

Green Impact Exchange, LLC

Date of filing: May 9, 2024

Date as of which the information is accurate: May 9, 2024

Exhibit C

For each subsidiary or affiliate of the applicant, and for any entity with whom the applicant has contractor or other agreement relating to the operation of an electronic trading systems to be used to effect transactions on the exchange ("System"), provide the following information:

1. *Name and address of organization*
2. *Form of organization (e.g., association, corporation, partnership, etc.)*
3. *Name of state and statute citation under which organized. Date of incorporation in present form.*
4. *Brief description of nature and extent of affiliation.*
5. *Brief description of business or functions. Description should include responsibilities with respect to operation of the System and/or execution, reporting, clearance, or settlement of transactions in connection with operation of the System.*
6. *A copy of the constitution.*
7. *A copy of the articles of incorporation or association including all amendments*
8. *A copy of existing by-laws or corresponding rules or instruments.*
9. *The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions.*
10. *An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association.*

Affiliates of the Applicant:

1. Green Exchange, PBC ("GEPBC"). Address: 11 Meadow View Road, Gladstone, NJ 07934
2. Public Benefit Corporation
3. Delaware, pursuant to Sections 101, 108(c) and 361 of the Delaware General Corporations Law. Date of Formation is January 21, 2022.
4. Nature of Affiliation: GEPBC is the sole member of Green Impact Exchange, LLC ("GIX LLC" or the "Exchange"). Pursuant to Article VII, Section 7.04 of the First Amended and Restated Limited Liability Company Agreement of Green Impact Exchange, LLC (the GIX LLC Agreement), GEPBC will elect all directors of the Board of Directors of the Exchange (the "GIX Board"), except for those Directors approved by GIX LLC members pursuant to Article VII, Section 7.04(b) of the GIX LLC Agreement. GEPBC will have the right to nominate up to three (3) directors to the GIX LLC Board, pursuant to the process described in Article III, Section 3.01(c) of the First Amended and Restated Bylaws of Green Exchange, PBC. Exchange Members who are not also shareholders of GEPBC will not have any ownership interest in the Exchange.
5. GEPBC is a Delaware public benefit corporation that was formed for the purpose of (i) incentivizing companies to reduce the environmental costs and impacts of operations,

establish sustainable corporate governance standards, and embed green behaviors and feedback into all levels of the company; (ii) educating investors regarding public companies' commitments to green values as a core element of their mission; and (iii) amplifying investors' influence regarding public companies achieving green commitments. GEPBC is the holding company for GIX LLX membership interests, and GEPBC does not and will not have day-to-day operational responsibilities in connection with the operation of the System.

6. N/A
7. Attached
8. Attached
9. Officers/Governors/Members of standing committees:

GEPBC:

Daniel M. Labovitz, Chief Executive Officer

Charles Dolan, President and Chief Operating Officer

Alexander Kontoleon, Chief Strategy Officer and corporate secretary

Robert Wotczak, Director

GIX LLC:

See Exhibit J

10. N/A

Contractors responsible for operation of the System

GIX LLC has contracted with MEMX Technologies LLC ("MEMX Technologies") to operate the System. As described in Exhibit E, MEMX will supply the resources, technology, and connectivity necessary to operate all core services of the System.

1. MEMX Technologies LLC (f/k/a EXM Technologies LLC). Address: 111 Town Square Place, Suite 520, Jersey City, NJ 07310
2. Limited Liability Corporation
3. Delaware, pursuant to Delaware Limited Liability Company Act, 6 Del. C. Section 18-101 et seq. Date of Formation is October 3, 2018.
4. Nature of Affiliation: Contractor. GIX LLC has contracted with MEMX Technologies to operate the System. MEMX Technologies is affiliated with MEMX LLC ("MEMX Exchange"), which is a registered national securities exchange. MEMX Technologies develops and operates proprietary trading technology necessary to operate a National Market System-compliant registered securities exchange. As described in Exhibit E, MEMX Technologies will be the vendor for GIX for both the hardware and software that is needed to operate and maintain the System. MEMX Exchange is not a party to any contract with, and has no business relationship with, GIX LLC.
5. Brief description of business or functions: MEMX Technologies will supply all necessary hardware and connectivity to operate the System, install market-relevant software on the hardware platform, maintain the hardware and software, including updates to necessary software and systems. GIX will use MEMX Technologies' industry connection software

to ensure compliance with trade reporting and clearance functions. All communications hardware will be maintained by MEMX Technologies as GIX's agent. MEMX Technologies will also support intra-day compliance monitoring by GIX and provide timely reporting to GIX's Market Operations staff on any potential Regulation SCI events (direct or indirect) or other operational issues with the System, pursuant to a service level agreement between the parties. All communications between the users of GIX and the System will be monitored and controlled by GIX Market Operations. GIX may contract with MEMX Technologies to handle situations where additional Market Operations coverage is needed, but those personnel will only be able to access either MEMX Technologies or GIX trade data in the course of a single trading day (which includes the Regular Trading Hours and Pre-Market and Post-Market Sessions), but not both sets of trade data on the same day. The trading platforms operated by MEMX Technologies (including GIX LLC, MEMX Exchange, and any other exchanges or trading platforms operated by MEMX Technologies) currently are and will be segregated to ensure that GIX is not deemed to be a facility of MEMX Exchange. MEMX Technologies will not use disclose information or data (i) about or originating with GIX in its dealings with MEMX Exchange or (ii) about or originating with MEMX Exchange in its dealings with GIX.

6. N/A.
7. N/A
8. N/A
9. Officers/Governors/Members of standing committees:

Jonathan Kellner, Chief Executive Officer
Dominick Paniscotti, Chief Technology Officer

10. N/A