IM Information Update

MAY 2013 | IM-INFO-2013-04

FORM 13F - FILING OF NEW ONLINE FORM WITH XML INFORMATION TABLE TO BEGIN MAY 20

On March 29, 2013, the staff of the Division of Investment Management published a Notice to EDGAR Form 13F Filers announcing technical changes to Form 13F. Starting Monday, May 20, filers will be required to use the new online form and to construct their Information Tables according to the Form 13F XML Technical Specification. As of 5:30pm on Friday, May 17, filers will no longer be able to use the current text-based Form 13F format.

The Investment Management Division works to:

- protect investors
- ▲ promote informed investment decisions and
- ▲ facilitate appropriate innovation in investment products and services

through regulating the asset management industry.

If you have any questions about XML or other procedural or technical issues, please contact:

SEC Office of Information Technology

Filer Technical Support Phone: 202.551.8900

If you have substantive questions about Form 13F, please contact:

SEC Division of Investment Management Chief Counsel's Office/Public Inquiry

Phone: 202.551.6825 Email: IMOCC@sec.gov

