iii.	State, if applicable: _____	US-AR
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Verity Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49474

C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Vestech Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49409
C.13.a.iii.	State, if applicable: _____	US-KS
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Vestor Capital Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-32743
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ViewTrade Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51605
C.13.a.iii.	State, if applicable: _____	US-FL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Vision Financial Markets LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-67447
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Visun Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31907
C.13.a.iii.	State, if applicable: _____	US-WI
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Vorpahl Wing Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-51823
C.13.a.iii.	State, if applicable: _____	US-WA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	VOYA Financial Advisors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-46451
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Voya Financial Partners, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13987
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	VOYA Investments Distributors, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48020
C.13.a.iii.	State, if applicable: _____	US-AZ
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	W.H. Colson Securities
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53621
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wall Street Access
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-25936
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Watermark Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43902
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wayne Hummer Investments, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-484
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	WBB Securities, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53660
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Weller, Anderson & Co., Ltd.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40685
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wells Fargo Bank, N.A.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	KB1H1DSPRFMYMCFY09
C.13.a.iii.	State, if applicable: _____	US-SD
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wells Fargo Securities, LLC

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-65876
C.13.a.iii.	State, if applicable: _____	US-NC
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wesbanco Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-50220
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wescom Financial Services, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66189
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Westco Investment Corp.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38452
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Western Equity Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-66403
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Western Growers Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53458
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment	N



	adviser(s)? [Y/N]	
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Western International Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48572
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Westminster Financial Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-38426
C.13.a.iii.	State, if applicable: _____	US-OH
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Weston Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-32664
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Westport Capital Markets, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49495
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Westport Resources Investment Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-40904
C.13.a.iii.	State, if applicable: _____	US-CT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	WFG Investments, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39928

C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wheelhouse Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-68105
C.13.a.iii.	State, if applicable: _____	US-MO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	White Mountain Capital, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-52572
C.13.a.iii.	State, if applicable: _____	US-NY
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Whitehall-Parker Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-27688
C.13.a.iii.	State, if applicable: _____	US-CA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Whitney National Bank
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	NSGZD26YPW2CUM2JKU70
C.13.a.iii.	State, if applicable: _____	US-LA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wilbanks Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-49134
C.13.a.iii.	State, if applicable: _____	US-OK
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-	N

	shareholder servicing agent? [Y/N]	
C.13.a.i.	Full name: _____	Wiley Bros.-Aintree Capital, LLC
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-3774
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	William Blair & Company L.L.C.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-2698
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	William C. Burnside & Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-21242
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wood (Arthur W.) Company, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-3368
C.13.a.iii.	State, if applicable: _____	US-MA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Woodbury Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-13846
C.13.a.iii.	State, if applicable: _____	US-MN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Woodlands Securities Corporation
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-39700
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	

C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Woodmen Financial Services, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-53592
C.13.a.iii.	State, if applicable: _____	US-NE
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Woodstock Financial Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-48080
C.13.a.iii.	State, if applicable: _____	US-GA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	World Capital Brokerage, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-6805
C.13.a.iii.	State, if applicable: _____	US-CO
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	World Choice Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-45235
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	World Equity Group, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-44088
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	World Trend Financial Planning Services, Ltd.

C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-43693
C.13.a.iii.	State, if applicable: _____	US-IA
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Worth Financial Group Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-29827
C.13.a.iii.	State, if applicable: _____	US-TX
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Wunderlich Securities, Inc.
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-31206
C.13.a.iii.	State, if applicable: _____	US-TN
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	Zions Investment
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-35721
C.13.a.iii.	State, if applicable: _____	US-UT
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N
C.13.a.i.	Full name: _____	ZIV Investment Center
C.13.a.ii.	LEI, if any, or provide and describe other identifying number: _____	8-18119
C.13.a.iii.	State, if applicable: _____	US-IL
C.13.a.iv.	Foreign country, if applicable: _____	
C.13.a.v.	Is the shareholder servicing agent an affiliated person of the Fund or its investment adviser(s)? [Y/N]	N
C.13.a.vi.	Is the shareholder servicing agent a sub-shareholder servicing agent? [Y/N]	N