

**Full Circle Brewing Co. Ltd. LLC**  
**Alcohol Industry Investor Questionnaire**  
**Requirements for all Prospective Investors**

1. Full name: First: \_\_\_\_\_;  
Middle: \_\_\_\_\_; Last: \_\_\_\_\_
2. Previous Name(s): (Include maiden, aka, alias, etc.):  
\_\_\_\_\_
3. Business Mailing Address:  
\_\_\_\_\_  
\_\_\_\_\_
4. Home Address (street, city, state, zip code):  
\_\_\_\_\_  
\_\_\_\_\_
5. Home Telephone Number: \_\_\_\_\_
6. Mobile phone number: \_\_\_\_\_
7. Work phone number: \_\_\_\_\_
8. Email Address: \_\_\_\_\_
9. Social Security Number: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_
10. Sex: Male; \_\_\_ Female; \_\_\_
11. Driver's License Number: \_\_\_\_\_
12. State of Licensure: \_\_\_\_\_
  - a. Birthdate (mm/dd/yy): \_\_\_\_\_
  - b. Birthplace: \_\_\_\_\_
13. Describe any interest in alcoholic beverage business:  
\_\_\_\_\_

14. Employment History – Last 3 years (begin with most recent):

(1):

a. Company Name and Address:

\_\_\_\_\_

b. Job Title: \_\_\_\_\_

c. From: \_\_\_\_\_

d. To: \_\_\_\_\_

(2):

a. Company Name and Address:

\_\_\_\_\_

b. Job Title: \_\_\_\_\_

c. From: \_\_\_\_\_

d. To: \_\_\_\_\_

15. Other ABC license (*If none, answer N/A*):

\_\_\_\_\_

16. Employment History (Past three years, including unemployment, student, homemaker, etc.) Begin with most recent employment.

(1):

a. Company Name and Address:

\_\_\_\_\_

b. Job Title: \_\_\_\_\_

c. From: \_\_\_\_\_

d. To: \_\_\_\_\_

(2):

a. Company Name and Address:

\_\_\_\_\_

b. Job Title: \_\_\_\_\_

c. From: \_\_\_\_\_

d. To: \_\_\_\_\_

17. Residence History (For Last 5 years):

a. Address/City/State:

\_\_\_\_\_

b. From: \_\_\_\_\_

c. To: \_\_\_\_\_

a. Address/City/State:

\_\_\_\_\_

b. From: \_\_\_\_\_

c. To: \_\_\_\_\_

18. Have you ever been arrested for violating any FEDERAL or STATE law related to liquor or tobacco products? Yes \_\_\_\_ No \_\_\_\_

If Yes, please explain \_\_\_\_\_

\_\_\_\_\_

19. Have you ever been arrested for violating any other FEDERAL or STATE law?  
Yes \_\_\_\_ No \_\_\_\_

If Yes, please explain \_\_\_\_\_

\_\_\_\_\_

20. Have you ever been convicted of any felony or misdemeanor under FEDERAL or STATE law? Yes \_\_\_\_ No \_\_\_\_

If Yes, please explain \_\_\_\_\_

\_\_\_\_\_

21. Have you ever been compromised, by payment of penalties or otherwise, for any violation of any FEDERAL law relating to Internal Revenue or Customs taxation of DISTILLED SPIRITS, WINES, BEER, or TOBACCO PRODUCTS? Yes \_\_\_\_ No \_\_\_\_

If Yes, please explain \_\_\_\_\_

\_\_\_\_\_

22. With regard to manufacturing, storing, using, rectifying, bottling, distributing, selling, importing, or transporting alcohol, wine, or beer, has any application submitted by you been disapproved of? Yes \_\_\_\_ No \_\_\_\_

If Yes, please explain \_\_\_\_\_

\_\_\_\_\_

23. Are you a U.S. citizen? Yes \_\_\_\_ No \_\_\_\_

24. Have you as an individual or in connection with a partnership, LLC., firm or corporation ever been connected with a FEDERAL permit or approved notice to manufacture, use, store, rectify, bottle, distribute, sell, deal in, import or transport ALCOHOL, DENATURED SPIRITS, DISTILLED SPIRITS, BEER, WINES, or TOBACCO PRODUCTS? Yes \_\_\_\_ No \_\_\_\_

a. If Yes, please provide the following:

b. Registry or Permit Number: \_\_\_\_\_

c. Period Covered: From: \_\_\_\_\_ To: \_\_\_\_\_

d. If discontinued, when and why? \_\_\_\_\_

e. Name and Address under which the approved permit/notice was issued:

\_\_\_\_\_  
\_\_\_\_\_

f. If revoked, was settlement made of civil liabilities incurred thereunder?

Yes \_\_\_\_ No \_\_\_\_ . If Yes, when? \_\_\_\_\_

25. Have you ever been, or are you now, employed by any person, firm LLC, or corporation producing, storing, rectifying, bottling, importing, distributing, or dealing in distilled spirits, wines, beer, alcohol, or denatured spirits? Yes \_\_\_\_ No \_\_\_\_

a. If yes, provide the following:

b. Period of employment: From: \_\_\_\_\_ To: \_\_\_\_\_

c. Capacity/position: \_\_\_\_\_

d. Name of person, firm, LLC, or corporation:

\_\_\_\_\_