

Additions underscored

Deletions [bracketed]

### NYSE AMERICAN OPTIONS FEE SCHEDULE\*

\*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of [March]May 1, 2024

\*\*\*\*\*

**G. CUBE Auction Fees & Credits.** The following tables describe the per contract fees and credits for executions associated with CUBE Auctions.

<b>Single-Leg CUBE Auction</b>	
<b>Participant/Penny or Non-Penny</b>	<b>Per Contract Fee or Credit</b>
CUBE Order Fee Customer – All issues	\$0.00
CUBE Order Fee Non-Customer – All issues	\$0.20
Contra Order Fee – All issues	\$0.05
RFR Response Fee Customer – All issues	\$0.00
RFR Response Fee Non-Customer – Penny	\$0.50
RFR Response Fee Non-Customer – Non-Penny	\$1.05
Initiating Participant Credit - Penny	(\$0.26) <sup>1</sup>
Initiating Participant Credit - Non-Penny	(\$0.65) <sup>1</sup>
<u>ACE Initiating Participant Rebate – All issues</u> <u>ACE Tiers 1, 2, or 3</u>	<u>(\$0.05)<sup>2</sup></u>
ACE Initiating Participant Rebate – All issues <u>ACE Tiers 4 or 5</u>	(\$0.12) <sup>2</sup>
[Alternative Initiating Participant Rebate – All issues]	[(\$0.10) <sup>2</sup> ]

<sup>1</sup> Initiating Participant Credits are payable to the Initiating Participant for each contract in a Contra Order paired with a CUBE Order that does not trade with the CUBE Order because it is replaced in the auction. ATP Holders that execute at least 0.40% of TCADV in Electronic Customer Complex Orders are eligible to receive an Initiating Participant Credit of (\$0.30) per contract for Penny Issues and (\$0.70) per contract for Non-Penny Issues instead of the Initiating Participant Credit amounts set forth in the table above.

<sup>2</sup> [Both t]The ACE Initiating Participant Rebate [and the Alternative Initiating Participant Rebate (collectively, the “Rebate” as used here) are]is applied to each of the first 5,000 contracts per

leg of a CUBE Order executed in a CUBE Auction and the Rebate amount is tied to the ACE Program Tiers. This Rebate is in addition to any additional credits set forth above. [Only ATP Holders who qualify for Tiers 1, 2, 3, 4 or 5 of the ACE Program are eligible to receive the additional ACE Initiating Participant Rebate. ATP Holders that execute a minimum of 5,000 contracts ADV in the Professional range, as defined in Section I.H., and also increase their Initiating CUBE Orders by the greater of 40% over their August 2019 volume or 15,000 contracts ADV are eligible to receive the Alternative Initiating Participant Rebate. An ATP Holder that qualifies for both the ACE Initiating Participant Rebate and the Alternative Initiating Participant Rebate would be entitled only to the greater of the two rebates]

\*\*\*\*\*