

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 91650 / April 23, 2021

WHISTLEBLOWER AWARD PROCEEDING
File No. 2021-42

In the Matter of the Claim for an Award

in connection with

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Notice of Covered Action ^{Redacted}

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that ^{Redacted} (“Claimant”) receive a whistleblower award in the amount of ^{***} percent (^{***} %) of the monetary sanctions collected and to be collected in ^{Redacted} ^{Redacted} which will presently result in an award of over \$100,000.

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission and that this information significantly contributed to the success of the Covered Action.¹

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¹ See Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

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Redacted In reaching that determination, we considered that (i) Claimant's tip assisted the Commission's investigation and was one of the underlying sources that formed the basis for the charges in the Covered Action; (ii) Claimant provided helpful assistance related to the Covered Action; (iii) there was substantial law enforcement interest in the information provided, as it related to detecting an ongoing fraud that was harming investors; and (iv) Claimant suffered personal and professional hardships.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of ^{***} percent (^{***}%) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman
Secretary

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