

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0133
Expires:	Feb 28, 2024
Estimated average burden hours per response	0.25

FORM X-17A-19

INFORMATION REQUIRED OF NATIONAL SECURITIES EXCHANGES AND
REGISTERED NATIONAL SECURITIES ASSOCIATIONS PURSUANT TO SECTIONS 17
AND 19 OF THE SECURITIES EXCHANGE ACT OF 1934 AND RULE 17a-19 THEREUNDER
REPORT OF CHANGE IN MEMBERSHIP STATUS

1. Identify the self-regulatory organization filing this report.

AMEX BSE CBOE CSE CHX ISE NASD NYSE PCX PHLX

2. The change in membership with the reporting self-regulatory organization is:

(a) New Membership (b) Termination of Membership (c) Suspension of Membership
(d) Reinstatement from Suspension (e) Change of Address (f) Other Change in Membership Status

If (b), (c), (d) or (f) give a brief description of the circumstances _____

3. Provide the following information concerning the subject.

Present Status: (a) thru (d)

(a) Name _____

(b) Corporation Partnership Sole Proprietor
 LLC - Other _____

(c) SEC File No. _____

(d) Principal Place of Business:

(Number and Street)

(City) (State) (Zip Code)

To be changed to (a) thru (d)

(a) Name _____

(b) Corporation Partnership Sole Proprietor
 LLC - Other _____

(c) SEC File No. _____

(d) Principal Place of Business:

(Number and Street)

(City) (State) (Zip Code)

4. The subject is also a member of the:

AMEX BSE CBOE CSE CHX ISE NASD NYSE PCX PHLX

5. The examining authority and SIPC collection agent prior to this change in membership status is:

AMEX BSE CBOE CSE CHX ISE NASD NYSE PCX PHLX

6. Name of person completing report _____ Title _____

Telephone No _____ Signature _____ Effective Date
of Change _____

(ADVISE BROKER-DEALER OF ITS OBLIGATION TO AMEND ITS FORM BD OR FILE FORM BDW)

RESERVED FOR SEC ACTION

Examining Authority Not Changed Examining Authority Changed From _____ to _____

Signature _____ Date _____

INSTRUCTIONS ON BACK

GENERAL INSTRUCTIONS

FORM X-17A-19

1. This Form shall be completed and filed within 5 business days of the occurrence of one or more of the changes in membership status listed in Item 2 and/or 3 on this Form or promptly upon learning that such changes will occur. If the information first becomes available after the change in membership status has occurred, the Form shall be completed and filed promptly.
2. Original - Mail to: U.S. Securities and Exchange Commission
Division of Market Regulation
100 F Street, N.E.
Washington, D.C. 20549-6628

Copy No. 1 - Mail to: Securities Investor Protection Corporation
805 15th Street, N.W., Suite 800
Washington, D.C. 20005

Copy No. 2: Retain for your files.
3. The original filed with the Securities and Exchange Commission and the copy filed with the Securities Investor Protection Corporation shall be executed with a manual signature by a duly authorized official of the national securities exchange or registered securities association (self-regulatory organization).
4. If the space provided in the Form for an answer to any Item is insufficient, the answer may be printed or typed on a separate insert page or pages which shall be incorporated into the statement by reference thereto in the space provided for the Item.
5. If any Item of the Form is inapplicable, a statement to that effect shall be made following the Item.
6. Change of member's address is required to be reported only by the examining authority.
7. Copies of this Form may be obtained from the main office of the Securities and Exchange Commission in Washington, D.C.
8. The Securities and Exchange Commission will use a copy of this Form to notify Securities Investor Protection Corporation and the affected self-regulatory organizations of any action that is taken.

(ADVISE BROKER-DEALER OF ITS OBLIGATION TO AMEND ITS FORM BD OR FILE FORM BDW)