

**FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER  
OF THE COMMISSION ON SEPTEMBER 16, 2022 AS TO CLAIMANT 4  
PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

**PRELIMINARY DETERMINATIONS OF THE CLAIMS REVIEW STAFF**

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission (the “Commission”) received whistleblower award claims from

[REDACTED]

[REDACTED] (“Claimant 4”) for the above-referenced Commission enforcement action (the “Covered Action”) against [REDACTED]

[REDACTED]. Pursuant to Section 21F of the Securities Exchange Act of 1934 (“Exchange Act”), the Claims Review Staff has evaluated these claims in accordance with the criteria set forth in Rules 21F-1 through 21F-18 of the Exchange Act.

[REDACTED]

[REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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[REDACTED]



**Claimant 4**

The Claims Review Staff has preliminarily determined to recommend that the Commission deny an award to Claimant 4. No information submitted by Claimant 4 led to the successful enforcement of the Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) promulgated thereunder. None of the information that Claimant 4 submitted: (1) caused the Commission to (i) commence an examination, (ii) open or reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or (2) significantly contributed to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.

In making this determination, the Claims Review Staff notes that the record demonstrates that Enforcement staff responsible for the Covered Action never received any information from Claimant 4 or had any communications with Claimant 4. The tip submitted by Claimant 4 to the Commission did not allege a violation of U.S. securities laws, but generally alleged breaches of the Company's code of business ethics, which were unrelated to any of the issues involved in the Covered Action. Claimant 4 did not provide any information that was used in, or otherwise had any impact on, the investigation or the resulting Covered Action.

By: Claims Review Staff

Date: July 18, 2022