
Annual Report on Existing SEC Websites and Digital Services

Pursuant to Section 3 of the
21st Century Integrated Digital Experience Act.



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ANNUAL REPORT ON EXISTING SEC WEBSITES OR DIGITAL SERVICES

Pursuant to Section 3 of the 21st Century Integrated Digital Experience Act

I. INTRODUCTION

The U.S. Securities and Exchange Commission (“SEC”) is providing this report regarding existing SEC websites or digital services pursuant to Section 3 of the 21st Century Integrated Digital Experience Act of 2018 (“Act”). Section 3 requires the SEC to submit an annual report to Congress that includes:

- A list of the websites or digital services maintained by the SEC that are most viewed or utilized by the public or are otherwise important for public engagement;
- A prioritization of websites or digital services that require modernization to meet the requirements under the Act; and
- An estimation of the cost and schedule of modernizing the prioritized websites or digital services.

II. METHODOLOGY USED

The SEC’s Office of Information Technology conducted a cross-agency inventory of external public websites for purposes of compiling this report. For each website, the SEC identified those websites “most viewed or utilized by the public or otherwise important for public engagement” and assessed each website’s compliance with the requirements delineated in Section 3.

Our inventory identified 21 SEC-managed external public websites. Eight of those sites represent approximately 99.5% of the website traffic associated with the 21 sites. The top four websites from the perspective of usage – SEC.gov, XBRL.Sec.gov, Investor.gov, and EDGAR Full Text Search site – are already compliant with Section 3(a) of the Act. The remaining four high-usage websites are non-compliant with one or more requirement, and have been identified for modernization as outlined in the next section. Of the low traffic external public websites, six are already compliant with Section 3(a) and the remaining seven are compliant with Section 3(a) in all respects, save their usability on mobile devices.

The table below provides additional information regarding the four websites that have been identified for modernization. In short, the four websites identified for modernization are part of the SEC’s EDGAR electronic filing system, and provide a means for public companies, SEC registrants, and other filers to access EDGAR and submit required filings for agency and public review.

Website or Digital Services	Background	URL	Page Views	Final Ranking	Importance to Public Ranking	Usage Ranking
EDGAR Filer Login	The EDGAR Filing website is used to submit or complete a majority of forms via the EDGARLink Online Form Submission.	https://www.edgarfiling.sec.gov/Welcome/EDGARLogin.htm	408,548	1	1	1
EDGAR Online Forms Login	The Online Forms Management website is used to complete Ownership forms, Form D, transmit XML tagged submissions, retrieve submission information, or update company information.	https://www.onlineforms.edgarfiling.sec.gov/Welcome/EDGAROnlineFormsLogin.htm	190,109	2	2	4
EDGAR Filer Management	The EDGAR Filer Management website is used to apply for EDGAR access, update passphrase if password has expired, or generate a new set of access codes.	https://www.filermanagement.edgarfiling.sec.gov/Welcome/EDGARFilerMgmtMain.htm	238,116	3	3	2
EDGAR Company Database Search	The EDGAR company database is a subset of the data maintained by the EDGAR system and contains over 500,000 records. Filers may search for and view company information about various EDGAR filing entities.	https://www.edgarcompany.sec.gov/	204,731	4	4	3

The following is a mapping of the websites against the criteria in section 3(a) of the Act:

Section 3(A) Compliance Criteria	EDGAR Filer Login	EDGAR Online Forms Login	EDGAR Filer Management	EDGAR Company Database Search
508 Compliant	No	No	Yes	No
Has a consistent appearance (consistent across SEC external websites)	No	No	No	No
Does not overlap with or duplicate any legacy websites	Yes	No	No	Yes
Contains a search function that allows users to easily search content intended for public use	Yes	Yes	No	No
Is provided through an industry standard secure connection	No	Yes	Yes	Yes
Is designed around user needs with data-driven analysis influencing management and development decisions, using qualitative and quantitative data to determine user goals, needs, and behaviors, and continually test the website, web-based form, web-based application, or digital service to ensure that user needs are addressed	No	No	No	No
Provide users with the option for a more customized digital experience that allows users to complete digital transactions in an efficient and accurate manner	No	No	No	No
Is fully functional and usable on common mobile devices	No	No	No	No

III. Cost and Schedule for Modernizing SEC Websites

The SEC is currently engaged in a multi-year effort to modernize the EDGAR system, including both internal components and public-facing components. SEC staff anticipate commencing a modernization of these four websites in FY 2021, subject to the availability of funding. This project will be undertaken as part of the SEC’s strategy for EDGAR modernization and would fulfill other important objectives in mind, including improving site security. The staff is currently considering a range of options, from bringing the sites into compliance with updated technologies to a full replacement. Consistent with agency policy, prior to commencing these efforts, staff will finalize the project details and plan, including to provide an estimated cost, which will be presented to the agency’s Information Technology Capital Planning Committee for review and approval.