

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK

-----X  
SECURITIES & EXCHANGE COMMISSION,

Plaintiff,

v.

JUDGMENT

21-CV-5294 (ARR) (JRC)

DANIEL CATTLIN and WILLIAM R. SHUPE,

Defendants.

-----X

An Opinion and Order of the Honorable Allyne R. Ross, United States District Judge, having been filed on March 25, 2024, adopting the Report and Recommendation of Magistrate James R. Cho, dated March 8, 2024, granting the default motion; granting disgorgement in the amount of \$107,140, plus prejudgment interest in the amount of \$17,217.62; granting civil penalties in the amount of \$230,464; granting injunctive relief in the form of a permanent injunction prohibiting Cattlin from committing further violations of Section 10(b), Rule 10b-5, and Section 17(a) of the Securities and Exchange Acts, and prohibiting Cattlin from participating in any offering of penny stock or acting as an officer or director of a public company; it is

ORDERED and ADJUDGED that the default motion is granted; that disgorgement is granted in the amount of \$107,140, plus prejudgment interest in the amount of \$17,217.62; civil penalties are granted in the amount of \$230,464; and that injunctive relief is granted in the form of a permanent injunction prohibiting Cattlin from committing further violations of Section 10(b), Rule 10b-5, and Section 17(a) of the Securities and Exchange Acts, and prohibiting Cattlin from participating in any offering of penny stock or acting as an officer or director of a public company.

Dated: Brooklyn, New York  
March 26, 2024

Brenna B. Mahoney  
Clerk of Court

By: /s/Jalitzia Poveda

Deputy Clerk