

# SEC NEWS DIGEST

Issue 99-14

January 22, 1999

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## ENFORCEMENT PROCEEDINGS

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### SEC ISSUES ORDER MAKING FINDINGS AND IMPOSING A CEASE AND DESIST ORDER AGAINST GLOBAL INFORMATION SERVICES, INC. d/b/a INVESTMENT HOTLINES AND JAMES GRADY

On January 21, the Commission issued an Order Making Findings and Imposing a Cease and Desist Order (Order) against Global Information Services, Inc. d/b/a Investment Hotlines (Investment Hotlines), a Florida company, and its president James E. Grady (Grady). The Order settles a pending administrative proceeding instituted against Investment Hotlines and Grady on October 27, 1998 as part of the SEC's sweep against firms and individuals touting microcap securities over the Internet and who fail to disclose their relationship with the securities' issuers. The Order finds that Investment Hotlines failed to disclose to prospective investors that it had received \$6,000 and approximately 200,000 shares of stock from eleven of the companies it featured as investment opportunities on its Web site, Investment Hotlines OnLine, between December 1995 and September 1998 in violation Section 17(b) of the Securities Act of 1933 (Securities Act). The Order directs Investment Hotlines and Grady to cease and desist from committing or causing violations and future violations of Section 17(b) of the Securities Act. (Rel. 33-7632; File No. 3-9772)

### SEC OBTAINS TRO AGAINST ASSURED AND HARRIS FOR STOCK FRAUD

On January 20, the Commission sued Assured International Inc. and Sam E. Harris and obtained a Temporary Restraining Order and order freezing Defendants' assets. From February 1998 until the Commission obtained the Order, Defendants raised \$828,000 from the sale of Assured stock to 82 investors. The complaint alleges that investors were told that three officers controlled Assured and were never told that Harris is its President and controlled all investor funds. Harris is a repeat securities law violator; seven states have issued orders against him for securities law violations and the National Association of Securities Dealers censured, fined, and barred him from association with any NASD member. Investors were told that Assured would use investor funds only for marketing and sales of latex products, offering expenses, working capital, and sales commissions. The complaint charges that Assured has not manufactured or distributed latex products and that Defendants misused investor funds by paying \$202,422 (24 percent) of investor

funds to Harris. The complaint seeks a preliminary and permanent injunction, disgorgement, and civil penalties against Assured and Harris for violating the antifraud provisions, Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5. [SEC v. Assured International Inc. and Sam E. Harris, Civil Action No. 99-00530 DDP, BQRx, C.D. Cal.] (LR-16037)

**COMMISSION OBTAINS ORDER OF PERMANENT INJUNCTION WITH OTHER RELIEF AGAINST RONNIE NEIHART AND SYNVIION CORPORATION**

The Commission announced today that on January 20 after a hearing which was consolidated with the trial on the merits, Judge Willis B. Hunt of the United States District Court for the Northern District of Georgia entered an order against Ronnie R. Neihart (Neihart) and Synvion Corporation (Synvion) permanently enjoining them from further violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 (Securities Act) and Sections 10(b) and 13(a) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 10b-5, 13a-1 and 13a-13 thereunder. The Court found that defendants Neihart and Synvion engaged in fraud in the offer and sale of unregistered securities in the form of Synvion common stock using misrepresentations and omissions of fact to investors.

The Court found that Neihart and Synvion effected the scheme by falsely representing that Synvions's stock would be quoted on NASDAQ, touted false representations that the company's primary assets of ETA10 supercomputers had a value of \$20 million, misrepresented the existence of contracts between Synvion and various corporations when no such contracts existed and misrepresented that Synvion had a stock lease agreement with Prudential. The Court found that the defendants misled investors as to the status of a 1996 North Carolina cease and desist order and that Neihart used investor funds for personal items. The Court also found that Neihart and Synvion sold 11 million shares of Synvion stock to 70 investors in six states since July 1997. The Court ordered Neihart to pay disgorgement of \$961,000 with prejudgment interest and ordered Synvion to pay disgorgement in the amount of \$961,000 with prejudgment interest. The calculation of disgorgement was based upon the amount of Synvion stock sold by the defendants in the relevant period. The Court ordered Neihart to pay a civil penalty in an amount to be determined at a later date, and ordered that Neihart be permanently barred from serving as an officer or director of an issuer. The Commission did not seek a civil penalty against defendant Synvion. [SEC v. Ronnie R. Neihart and Synvion Corporation, Civil Action File No. 1:98-CV-3341-WBH, ND Ga.] (LR-16038)

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**INVESTMENT COMPANY ACT RELEASES**

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## **WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO, ET AL.**

An order has been issued pursuant to Section 26(b) of the Investment Company Act to Western Reserve Life Assurance Co. of Ohio and WRL Series Annuity Account (Account) approving the substitution of shares of the Global Portfolio of the WRL Series Fund, Inc. (Fund) for shares of the Global Sector Portfolio of the Fund which currently are held by the Account to support individual flexible premium deferred variable annuity contracts. (Rel. IC-23657 - January 20)

## **TELESYSTEM INTERNATIONAL WIRELESS INC.**

An order has been issued under Section 6(c) of the Investment Company Act on an application filed by Telesystem International Wireless Inc. The order permits applicant and its controlled companies to engage in certain foreign telecommunications infrastructure projects without being subject to the provisions of the Act. (Rel. IC-23658; International Series Rel. 1177 - January 20)

## **CITYFED FINANCIAL CORPORATION**

A notice has been issued giving interested persons until February 11, 1999, to request a hearing on an application filed by CityFed Financial Corp. (CityFed). The requested order would exempt CityFed from all provisions of the Act except Sections 9, 17(a) (modified as discussed in the application), 17(d) (modified as discussed in the application), 17(e), 17(f), 36 through 45, and 47 through 51 of the Act and the rules thereunder, until the earlier of one year from the date of the requested order or such time as CityFed would no longer be required to register as an investment company under the Act. The order would extend an exemption granted until February 12, 1999. (Rel. IC-23659 - January 20)

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## **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-1 AUTOBYTEL COM INC, 18872 MACARTHUR BLVD, SUITE 200, IRVINE, CA 92612  
(949) 225-4500 - 5,175,000 (\$82,800,000) COMMON STOCK. (FILE 333-70621 -  
JAN 15) (BR 2)

S-8 PRISON REALTY CORP, 10 BURTON HILLS BOULEVARD, NASHVILLE, TN 37215  
(615) 263-0200 - 1,803,787 (\$38,910,845.76) COMMON STOCK (FILE 333-70625  
- JAN 15) (BR 8)

SB-2 NUWAVE TECHNOLOGIES INC, ONE PASSAIC AVE, FAIRFIELD, NJ 07004  
(973) 882-8810 - 6,404,263 (\$17,611,723) COMMON STOCK (FILE 333-70627 -  
JAN 15) (BR 9)

S-1 ABGENIX INC, 7601 DUMBARTON CIRCLE, FREMONT, CA 94555 (510) 608-6500 -  
1,146,300 (\$17,767,650) COMMON STOCK (FILE 333-70631 - JAN. 15) (BR. 1)

S-8 BUCKLE INC, 2407 W 24TH ST, KEARNEY, NE 68847 (308) 236-8491 -  
2,662,500 (\$76,546,875) COMMON STOCK. (FILE 333-70633 - JAN. 15) (BR. 2)

S-3 CHASE MANHATTAN CORP /DE/, 270 PARK AVE, NEW YORK, NY 10017  
(212) 270-6000 (FILE 333-70639 - JAN. 15) (BR. 7)

S-8 BUCKLE INC, 2407 W 24TH ST, KEARNEY, NE 68847 (308) 236-8491 -  
1,500,000 (\$43,125,000) COMMON STOCK (FILE 333-70641 - JAN 15) (BR 2)

S-3 ASHLAND INC, 1000 ASHLAND DRIVE, RUSSELL, KY 41169 (606) 329-3333 (FILE  
333-70651 - JAN 15) (BR. 4)

S-8 NOVACARE INC, 1016 W NINTH AVE, KING OF PRUSSIA, PA 19406 (215) 992-7200  
- 2,800,000 (\$7,875,000) COMMON STOCK (FILE 333-70653 - JAN. 15) (BR. 1)

S-3 GENERAL MOTORS ACCEPTANCE CORP, 3044 W GRAND BLVD, DETROIT, MI 48202  
(313) 556-1508 - 1,397,865,000 (\$1,397,865,000) STRAIGHT BONDS (FILE  
333-70661 - JAN 15) (BR. 7)

S-3 HOUSTON INDUSTRIES INC, 1111 LOUISIANA, HOUSTON, TX 77002 (713) 207-3000  
(FILE 333-70665 - JAN 15) (BR. 2)

S-8 KEYCORP /NEW/, 127 PUBLIC SQ, CLEVELAND, OH 44114 (216) 689-6300 -  
2,000,000 (\$63,440,000) COMMON STOCK (FILE 333-70669 - JAN. 15) (BR. 7)

S-8 DYNAMICWEB ENTERPRISES INC, BUILDING F SUITE 209, 271 ROUTE 46W,  
FAIRFIELD, NJ 07004 (973) 244-1000 - 413,018 (\$1,519,906) COMMON STOCK.  
(FILE 333-70671 - JAN 15) (BR 3)

S-4 AMERICAN TOWER CORP /MA/, 116 HUNTINGTON AVE, BOSTON, MA 02116  
(617) 375-7500 - 17,737,500 (\$521,127,750) COMMON STOCK (FILE 333-70683 -  
JAN 15) (BR 7)

S-8 POST PROPERTIES INC, ONE RIVERSIDE, SUITE 800, ATLANTA, GA 30327  
(404) 846-5000 - 150,000 (\$5,667,195) COMMON STOCK (FILE 333-70689 -  
JAN 15) (BR 8)

S-3 ILLINOIS TOOL WORKS INC, 3600 W LAKE AVE, GLENVIEW, IL 60025  
(847) 724-7500 - 500,000,000 (\$500,000,000) STRAIGHT BONDS (FILE  
333-70691 - JAN 15) (BR 6)

S-8 VITROSEAL INC, 9005 COBBLE CANYON LANE, SANDY, UT 84093 (718) 438-8788  
- 250,000 (\$12,500) COMMON STOCK (FILE 333-70695 - JAN 15) (BR. 7)

S-3 INLAND REAL ESTATE CORP, 2901 BUTTERFIELD RD, OAK BROOK, IL 60523  
(708) 218-8000 - 5,000,000 (\$52,250,000) COMMON STOCK. (FILE 333-70699 -  
JAN 15) (BR 8)

S-8 BIOGEN INC, 14 CAMBRIDGE CTR, CAMBRIDGE, MA 02142 (617) 679-2000 -  
2,000,000 (\$164,187,500) COMMON STOCK. (FILE 333-70701 - JAN. 15) (BR. 1)

S-8 KEYCORP /NEW/, 127 PUBLIC SQ, CLEVELAND, OH 44114 (216) 689-6300 -  
10,000,000 (\$317,200,000) COMMON STOCK. (FILE 333-70703 - JAN. 15)  
(BR 7)

S-8 LEARNING CO INC, ONE ATHENAEUM ST, CAMBRIDGE, MA 02142 (617) 494-1200 -  
39,465 (\$282,332.61) COMMON STOCK (FILE 333-70705 - JAN. 15) (BR. 3)

- S-4 ALLIED WASTE INDUSTRIES INC, 15880 NORTH GREENWAY-HADEN LOOP, SUITE 100, SCOTTSDALE, AZ 85260 (602) 423-2946 - 444,655,000 (\$444,655,000) STRAIGHT BONDS. (FILE 333-70709 - JAN. 15) (BR. 6)
- S-8 AMERICAN MILLENNIUM CORP INC, 29425 COUNTY RD 561, TAVARES, FL 32778 (352) 742-5010 - 200,000 (\$136,000) COMMON STOCK (FILE 333-70711 - JAN. 15) (BR. 6)
- S-1 CARRIAGE SERVICES INC, 1300 POST OAK BLVD STE 1500, HOUSTON, TX 77056 (281) 556-7400 - 4,600,000 (\$126,776,000) COMMON STOCK (FILE 333-70715 - JAN. 15) (BR. 8)
- S-3 COMPLETE BUSINESS SOLUTIONS INC, 32605 W TWELVE MILE RD, STE 250, FARMINGTON HILLS, MI 48334 (248) 488-2088 - 4,600,000 (\$145,187,500) COMMON STOCK (FILE 333-70719 - JAN 15) (BR 3)
- S-8 VERITAS DGC INC, 3701 KIRBY DR, STE 112, HOUSTON, TX 77098 (713) 512-8300 - 1,204,550 (\$17,164,837 50) COMMON STOCK (FILE 333-70721 - JAN 15) (BR 4)

**RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
A I RECEIVABLES CORP	DE					X	X				12/18/98	
ADIRONDACK FINANCIAL SERVICES BANCO RP INC	DE	X	X	X	X	X	X	X	X	X	12/31/98	
ADIRONDACK FINANCIAL SERVICES BANCO RP INC	DE					X	X				01/21/99	
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-1	NV							X			01/15/99	
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-2	NV							X			01/15/99	
AFFILIATED MANAGERS GROUP INC	DE					X	X				01/06/99	
AIRTOUCH COMMUNICATIONS INC	DE					X					01/19/99	
AIRTRAN HOLDINGS INC	NV					X					01/11/99	
ALL AMERICAN SPORTPARK INC	NV	X					X				05/05/98	
ALL AMERICAN SPORTPARK INC	NV	X					X				12/31/98	
AMERICAN AIRCARRIERS SUPPORT INC	DE						X				11/19/98	AMEND
AMERICAN BANK NOTE HOLOGRAPHICS INC	NY					X					01/20/99	
AMERICAN ELECTROMEDICS CORP	DE						X				05/05/98	AMEND
AMERICAN MOBILE SATELLITE CORP	DE					X					01/15/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
AMERICAN TECHNOLOGIES GROUP INC	NV		X									01/05/99	
AMERICAN TOWER CORP /MA/	DE				X							01/21/99	
AMERITECH CORP /DE/	DE				X	X						01/21/99	
AMKOR TECHNOLOGY INC	DE				X							01/20/99	
AMR CORP	DE	X										11/19/98	
AMRESCO COMMERCIAL MORTGAGE FUNDING I CORP	DE				X	X						01/19/99	
ANTIGUA FUNDING CORP	DE				X							01/08/99	
APPLE RESIDENTIAL INCOME TRUST INC	VA		X	X	X							01/05/99	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X						01/07/98	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X						01/07/98	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X						01/07/99	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X						01/07/99	
ASHA CORP	DE		X			X						01/08/99	
ASSOCIATES CORPORATION OF NORTH AME RICA	DE				X	X						01/20/99	
ASSOCIATES HOME EQUITY LOAN TRUST 1 998-1	TX				X	X						12/31/98	
AT HOME CORP	DE				X	X						01/11/99	
AT HOME CORP	DE				X	X						01/18/99	
AT&T UNIVERSAL FUNDING CORP	DE	X										12/31/98	
ATLANTIC RICHFIELD CO /DE	DE				X							01/18/99	
AUSTINS STEAKS & SALOON INC	DE				X							01/14/99	
AUTODESK INC	DE				X	X						01/20/99	
AVIRON	CA				X	X						01/12/99	
BACOU USA INC	DE				X							01/19/99	
BANK ONE CORP	DE				X	X						01/19/99	
BANKAMERICA CORP/DE/	DE				X	X						01/19/99	
BAY VIEW SECURITIZATION CORP	DE					X						01/15/99	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE				X	X						01/21/99	
BELLSOUTH CORP	GA				X							01/20/99	
BEYOND COM CORP	DE				X	X						01/12/99	
BIG ENTERTAINMENT INC	FL				X	X						01/11/99	
BLOCK MORTGAGE FINANCE INC	DE					X						01/20/98	
BLOCK MORTGAGE FINANCE INC	DE					X						01/21/99	
BRANDYWINE REALTY TRUST	MD				X							01/07/99	
CALCOMP TECHNOLOGY INC	DE				X	X						01/14/99	
CAPITA EQUIPMENT RECEIVABLES TRUST 1996-1	NY				X							12/08/98	
CAPITA EQUIPMENT RECEIVABLES TRUST 1996-1	NY				X							01/08/99	
CARDINAL HEALTH INC	OH				X	X						01/21/99	
CASELLA WASTE SYSTEMS INC	DE				X							01/21/99	
CATERPILLAR INC	DE				X							01/20/99	
CELLULAR COMMUNICATIONS INTERNATION AL INC	DE				X	X						01/19/99	
CENTRAL HUDSON GAS & ELECTRIC CORP	NY				X							12/22/98	
CENTRAL MAINE POWER CO	ME				X							01/19/99	
CHARLES RIVER ASSOCIATES INC	MA				X	X						09/14/98	
CHASE CREDIT CARD MASTER TRUST	NY				X	X						01/15/99	
CHASE CREDIT CARD MASTER TRUST	NY				X	X						01/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X						01/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X						01/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X						01/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X						01/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X						01/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X						01/15/99	
CHASE MANHATTAN BANK USA	DE				X	X						01/15/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE					X	X				01/15/99	
CHASE MANHATTAN GRANTOR TRUST 1995-A	NY					X	X				01/15/99	
CHASE MANHATTAN GRANTOR TRUST 1995-B	NY					X	X				01/15/99	
CHASE MANHATTAN GRANTOR TRUST 1996-A	NY					X	X				01/15/99	
CHASE MANHATTAN HOME EQUITY LOAN TRUST 1995-1	NY					X	X				01/15/99	
CHASE MORTGAGE FINANCE CORP	DE					X	X				01/21/99	
CMP GROUP INC	ME					X					01/19/99	
CMS ENERGY CORP	MI					X	X				01/20/99	
COLONIAL BANCGROUP INC	DE					X					12/19/98	
COMCAST CELLULAR CORP						X					01/20/99	
COMCAST CORP	PA					X	X				01/20/99	
COMDISCO INC	DE							X			01/19/99	
COMMERCIAL LABOR MANAGEMENT INC	NV	X									12/08/98	
COMMUNITY BANCSHARES INC /DE/	DE					X					01/21/99	
COMPAQ COMPUTER CORP	DE					X	X				01/21/99	
COMPLETE BUSINESS SOLUTIONS INC	MI					X					02/20/99	
CONEXANT SYSTEMS INC	DE					X	X				01/20/99	
CONSOLIDATED GRAPHICS INC /TX/	TX					X	X				01/13/99	
COOPER COMPANIES INC	DE					X	X				01/11/99	
CORRECTIONAL SERVICES CORP	DE					X	X				01/12/99	
COVEST BANCSHARES INC	DE					X	X				01/21/99	
CRIIMI MAE INC	MD					X					01/15/99	
CROMPTON & KNOWLES CORP	MA		X				X				11/20/98	AMEND
CSL LIGHTING MANUFACTURING INC	DE			X			X				01/18/99	
CTC COMMUNICATIONS CORP	MA					X					01/20/99	
CWMBS INC	DE					X	X				12/22/98	
CYRK INC	DE					X	X				12/31/98	
DAL TILE INTERNATIONAL INC	DE					X	X				01/20/99	
DELTIC TIMBER CORP	DE					X					01/14/99	
DIME BANCORP INC	DE					X					01/21/99	
DISCOVER CARD TRUST 1991 F	DE					X	X				01/15/99	
DISCREET LOGIC INC	A8					X	X				01/18/99	
DYNATEC INTERNATIONAL INC	UT					X	X				01/20/99	
ELCOR CORP	DE					X	X				01/21/99	
EMBRYO DEVELOPMENT CORP	DE		X								01/11/99	
ENTERPRISE SOFTWARE INC	DE					X	X				03/26/98	
ENTERPRISE SOFTWARE INC	DE					X	X				10/13/98	
EOP OPERATING LTD PARTNERSHIP	DE		X			X	X				01/07/99	
EQUITY CORP INTERNATIONAL	DE					X	X				01/19/99	
EQUITY OFFICE PROPERTIES TRUST	MD		X			X	X				01/07/99	
EXCITE INC	DE					X	X				01/19/99	
EXPERT SOFTWARE INC	DE		X								01/15/99	
F&M BANCORP	MD					X	X				01/20/99	
FCNB CORP	MD						X				01/21/99	
FFY FINANCIAL CORP	DE					X	X				01/19/99	
FIRST CASH FINANCIAL SERVICES INC	DE						X				01/14/99	
FIRST INDEPENDENCE CORP /DE/	DE		X								01/21/99	
FIRST UNION COMMERCIAL MORTGAGE SECURITIES INC	DE					X					01/15/99	
FIRSTWORLD COMMUNICATIONS INC	DE					X					01/07/99	
FORD MOTOR CO	DE					X	X				01/21/99	
FOUR MEDIA CO	DE					X	X				01/18/99	
FPIC INSURANCE GROUP INC	FL		X								01/06/99	
FRANKLIN RESOURCES INC	DE					X	X				01/21/99	
GENERAL MOTORS ACCEPTANCE CORP	NY					X					01/20/99	
GENERAL MOTORS ACCEPTANCE CORP	NY					X					01/20/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
GENERAL MOTORS CORP	DE					X					01/20/99	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE					X	X				01/19/99	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE					X	X				01/21/99	
GS MORTGAGE SEC CORP II COMM MORT P A THRO CERT SER 1997-GL1			X								01/21/99	
HALTER MARINE GROUP INC	DE					X	X				01/20/99	
HARVEST RESTAURANT GROUP INC	TX	X	X	X		X					01/14/99	
HEARST ARGYLE TELEVISION INC	DE					X	X				01/18/99	
HELLER FINANCIAL INC	DE					X	X				01/20/99	
HERLEY INDUSTRIES INC /NEW	DE		X			X					01/06/99	
HERTZ CORP	DE					X	X				01/20/99	
IBM CREDIT RECEIVABLES INC	DE					X	X				01/15/99	
IBM CREDIT RECEIVABLES LEASE ASSET MASTER TRUST	DE					X	X				01/15/99	
IMAGE ENTERTAINMENT INC	CA		X				X				01/11/99	
IMPAC CMB TRUST SERIES 1998-3	CA	X									07/27/98	
INFONAUTICS INC	PA					X	X				11/16/98	
INFRACORPS INC	VA					X	X				01/13/99	
INTEGRA LIFESCIENCES CORP	DE		X				X				01/05/98	
INTEGRATED CIRCUIT SYSTEMS INC	PA					X	X				01/20/99	
INTELLIGROUP INC	NJ					X	X				01/08/99	
INTERSTATE ENERGY CORP	WI					X	X				01/20/99	
KEYCORP /NEW/	OH					X	X				01/19/99	
KIMBELL DECAR CORP	CO	X									01/12/99	
KTI INC	NJ					X					01/21/99	
LAM RESEARCH CORP	DE					X	X				01/18/99	
LAS VEGAS DISCOUNT GOLF & TENNIS IN C	CO		X				X				05/05/98	
LAS VEGAS DISCOUNT GOLF & TENNIS IN C	CO		X				X				12/31/98	
LEGACY SOFTWARE INC	DE					X					01/14/99	
LEVEL 8 SYSTEMS INC	NY					X	X				01/21/99	
MAXTOR CORP	DE					X	X				05/18/98	
MAXTOR CORP	DE					X	X				01/22/99	
MERCANTILE CREDIT CARD MASTER TRUST	NY					X	X				01/11/99	
METEOR INDUSTRIES INC	CO	X									01/11/99	
MILLENNIUM ISTECH INC	NV	X	X				X				03/31/98	AMEND
ML MEDIA PARTNERS LP	NY		X				X				01/20/99	AMEND
MORGAN J P & CO INC	DE					X	X				01/19/99	
MORTGAGE CAPITAL FUNDING INC	DE					X	X				01/13/99	
NAVIGANT INTERNATIONAL INC	DE					X	X				01/11/99	
NISSAN AUTO RECEIVABLES CORP /DE	DE					X	X				12/31/98	
NORFOLK SOUTHERN CORP	VA					X	X				01/20/99	
NORFOLK SOUTHERN RAILWAY CO/VA	VA					X	X				01/20/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-31 TRUST						X	X				12/23/98	
NORWEST ASSET SECURITIES CORP	DE					X	X				01/21/99	
OCCIDENTAL PETROLEUM CORP /DE/	DE					X	X				01/13/99	
ODS NETWORKS INC	DE					X	X				01/20/99	
OMI TRUST 1998-D	NC					X	X				12/15/98	
OMI TRUST 1998-D	NC					X	X				01/15/99	
OPENROUTE NETWORKS INC	MA		X								01/13/99	
OPTEL INC	DE					X	X				01/19/99	
PACIFIC GAS & ELECTRIC CO	CA					X					01/20/99	
PARK PLACE ENTERTAINMENT CORP	DE					X	X				01/15/99	
PATRIOT BANK CORP	DE					X	X				11/06/98	AMEND
PEN HOLDINGS INC	TN					X	X				01/11/99	
PENNZOIL QUAKER STATE CO	DE						X				12/30/98	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
PEP BOYS MANNY MOE & JACK	PA				X	X					01/19/99	
PETERSEN COMPANIES INC	DE	X				X					01/15/99	
PETROMINERALS CORP	DE	X									12/30/98	
PETSEC ENERGY INC	NV				X	X					01/19/99	
PG&E CORP	CA				X						01/20/99	
PHONETEL TECHNOLOGIES INC	OH				X						01/18/99	
PHP HEALTHCARE CORP	DE				X	X					12/18/98	
PNC MORTGAGE SECURITIES CORP	DE					X					12/22/98	
POINTE COMMUNICATIONS CORP	NV				X	X					01/06/99	
POLAROID CORP	DE				X	X					01/21/99	
POWERTEL INC /DE/	DE				X	X					01/05/99	
PRIMEX TECHNOLOGIES INC	VA					X					11/06/98	AMEND
PS PARTNERS II LTD	CA				X	X					01/19/99	
R&B FALCON CORP	DE		X			X					12/01/98	AMEND
REALTY INCOME CORP	DE					X					01/21/99	
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X					12/23/98	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE				X	X					12/22/98	
ROMTECH INC	PA				X	X					01/19/99	
ROYAL PRECISION INC	DE				X						01/18/99	
SAFE TECHNOLOGIES INTERNATIONAL INC	DE				X						01/21/99	
SANTA FE ENERGY RESOURCES INC	DE				X	X					01/13/99	
SBARRO INC	NY				X	X					01/19/99	
SBC COMMUNICATIONS INC	DE				X						01/21/99	
SEARS ROEBUCK & CO	NY				X	X					01/21/99	
SERVICE CORPORATION INTERNATIONAL	TX		X		X	X					01/20/99	
SIMON PROPERTY GROUP LP	DE				X	X					01/20/99	
SIMS COMMUNICATIONS INC	DE				X	X					01/20/99	
SIRROM CAPITAL CORP	TN				X	X					01/20/99	
SMITH CHARLES E RESIDENTIAL REALTY INC	MD		X								01/05/99	
SOFTWARE PUBLISHING CORP HOLDINGS I NC	DE				X	X					01/11/99	
SOURCE INFORMATION MANAGEMENT CO	MI		X			X					01/07/99	
SOUTHERN CALIFORNIA WATER CO	CA					X					01/19/99	
SOUTHWEST BANCORP OF TEXAS INC	TX				X	X					01/19/99	
SPARTA PHARMACEUTICALS INC	DE				X	X					01/19/99	
SPECTRUM LABORATORIES INC /CA	DE					X					12/17/98	AMEND
SPR INC	DE					X					01/10/99	
STANLEY WORKS	CT				X	X					09/23/98	
STARWOOD HOTEL & RESORTS WORLDWIDE INC	MD	X	X			X					01/06/99	
STARWOOD HOTELS & RESORTS	MD	X	X			X					01/06/99	
SYKES ENTERPRISES INC	FL				X	X					01/14/99	
SYMANTEC CORP	DE					X					01/06/99	
TEL SAVE COM INC	DE		X		X						11/13/98	
TEMTEX INDUSTRIES INC	DE		X			X					01/05/99	
THISTLE GROUP HOLDINGS CO						X					01/15/99	
TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7	DE					X					01/15/99	
TOUCHSTONE APPLIED SCIENCE ASSOCIAT ES INC /NY/	DE					X					11/09/98	AMEND
TRANSMEDIA ASIA PACIFIC INC	DE					X					04/03/97	AMEND
TRANSMEDIA ASIA PACIFIC INC	DE		X			X					12/02/97	AMEND
TRANSWITCH CORP /DE	DE				X	X					01/21/99	
TRIANGLE IMAGING GROUP INC	FL				X						12/14/99	
TRIDON ENTERPRISES INC	CO				X	X					01/21/99	
TURBODYNE TECHNOLOGIES INC	DE				X						01/12/99	
UAL CORP /DE/	DE					X	X				01/21/99	
UNION PACIFIC CORP	UT		X								01/21/99	
UNION PACIFIC RAILROAD CO/DE	DE		X								01/21/99	

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	CODE	1	2	3	4	5	6	7	8	9		
UNIROYAL CHEMICAL CO INC	NJ		X		X	X					11/20/98	AMEND
UNITED DOMINION REALTY TRUST INC	VA				X	X					01/20/99	
UNITED PANAM MORTGAGE LOAN ASSET BA CKED CERT SERIES 1997-1	DE	X									10/26/98	
URANIUM RESOURCES INC /DE/	DE				X	X					12/16/98	
US AIRWAYS GROUP INC	DE				X	X					12/31/98	
US AIRWAYS INC	DE				X	X					12/31/98	
US BANCORP \DE\	DE				X	X					01/20/99	
USN COMMUNICATIONS INC	DE				X	X					01/20/99	
VISIONEER INC	DE	X				X					01/06/99	
WACHOVIA CORP/ NC	NC				X						01/14/99	
WALLACE COMPUTER SERVICES INC	DE					X					01/15/99	
WEINGARTEN REALTY INVESTORS /TX/	TX				X	X					01/21/99	
WERNER ENTERPRISES INC	NE				X	X					01/21/99	
WEYERHAEUSER CO	WA				X						01/20/99	
WILSHIRE FUNDING CORP MORT BACKED C ERT SERIES 1998-2	DE	X									09/25/98	
WISCONSIN GAS CO	WI				X	X					01/15/99	
WORLDPORT COMMUNICATIONS INC	DE				X	X					12/31/98	
YAHOO INC	CA	X				X					06/12/98	AMEND
YAHOO INC	CA	X				X					10/20/98	AMEND
ZEMEX CORP	DE				X	X					01/15/99	