

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**SECURITIES EXCHANGE ACT OF 1934**  
**Release No. 80739 / May 22, 2017**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-17315**

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**In the Matter of**

**Ross, Sinclair & Associates, LLC and**  
**Murray Sinclair, Jr.**

**Respondents.**

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**EXTENSION ORDER**

The Division of Enforcement (“Division”) has requested an extension of time until February 22, 2018 to submit a Proposed Plan of Distribution under Rule 1101(a) of the Commission’s Rules on Fair Fund and Disgorgement Plans, 17 CFR § 201.1101(a).

On June 23, 2016, the Commission issued an Order Instituting Administrative and Cease-and-Desist Proceedings Pursuant to Sections 15(b) and 21C of the Securities Exchange Act of 1934, Sections 203(e) and 203(f) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940, Making Findings, and Imposing Remedial Sanctions and a Cease-and-Desist Order (“Order”)<sup>1</sup> against Ross, Sinclair & Associates, LLC (“RSA”) and Murray Sinclair, Jr. (“Sinclair”) (collectively, the “Respondents”). The Order found that RSA willfully violated and Sinclair willfully aided and abetted and caused RSA’s violations of Section 15(b)(7) of the Securities Exchange Act of 1934 and Rule 15b7-1 thereunder.. The Order required RSA to pay disgorgement of \$703,335.16, prejudgment interest of \$99,239.54, and a civil penalty of \$100,000.00 and Sinclair to pay a civil penalty

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<sup>1</sup> Exchange Act Rel. No. 78147 (June 23, 2016).

of \$50,000.00 for a total of \$952,574.70. The Order also created a Fair Fund, pursuant to Section 308(a) of the Sarbanes-Oxley Act of 2002, as amended.

In its request for an extension of time, the Division states that it needs time to solicit and evaluate fund administrator proposals, and time to develop the distribution methodology and draft the distribution plan.

Accordingly, for good cause shown, IT IS HEREBY ORDERED that the Division's request for an extension of time until February 22, 2018 to submit a Proposed Plan of Distribution is granted.

For the Commission, by its Secretary, pursuant to delegated authority.

Brent J. Fields  
Secretary