

# sec news digest

LIBRARY

JAN 25 1994

Issue 94-13

U.S. SECURITIES  
EXCHANGE COMMISSION

January 21, 1994

---

## ANNOUNCEMENTS

---

### CHAIRMAN LEVITT ANNOUNCES THE APPOINTMENT OF CHARLES SENATORE AS SEC'S SOUTHEAST REGIONAL DIRECTOR

Chairman Levitt today announced that he has appointed Charles V. Senatore as the Regional Director of the SEC's Southeast operations. Mr. Senatore will head the Southeast Region with its headquarters office in Miami and a district office in Atlanta. In this capacity, he will manage the Commission's enforcement, examination, bankruptcy and disclosure efforts for the entire region.

Since 1991, Mr. Senatore has been a litigation partner in the Palm Beach offices of Cadwalader, Wickersham & Taft, where he was responsible for coordinating and conducting trials with an emphasis on securities and financial fraud. From 1988 to 1991, he was a partner in the law firm of Jordan, Schulte & Burchette in Miami. Beginning in 1985, he served as an Assistant U.S. Attorney for the Southern District of Florida and in 1988 was appointed Chief of the Public Corruption Section in that office. (Press Rel. 94-03)

---

## ENFORCEMENT PROCEEDINGS

---

### NASD DISCIPLINARY FINDINGS AGAINST THOMAS F. WHITE & CO., INC. AND JOHN BOUDINOT SUSTAINED AND SANCTIONS MODIFIED

The Commission has sustained the NASD's findings of violation against Thomas F. White & Co., Inc. of San Francisco, California and John Warren Boudinot, one of the firm's traders. The NASD found the firm and Boudinot responsible for charging customers excessive markups in the sale of 34 corporate bonds during March and April 1990. It censured the firm and Boudinot and fined them \$10,000. The firm was also ordered to refund \$19,509 to purchasers. The refund is to be accompanied by a letter disclosing that the refunds were ordered by the NASD.













