

SEC INVESTOR ADVOCACY CLINIC SUMMIT

MARCH 31, 2022

PUBLIC OUTREACH EVENT

Safeguarding the Golden Years: Avoiding Financial Fraud

10 – 11:30 a.m. ET

The U.S. Securities and Exchange Commission's Retail Strategy Task Force and Office of the Investor Advocate are hosting an outreach event for senior investors and their caregivers.

Expert panelists will discuss the unique challenges facing senior investors and their caregivers in an increasingly complicated market. Law students from the Fordham University and University of Miami investor advocacy clinics, which provide free legal representation to harmed investors, will share lessons learned from senior fraud cases.

OUTREACH EVENT IS FREE and OPEN TO THE PUBLIC

Send questions for the panelists to Summit2022@sec.gov

[LINK TO EVENT](#)



OPENING REMARKS

GARY GENSLER

Chair
SEC

MODERATOR



TERESA VERGES

Regional Trial Counsel
Miami Regional Office
SEC

PANELISTS



RICHARD BEST

Director
New York Regional Office
SEC



NANCY LEAMOND

Executive Vice President and Chief
Advocacy and Engagement Officer
AARP



CHRISTINE N. KIEFFER

Senior Director
FINRA Investor Education Foundation

